

Notice of Funding Opportunity

Humanities Research Centers on Artificial Intelligence

Funding Opportunity 20241211-RAI

Funding Opportunity Type: New Federal Assistance Listing Number: 45.161

Application Deadline: December 11, 2024

Applicants to NEH for awards with expected issuance dates on or after October 1, 2024, should be aware of revisions to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200) effective from that date. All NEH awards issued on or after October 1, 2024, will be subject to the revised regulations. You may incorporate these changes into your applications now. Additional information is available at https://www.neh.gov/grants/manage/2024-Revisions-to-2-CFR-200.

Ensure your SAM.gov and Grants.gov registrations and passwords are current. It may take several weeks to register with SAM.gov and Grants.gov. NEH will not grant deadline extensions for lack of registration.

Division of Research Programs

Email: <u>aicenters@neh.gov</u> Telephone: 202-606-8200

Telecommunications Relay Service: 7-1-1

OMB control number 3136-0134, expiration date October 31, 2024

Executive Summary

The National Endowment for the Humanities (NEH) Division of Research is accepting applications for the Humanities Research Centers on Artificial Intelligence program. The purpose of this program is to support the establishment of new collaborative humanities research centers focused on the legal, ethical, or societal implications of developing AI technologies. A Center is a sustained collaboration among multiple scholars focused on exploring the humanities implications of AI through two or more related scholarly activities.

Funding Opportunity Number Pederal Assistance Listing Number Pederal Assistance Listing Number Deadline for Optional Draft October 2, 2024, 11:59 p.m. Eastern Time Application Deadline Anticipated Award Announcement August 2025 Anticipated FY 2025 Funding Approximately \$2,500,000 Estimated Number and Type of Award Award Amounts Up to \$500,000 for 36 months, with a maximum of \$200,000 per year. You may also request an additional \$250,000 in federal matching funds. Cost Sharing/Match Required No, unless you request federal matching funds Period of Performance Up to 3 years. Projects must start between October 1, 2025, and September 1, 2026. Eligible Applicants • nonprofit organizations recognized as taxexempt under section 501(c)(3) of the Internal Revenue Code • accredited institutions of higher education (public or nonprofit) • state and local governments and their agencies federally recognized Native American Tribal governments See C. Eligibility Information for additional information. Program Resource Page Pre-Application Webinar August 2024, 11:59 p.m. Eastern Time December 11, 2024, 11:59 p.m. Eastern Time August 2025, 2024, 11:59 p.m. Eastern Time December 12, 2024, 11:59 p.m. Eastern Time August 2025 Approximately \$2,500,000 Appr	Funding Opportunity Title	Humanities Research Centers on Artificial Intelligence		
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resource page by September 13, 2024. <u>Use this link</u> to schedule a virtual meeting with a program officer to discuss your project.	Pre-Application Webinar	A pre-recorded webinar will be posted to the program resource page by September 13, 2024. <u>Use this link</u> to schedule a virtual meeting with a		
Published September 4, 2024	Published			

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A. Program Description

1. Purpose

This notice solicits applications for the Humanities Research Centers on Artificial Intelligence (AI) program offered by the Division of Research Programs.

AI is one of the most powerful technologies of our time and will have profound consequences for civil rights and civil liberties, safety and security, and democratic values. Questions about the ethical, legal, and societal implications of AI are fundamentally rooted in the humanities, which include ethics, law, history, philosophy, language and linguistics, anthropology, sociology, media studies, and cultural studies. NEH is particularly interested in projects that explore the risks of AI-related technologies on truth, trust, and democracy; safety and security; and privacy, civil rights, and civil liberties.

The Humanities Research Centers on Artificial Intelligence program addresses Strategy #3 of the 2023 National Artificial Intelligence Research and Development Strategic Plan put forth by the White House Office of Science and Technology Policy (OSTP). Strategy #3 calls for research into "the ethical, legal, and societal implications of AI" in order to "understand, anticipate, and mitigate harm as well as [understand] the distribution of likely benefits." The Humanities Research Centers on Artificial Intelligence program will create new Centers of scholarly discourse and learning, each one a nexus for collaborative efforts that reach across disciplinary lines to gain a more holistic understanding of AI in the modern world.

In your application to this program, you must propose to create a Center with a specific research focus related to the ethical, legal, or societal implications of AI. A Center is a sustained collaboration among multiple scholars focused on exploring the humanities implications of AI through two or more related scholarly activities. Competitive Centers typically identify a specific topical, methodological, or disciplinary lens through which to focus their efforts. NEH particularly encourages Centers interested in equity, privacy, and civil-rights topics. Centers should aim to advance humanities research on their chosen topic beyond the period of the grant.

In addition to establishing the Center, your project should engage in at least two activities that support research into the ethical, legal, or societal implications of AI. Appropriate activities may include, but are not limited to, collaborative research and writing efforts; education and mentoring; convenings, such as conferences, symposia, and workshop; lecture series; and the creation of digital tools to increase or advance scholarly discourse about AI. You must present a plan to disseminate the results of each activity. Allowable costs include, but are not limited to, salary replacement; compensation of collaborators, post-doctoral students, consultants, and research assistants; fringe benefits; the purchase of computing equipment or software; and travel, lodging, and per diem costs for lecturers or convening participants.

Centers should leverage the visionary nature of their research to promote a more informed public discourse about AI through education and outreach efforts and to actively build the next generation of scholars. Centers are encouraged to include educational and public programming to increase AI literacy at the K-12 as well as college and university levels. Centers are also encouraged to enhance public awareness of humanities perspectives on AI.

A Center may or may not have a physical location, but as part of your project you must establish a leadership structure, develop a mission statement, and create a strategy to sustain the Center

beyond the period of performance. Existing AI research centers are not eligible in this competition. NEH welcomes international collaboration, but scholars at U.S. institutions must contribute significantly to the project (See <u>C3</u>. <u>Other Eligibility Information</u>.)

See <u>D6</u>. Funding <u>Restrictions</u> for unallowable activities. Applications unrelated to the purpose of this program or that include unallowable costs or activities may be judged nonresponsive and returned without review.

See <u>E1. Review Criteria</u> for the standards NEH will use to evaluate applications under this notice.

Program Outputs

You must propose outputs (deliverables) that contribute to the creation and long-term development and sustainability of your Humanities Research Center, as well as its research profile. All project outcomes and outputs must address the Center's chosen thematic focus and convey interpretive humanities work.

Your project must result in a leadership structure, a mission statement, and an institutional plan for long-term sustainability by the end of the first year of the period of performance. Activities related to this institutional planning should appear in your work plan. In addition to establishing your Center, you must produce at least two deliverables during the grant period. Additional deliverables may include, but are not limited to:

- a lecture series, workshops, colloquia, summer institutes, or similar convenings
- digital infrastructure for enabling multi-disciplinary or multi-institutional research about the humanistic implications of AI
- curriculum development or training courses for AI literacy in the humanities
- multi-authored books, peer-reviewed articles in academic journals, educational materials, or articles for the general public

In <u>Attachment 2: Narrative</u> and <u>Attachment 3: Work plan</u>, you will describe your Center's proposed deliverables and how these deliverables further the Center's mission. You must set performance goals and indicators of success that you will use to demonstrate the project's success. If you receive an award, NEH will assess your performance based on the performance goals and deliverables you identify in the proposal. See <u>F3</u>. <u>Reporting</u>.

2. Background

NEH offers this funding opportunity under the authority of <u>20 U.S.C. § 956</u>. Awards are subject to <u>2 CFR Part 200 Uniform Administrative Requirements</u>, <u>Cost Principles</u>, and <u>Audit Requirements for Federal Awards</u>, and the <u>General Terms and Conditions for Awards to Organizations</u> (for grants and cooperative agreements issued January 1, 2022 or later).

Under Section 3(a) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, "The term 'humanities' includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism and theory of the arts; those aspects of the social sciences which have humanistic content and employ humanistic methods; and the study and application of the humanities to the human environment with particular attention to reflecting our diverse heritage, traditions, and history and to the relevance of the humanities to the current conditions of national life."

Use the <u>Funded Projects Query Form</u> to find examples of NEH-supported projects. Learn more about NEH.

NEH Areas of Interest

NEH is especially interested in supporting projects that advance humanities-related work in the following areas. NEH will give all applications equal consideration in accordance with the program's <u>review criteria</u>.

NEH encourages projects that include Native American organizations and communities as applicants and project partners.

Humanities Perspectives on Artificial Intelligence

Artificial intelligence (AI) is one of the most powerful technologies of our time and will have profound consequences for civil rights and civil liberties, safety and security, and democratic values. Questions about the ethical, legal, and societal implications of AI are fundamentally rooted in the humanities, which include ethics, law, history, philosophy, anthropology, sociology, media studies, and cultural studies. Indeed, NEH's founding legislation tasks the agency with making the American people "masters of their technology and not its unthinking servants." In October 2023, NEH launched a new agency-wide initiative, *Humanities Perspectives on Artificial Intelligence*, to support research projects that seek to understand and address the ethical, legal, and societal implications of AI. NEH is particularly interested in projects that explore the impacts of AI-related technologies on truth, trust, and democracy; safety and security; and privacy, civil rights, and civil liberties. This grant program is one of eight NEH programs that are part of NEH's *Humanities Perspectives on Artificial Intelligence* initiative. To learn more about the initiative, and to ensure you are applying for the most appropriate program, please see our page about the AI initiative.

American Tapestry: Weaving Together Past, Present, and Future

American Tapestry: Weaving Together Past, Present, and Future is a wide-ranging special initiative at NEH that leverages the humanities to tackle some of the most pressing challenges of our time: strengthening our democracy, advancing equity for all, and addressing our changing climate. The initiative encourages humanities projects that elevate the role of civics in schools and public programs, advance knowledge of the country's history and political institutions, and examine threats to its democratic principles. The initiative also encourages projects that explore the untold stories of historically underrepresented groups and build capacity at cultural and educational institutions to benefit underserved communities. Finally, the initiative welcomes projects that promote research into the historical roots and cultural effects of climate change and support the cultural and educational sectors in building climate resilience. By supporting humanities projects that align with these three themes – strengthening our democracy, advancing equity for all, and addressing our changing climate – the American Tapestry initiative seeks to tell our country's history in all its complexity and diversity.

United We Stand: Connecting Through Culture

Hate must have no safe harbor in America — especially when that hate fuels the kind of violence we've seen from Oak Creek to Pittsburgh, from El Paso to Poway, and from Atlanta to Buffalo. When ordinary Americans cannot participate in the basic activities of everyday life — such as shopping at the grocery store or praying at their house of worship — without the fear of being targeted and killed for who they are, our security as well as democracy are at risk. In coordination with the White House "United We Stand" Summit in September 2022, NEH

launched a new initiative titled <u>United We Stand: Connecting Through Culture</u> that uses the humanities to combat hate-motivated violence and promote civic engagement, social cohesion, and cross-cultural understanding. As a part of this initiative, NEH encourages humanities projects that further our understanding of the nation's racial, ethnic, gender, and religious diversity; examine the sources of hate and intolerance in the United States; and explore progress towards greater inclusiveness. NEH especially welcomes projects that explore the Muslim American and/or the Jewish American experience, including the history of Islamophobia and/or antisemitism.

B. Federal Award Information

1. Type of Application and Award

NEH seeks new applications in response to this notice.

NEH will provide funding in the form of grants.

2. Summary of Funding

Award amounts

Each applicant may request up to \$500,000, (with a maximum of \$200,000 per year) plus an additional \$250,000 in federal matching funds. This includes the sum of direct and indirect costs.

NEH anticipates awarding approximately \$2,500,000 among an estimated 5 recipients.

NEH will not determine the exact amount available for funding until Congress makes official appropriations for FY 2025. NEH will issue awards subject to the availability of appropriated funds. NEH is publishing this notice as a contingency to ensure that NEH can process applications and issue awards in a timely manner, should sufficient funds become available.

Period of performance

You may request a period of performance up to 36 months with a start date between October 1, 2025, and September 1, 2026.

The period of performance is the time during which you may incur expenses to carry out the work under the award. It must start on the first day of the month and end on the last day of the month.

C. Eligibility Information

1. Eligible Applicants

To be eligible to apply, your organization must be established in the United States or its jurisdictions as one of the following:

- a nonprofit organization recognized as tax-exempt under section 501(c)(3) of the Internal Revenue Code
- an accredited institution of higher education (public or nonprofit)

- a state or local government or one of their agencies
- a federally recognized Native American Tribal government

Individuals and other organizations, including foreign and for-profit entities, are ineligible.

If your organization is eligible, you may apply on behalf of a consortium of collaborating organizations. If NEH selects your proposal for funding, you will be programmatically, legally, and fiscally responsible for the award.

Established AI centers are not eligible.

The recipient may not function solely as a fiscal agent but should make substantive contributions to the success of the project.

2. Cost Sharing

NEH does not require cost sharing in this program unless you request federal matching funds.

Cost sharing refers to project costs that are covered with non-NEH funds. NEH distinguishes between two types of cost sharing: voluntary cost sharing and federal matching funds.

Voluntary cost sharing

You may contribute voluntary cost share if the total project costs exceed the amount awarded by NEH. Voluntary cost share includes:

- cash contributions made to the project by you or a third party
- your unrecovered indirect costs
- in-kind contributions (non-cash contributions, such as property or services, that benefit the project and are contributed without charge) by you or a third party

While you may describe voluntary cost share in your <u>budget justification</u>, you should not include it on your Research and Related Budget. The Research and Related Budget should only include funds you are requesting from NEH.

Peer reviewers will not consider any voluntary cost share in their evaluation of applications.

Federal matching funds

You may request up to \$250,000 in federal matching funds to leverage third party funding. Funds to satisfy a matching requirement must be from sources outside of the applicant institution (a third party) consistent with the NEH Federal Matching Funds Guidelines. If you request federal matching funds, you must raise third-party non-federal gifts dollar-fordollar. Both restricted gifts (gifts that are given specifically in support of a project) and unrestricted gifts (gifts that may be used at the recipient's discretion) are eligible to be matched.

Include third-party non-federal gifts on your <u>Research and Related Budget</u> and identify what project activities they will support in the <u>budget justification</u>.

Successful applicants will receive an offer of federal matching funds, subject to availability of funds and specific regulatory and other conditions. NEH will certify the allowability of these gifts and will issue the award only when you have met the conditions of the offer. The full amount of federal matching funds may not be available for release in the first year of a multi-

year period of performance. Typically, NEH distributes federal matching funds on an annual basis over the period of performance.

If NEH selects your project for funding, you must raise and certify all eligible gifts and pledges at least one year prior to the end of the period of performance. NEH advises applicants who are requesting matching funds to consider a period of performance that allows adequate time to raise and certify funds. If you fail to certify required gifts by the deadline and do not request an extension, by the end of the offer period, the matching funds offer may be withdrawn. See NEH Federal Matching Funds Guidelines.

NEH will not release federal matching funds until you raise and certify eligible gifts. **In-kind** gifts and funds derived from the recipient organization are not eligible sources of certified gifts in this program.

You must maintain auditable records of the source and use of cost share. See 2 CFR § 200.306.

Learn about types of funds NEH offers.

3. Other Eligibility Information

Each institution may submit only one application under this notice. For the purposes of this limitation, branch campuses are considered separate institutions. Current recipients of this program are not eligible to apply.

If you submit multiple applications (including submitting to the wrong funding opportunity or making corrections/updates), NEH will only accept your last validated submission prior to the deadline under the correct Grants.gov funding opportunity.

You may revise and resubmit previously rejected applications. Submissions are subject to application requirements and review criteria of the current competition.

Per <u>2 CFR § 200.403(f)</u>, you must not include the same project costs in more than one application for federal funding or approved federal award budget. If one application to NEH is pending, a second application for the same project costs, even if to a different program, may be ruled ineligible. However, you may submit multiple proposals for **complementary** aspects of the same overall project. If you are submitting complementary proposals to other NEH programs or government agencies, specify when and to whom in the <u>Supplementary Cover Sheet for NEH Grant Programs</u>. NEH may disallow costs or reject applications that include overlapping project costs. An individual's level of effort cannot exceed 100% across multiple active federally funded awards.

If the project has received or is currently receiving support from federal or nonfederal sources, including the NEH, or if you plan to apply for such support, then you must include <u>Attachment</u> 8: Statement of funding received and requested.

NEH does not issue awards to other federal entities. If your project is so closely intertwined with a federal entity that the project takes on characteristics of the federal entity's own authorized activities, it is ineligible. You may use funds from, or sites and materials controlled by, other federal entities in your project, but you may not use them as gifts to release federal matching funds.

NEH does not provide financial assistance to foreign institutions or organizations. If you are an eligible domestic entity, you may apply for collaborative projects involving foreign organizations provided you do not use NEH funds for the purpose of issuing subawards to any foreign organization, as defined in <u>2 CFR §§ 200.1</u> and <u>.331(a)</u>. You may obtain the services of foreign individuals and consultants to carry out programmatic activities on a fee-for-service basis, as specified in <u>2 CFR § 200.459</u>. You may obtain goods and services from foreign vendors, such as in-country transportation services, in accordance with <u>2 CFR § 200.331(b)</u>. If you plan to submit an application involving international collaboration, contact program staff at AICenters@neh.gov.

Except for the rare cases covered by its <u>late submission policy</u>, NEH will not consider applications submitted after the <u>deadline</u>.

NEH will not consider incomplete, nonresponsive, or ineligible applications for funding.

NEH will not consider applications that exceed mandatory page limits or deviate from formatting instructions. See the <u>Application Components Table</u>.

D. Application and Submission Information

1. Application Package

You must apply using Grants.gov Workspace or a Grants.gov system-to-system solution. You can find this funding opportunity in Grants.gov under number 20241211-RAI. There is also a link on the <u>program resource page</u>.

Once you have located the funding opportunity in Grants.gov, you will find the application package under the "Package" tab. It includes a series of required and conditionally required forms. You will upload additional application components using the <u>Attachments Form</u>.

You must complete a multistep registration process prior to submitting your application. See <u>D3. Unique Entity Identifier and System for Award Management</u>.

Contact AICenters@neh.gov to request a paper copy of this notice.

2. Content and Form of Application Submission

Your application will include a <u>narrative</u>, <u>budget</u>, and other <u>forms</u> and <u>attachments</u>. You will complete the forms within Grants.gov Workspace and upload other components into the <u>Attachments Form</u>. See the <u>Application Component Table</u>.

Application formatting

Your application attachments must be PDFs and conform to the following formatting requirements, unless otherwise indicated:

- pages no larger than standard letter (8 ½" x 11")
- at least one-inch margins on all sides for all pages
- a font no smaller than 11 points

In addition, NEH encourages you to format your attachments consistent with the following:

- single-spacing
- a readable font such as Arial, Georgia, Helvetica, or Times New Roman
- any standard citation style (include citations in page counts)

Application Component Table

NEH has assigned each application component one of the following designations:

- Required: You must submit this component.
- Conditionally required: You must submit this component if your proposal meets the specified conditions.

NEH will not review applications missing any required documents or relevant conditionally required documents.

Do not include attachments other than those listed in the table in this notice. If you do, NEH will reject your application.

In addition, NEH has established page limits for some application components:

- Mandatory: You must not exceed the page limit.
- Suggested: NEH encourages, but does not require, you to abide by the page limit.

Note page limits and formatting instructions in this notice. **NEH will not review** applications that exceed mandatory page limits or deviate from formatting instructions.

Application Component	File Name	Designation	Page limit		
Attachments					
1: Project team	team.pdf	Required	2 pages (mandatory)		
2: Narrative	narrative.pdf	Required	10 pages (mandatory)		
3: Work plan	workplan.pdf	Required	2 pages (mandatory)		
4: Résumés for core project team members	resumes.pdf	Required	2 pages per person (suggested)		
5: Selection criteria	selection.pdf	Required	3 pages per activity (suggested)		
6: Bibliography	bibliography.pdf	Required	3 pages (mandatory)		
7: Letters of commitment and support	letters.pdf	Required			

8: Appendices	appendices.pdf	Conditionally	10 pages
		required	(suggested)
9: Statement of funding received	funding.pdf	Conditionally	2 pages
and requested		required	(suggested)
10: Subrecipient budget(s)	subrecipient.pdf	Conditionally	
		required	
11: Federally negotiated indirect	agreement.pdf	Conditionally	
cost rate agreement		required	
12: Explanation of delinquent	delinquentdebt.pdf	Conditionally	
<u>federal debt</u>		required	
Grants.gov forms			
SF-424 Application for Federal		Required	
<u>Assistance - Short Organizational</u>			
Supplementary Cover Sheet for		Required	
NEH Grant Programs			
Project/Performance Site(s)		Required	
<u>Location Form</u>			
Research and Related Budget		Required	
and Budget Justification			
Attachments Form		Required	
Certification Regarding Lobbying		Required for	
		recipients	
Standard Form-LLL "Disclosure		Required for	
of Lobbying Activities"		recipients	

Application Components: Attachments

Each attachment must be a separate PDF file. See the <u>Attachments Form</u> instructions for further guidance about file requirements. Read those instructions carefully, as Grants.gov will not accept files that do not meet the requirements. Grants.gov does not check for page length.

Attachment 1: Project team (required)

List the members of the core project team, surname first. Designate the project director and any co-directors, then group other team members by institutional affiliation. Explain how each team member will contribute to the creation and/or operation of the Center. For those not affiliated with a nonprofit educational institution, provide occupation and employer; if none, list city and state of residence. If you plan to ask for administrative support as a direct budget item, list a program coordinator here even if that role is not yet filled. Responsibilities of a program coordinator may include, but are not limited to, activities such as budgeting, evaluation, and personnel management.

The names on this list must match the names mentioned in the <u>Institutional context and collaboration</u> section of the narrative, as well as personnel listed in the budget. After you list the project team, list any advisors. Note that contributors who are not working towards the *creation or administrative operation* of the Center, such as speakers, colloquia leaders, or workshop instructors, should be included in <u>Attachment 5: Selection criteria</u>, not here, unless they are also core project team or advisors.

The project team list **must not exceed two pages**. Name the file **team.pdf**.

Attachment 2: Narrative (required)

Compose a comprehensive description of your proposed project. Your narrative should be succinct, well organized, and free of technical terms and jargon so that peer reviewers can understand the proposed project.

You must limit the narrative to ten single-spaced pages. Do not include an executive summary, cover page, or table of contents. You may include images, charts, diagrams, footnotes, or endnotes if they fit within the page limit.

Organize your narrative using the following headings. Each section aligns with one or more review criteria NEH will use to evaluate your proposal.

Project overview (about one paragraph)

Provide a concise statement about your Center written for a non-specialist audience. Describe the proposed activities and outcomes of the Center, and who will lead and take part in these activities. Include a long-term vision and potential impact for the Center (this may extend beyond the end date of the period of performance).

Thematic research focus and significance (about three pages; aligns with review criterion 1. Significance and review criterion 5. Dissemination plans and long-term vision)

Provide a short explanation of the thematic research focus of your Center, noting how it relates to the goals of the Humanities Research Centers on Artificial Intelligence program (see <u>Purpose section</u>). Competitive applications articulate why a Center is needed and why the research focus chosen fits your institutional context. Explain how the Center's focus will serve to connect researchers and provide space to explore topics of interest to the community of the applying institution(s). State the overall vision and long-range research goals of the Center. Situate your project in the context of existing research on AI and connect it to larger topics in the humanities beyond your specific thematic focus. Describe the anticipated impact of your Center on the research topic and on future scholarship. Discuss the relationship of the Center's research focus to ongoing work in the field by identifying related projects and relevant literature. You will provide a more extensive list of relevant primary and secondary sources in <u>Attachment 6</u>: <u>Bibliography</u>.

Proposed activities (about two pages; aligns with review criterion <u>2. Activities and execution</u> and review criterion <u>3. Participants</u>)

Explain your plans for organizing and launching the Center and sustaining it long-term. Describe your Center's two or more planned activities during the period of performance and who will be responsible for their successful completion. Discuss your rationale for choosing particular activities, your experience conducting similar activities, and how those activities will contribute to the creation and the larger research goals of the Center.

For research activities, such as collaborative research projects or work by individual scholars (e.g., postdocs), describe the types of projects they will work on and how the projects relate to the proposed theme of the Center. If you have not identified all activities and collaborators, such as resident scholars or research groups, you may make a categorical request for subawards and/or consultants. Classify costs for external organizations as subawards and costs for

individuals outside your organization as consultants. NEH will restrict the funds until we approve your selected collaborators. Mention how the results will be disseminated.

Describe any education or outreach activities designed to build the next generation of scholars and promote a more informed public and scholarly discourse about AI. For convening activities, mention your goals for attracting diverse participants. A full explanation of your selection criteria should appear in <u>Attachment 5</u>: <u>Selection Criteria</u>. Attendance at convenings must be free of charge.

If you have previously received NEH funding for any phase of the proposed research activities, discuss how the previously funded project met, or did not meet, its goals according to its original or amended work plan.

List of proposed deliverables (about one page; aligns with review criterion <u>4. Work plan and completion</u>)

Summarize, rather than duplicate, the work you explain in <u>Attachment 3: Work Plan</u>. Identify major phases, milestones, and performance indicators and when they will occur. List what you will accomplish, such as the work to establish the Center, collaborative research, curricular development, publications, workshops, symposia, lecture series, or software. Estimate the number of attendees for conferences, seminars, workshops, or other events. Provide the number of times serial offerings such as workshops or lecture series, will occur. Be as specific as possible. Mention targeted journals or presses for planned publications.

Institutional context and collaboration (about three pages; aligns with review criterion 3. Participants)

Explain why the applying institution is well-suited to establish the proposed Center and how the new Center will fit into its institutional environment. Describe how the Center will function as a nexus for collaborative efforts, including plans to link organizations, people, ideas, problems, and humanities methods and technical approaches for maximum impact. Mention initiatives, collaborating organizations, or other institutional contexts that would contribute to the success of the Center. Describe support offered by the home institution during the period of performance. For multi-institutional Centers, discuss the distribution of responsibilities. If relevant, mention partnerships with or participants from minority-serving institutions.

Summarize the specific qualifications and responsibilities of the core project team members (those named in Attachment 1: Project team). Describe their contributions to the Center's proposed activities and estimate how much time they will spend on them — for example, part-time during the academic year and full-time during the summer (see Budget Justification). Specify the Center's leadership structure (e.g., a single director, co-directors, or an advisory board). For convenings or lecture series, explain the benefits of bringing together these particular scholars. For project team members providing technological support, explain how their activities are important for achieving the project's goals. Demonstrate how the project team represents the diverse perspectives necessary to address the Center's research questions, including but not limited to perspectives on race, gender, and disability.

Future plans (about one page; aligns with review criterion <u>5. Dissemination plans and Long-term vision</u>)

Explain the long-term vision for the Center after the period of performance is completed, including how the institution would continue to support the Center. Summarize your plans to disseminate the results of the Center's activities to scholarly audiences, the general public, or both. Describe plans to include diverse audiences and underrepresented groups, including

women and persons with disabilities, in all organizational levels of Center activities. Cite relevant literature on effective practices.

Your narrative must not exceed **ten pages**. Name the file **narrative.pdf**.

Attachment 3: Work plan (required)

Your work plan should reflect the major activities you describe in your <u>narrative</u> and the project dates on your <u>SF-424 Application for Federal Assistance - Short Organizational</u> and your <u>Research and Related Budget</u>.

Provide a detailed description, preferably in table form, of what will be accomplished during the period of performance, indicating major tasks and milestones. Describe each six-month period. For each period, identify the relevant collaborators involved and state their specific tasks, including approximate time commitments in percentages or days. Describe the performance indicators you will use to measure the project's success at each stage.

The work plan must not exceed **two pages**. Name the file **workplan.pdf**.

Attachment 4: Résumés for core project team members (required)

Include two-page résumés for each core project team member named in <u>Attachment 1: Project team</u>, excluding any advisory committee members. Résumés should include mailing and email addresses, the highest degree earned, the name of the institution awarding the degree, professional positions held, institutional affiliations, and major publications. You do not need to include résumés for undergraduate and graduate research assistants, speakers for lecture series, or conference attendees. Résumés must be in résumé or CV format; do not provide narrative biographies.

Résumés should not exceed two pages per person. Name the file **resumes.pdf**.

Attachment 5: Selection criteria (required)

Describe how your Center will ensure the selection of the best possible contributors to your Center's proposed activities in three categories, as appropriate: researchers, collaborators, and participants.

For all categories:

- List the criteria for selecting contributors for each category (as needed by your proposed activities).
- Explain who will select contributors to the Center for each category. Describe any selection committee, whether it differs from Center leadership, and whether it includes reviewers from outside your organization. List the criteria for choosing the selection committee and state its size. Explain how you will achieve diversity, impartiality, and expertise in the selection committee.
- **For Researchers:** (collaborative research team members, individuals conducting research aligned with the Center's themes, and researchers crafting K-12, undergraduate, or graduate curricula or educational resources under Center guidance)
 - Explain the process for identifying potential researchers from your institution or collaborating institutions.

- Describe how you will conduct outreach to your chosen pool of potential researchers to ensure diverse participation.
- o Describe any conflict-of-interest rules by which you will govern deliberations.
- **For Collaborators:** (speakers in lecture series or keynote speakers for symposia, and workshop, summer seminar, or institute leaders)
 - If you have already selected speakers, seminar leaders, or other collaborators for any activities, list them and explain why these collaborators strengthen the project. Include their names, titles, and whether they have committed to the project.
 - o If you have not yet selected collaborators for an event in the first year, explain the timeline for doing so.
 - Do not include letters of commitment.
- **For Participants:** (anyone attending a workshop, conference, institute, or other convening)
 - Describe the intended audience for the convening(s), and how you will attract a robust and diverse pool of participants, including those from historically underrepresented communities and individuals with disabilities.

The selection criteria should not exceed **three pages per activity.** Name the file **selection.pdf.**

Attachment 6: Bibliography (required)

Your bibliography should consist of primary and secondary sources that relate directly to the project. Include works that pertain to both the project's substance and its theoretical or methodological approaches. If applicable, indicate publications that resulted from previous NEH funding.

The bibliography must not exceed **three pages**; any standard format is acceptable. Name the file **bibliography.pdf**.

Attachment 7: Letters of institutional commitment and participant support (required)

You must include at least one letter from leadership (such as the president, provost, dean, or in the case of a non-profit, executive director or board member) at the applicant institution. The letter should support the formal designation of the Center and explain the project's significance within the institution's mission. Mention any relevant approval processes and describe any specific support the institution will offer the Center during the period of performance, such as course releases, space, or administrative support. If the Center will feature collaboration with another institution, company, or community (such as Native American tribes) you are encouraged to include a letter of support from their leadership. Do not include letters of support from groups or individuals that will not be collaborating substantially with or contributing materially to the Center.

Elected government officials and current members of the National Council on the Humanities may not serve as letter writers.

Name the file **letters.pdf.**

Attachment 8: Appendices (conditionally required)

Use this attachment to provide essential supplementary materials. Do not include letters of recommendation or assessments of previous applications. Materials in the appendices may include, as relevant: illustrations, maps, data visualizations, and other explanatory graphics; syllabi or schedules for a proposed workshop, course, or summer institute; permissions to publish or use materials under copyright; and data management plans for data sets.

Appendices should not exceed **ten pages**. All charts, graphics, maps, etc. count toward the page limit. Name the file **appendices.pdf**.

Attachment 9: Statement of funding received and requested (conditionally required)

If the project has received prior support from any federal or nonfederal sources, including NEH; if it is currently receiving such support; or if you are applying for or planning to apply for such support, provide a list of the sources, dates, federal award identification numbers, and amounts of these funds. List NEH awards already received, year by year. Include fellowships and individual awards received by project participants, if relevant to the current project. If there is a long history of non-NEH support, you may group and summarize the sources and contributions.

This attachment should not exceed **two pages**. Name the file **funding.pdf**.

Attachment 10: Subrecipient budget(s) (conditionally required)

If your project includes subawards, you must provide a separate Research and Related Budget and budget justification for each subrecipient.

Download a <u>fillable PDF of the Research and Related Budget form</u> from the NEH website for each subrecipient. You must open and complete this form in Adobe Reader 8.0 or higher rather than in your web browser.

Prepare a budget and budget justification for each subrecipient using the <u>same instructions</u> in this notice, with a few exceptions:

- Enter the subrecipient's Unique Entity Identifier, organization name, and period of performance start and end date (these fields will not prepopulate).
- For "budget type," check "Subaward/Consortium."

If your subrecipients have a federally negotiated indirect cost rate, you must honor it. If they do not have a federally negotiated indirect cost rate, they may negotiate a rate with you consistent with 2 CFR § 200.414 or use the de minimis rate.

Rather than attaching related documents (budget justification, additional personnel, additional equipment) to the form itself, you will separately convert each document into a PDF and combine all subrecipients' budget forms and related documents into a single document. Do not attach portfolios containing multiple PDFs.

NEH cannot accept security-enhanced PDFs (e.g., XFA files, PDFs that include password-protection, encryption, digital signatures). Remove these features (or "flatten" these files) before merging them into a single PDF and uploading it to the <u>Attachments Form</u>.

Name the file **subrecipient.pdf**.

Attachment 11: Federally negotiated indirect cost rate agreement (conditionally required)

If your organization is claiming indirect costs and has a current federally negotiated indirect cost rate agreement, provide a copy of the agreement. If applicable, provide the indirect cost rate agreements for subrecipients claiming indirect costs. If you and your subrecipients are requesting the de minimis rate, you do not need to submit this attachment.

Name the file agreement.pdf.

Attachment 12: Explanation of delinquent federal debt (conditionally required)

If your organization is delinquent in the repayment of any federal debt, explain why. Provide evidence that you have entered into a repayment agreement with the Internal Revenue Service, if applicable, and that you are current on all payments due. Examples of relevant debt include delinquent payroll or other taxes, audit disallowances, and benefit overpayments. See OMB Circular A-129.

Name the file **delinquentdebt.pdf**.

Application Components: Grants.gov Forms

SF-424 Application for Federal Assistance – Short Organizational (Grants.gov form)

This form requests basic information about your institution, the proposed project, and key contacts. Items 1, 2, and 4 will be automatically filled in; leave item 3 blank.

5. Applicant Information

- a-d. Provide your organization's legal name, address, and web address. Select the applicant type that best describes your organization from the drop-down menu.
- e-f. Provide your organization's employer/taxpayer identification number (EIN/TIN), and Unique Entity Identifier assigned by the <u>System for Award Management</u>. If you do not know your identifier, contact your grant administrator or chief financial officer. See <u>D3</u>. <u>Unique Entity</u> Identifier and System for Award Management.
- g. Provide your <u>congressional district</u> with your two-character state abbreviation followed by your three-character district number. For example, if your institution is located in the 5th congressional district of Alabama, enter "AL-005." If your institution is in a state or U.S. jurisdiction that does not have districts, enter "000" in place of the district number. If your institution is outside the U.S., enter "00-000."

6. Project Information

- a. Provide your project's title. It should be brief (no more than 125 characters, including spaces), descriptive of the project, and easily understood by the general public. If NEH funds your project, the agency may retitle your project for clarity in internal and external communications, including the public announcement of awards. Regardless, you may use your preferred title when carrying out the project.
- b. Provide a brief description of your project (no more than 1,000 characters, including spaces). You should write the description for a nonspecialist audience, clearly stating the importance of

the proposed work and its relation to larger issues in the humanities. This description will appear on the cover sheet of your application and will serve as a project abstract during the review process. If funded, this description will also appear in NEH's database of funded projects.

c. State your project's period of performance start and end dates. Your project must start on the first day of the month and end on the last day of the month. See <u>B2</u>. <u>Summary of Funding</u> for allowable periods of performance.

7. Project Director

Provide the project director's name, title, and contact information. The project director is responsible for the programmatic aspects and day-to-day management of the proposed project.

If the project director is not employed by the applicant organization, you must have a formal written agreement with the project director that specifies an official relationship between the parties even if the relationship does not involve a salary or other form of remuneration.

8. Primary Contact/Grant Administrator

Provide the name, title, and contact information for the official responsible for the administration of the award (e.g., negotiating the budget and ensuring compliance with the terms and conditions of the award).

As a matter of NEH policy, the project director and primary contact/grant administrator must not be the same person.

The grant administrator (also called the "institutional grant administrator") functions as the representative of the recipient organization. This individual should have authority to act on the organization's behalf in matters related to the administration of the award. The institutional grant administrator must sign or countersign financial reports and prior approval requests such as budget revisions, extensions to the period of performance, and changes in key personnel.

NEH will address official correspondence (for example, the offer letter or Notice of Action) to the institutional grant administrator and copy the project director.

9. Authorized Representative

Provide the name, title, and contact information for the authorized organization representative (AOR) who is submitting the application on behalf of the institution. This person, often called an "authorizing official," is typically someone from the Office of Sponsored Projects, or the institution's president, vice president, executive director, or board chair. The institution's Grants.gov E-Business Point of Contact must designate the AOR. The AOR's signature must match the contact's name. See the Grants.gov Online User Guide.

Supplementary Cover Sheet for NEH Grant Programs (Grants.gov form)

1. Project Director

Select the project director's major field of study from the drop-down menu.

2. Institutional Information

Select your institution type from the drop-down menu.

3. Project Funding

- Under "Outright Funds," enter the amount of outright funds you are requesting.
- Under "Federal Match," enter the amount of federal matching funds you are requesting.
- Under "Cost Sharing," enter the required cost share you will provide to release the federal matching funds (dollar-for-dollar). Do not include voluntary cost share.

Learn more about the types of funding NEH offers.

4. Application Information

Indicate whether you or others will submit complementary proposals to other NEH programs, government agencies, or private entities. If so, specify when and to whom. NEH will not consider this information when evaluating the merits of your proposal. See <u>C3</u>. Other <u>Eligibility Information</u> for restrictions regarding overlapping costs.

For type of application, check "new."

Select the project's primary discipline from the drop-down menu. If applicable, select the project's secondary and tertiary disciplines.

Project/Performance Site Location(s) Form (Grants.gov form)

Provide the primary location and any other locations where you will conduct project activities during the period of performance.

Enter <u>congressional districts</u> using the two-letter state abbreviation followed by your three-character district number. For example, if your institution is in the 5th congressional district of Alabama, enter "AL-005." If your institution is in a state or U.S. jurisdiction that does not have districts, enter "000" in place of the district number. If your institution is outside the U.S., enter "00-000."

The form has space for 300 sites. If your project includes additional locations, list them in a separate document. Save the document as a PDF named additional locations.pdf and attach it under "Additional Locations."

Research and Related Budget (Grants.gov form)

You must submit a project budget using the Research and Related Budget form included in the Grants.gov application package and attach a <u>budget justification</u>. Complete the <u>SF-424</u> <u>Application for Federal Assistance - Short Organizational form prior to completing your Research and Related Budget.</u>

Complete a separate detailed budget for each 12-month span of the period of performance. The form will generate a cumulative budget.

The form indicates required fields in yellow. Round all costs to the nearest whole dollar. The inclusion of a cost category on the Research and Related Budget form does not automatically indicate that an expense is allowable in this program. See <u>D6. Funding Restrictions</u> to ensure that proposed costs are allowable.

If you only request outright funds, your budget should include only the funding you are requesting from NEH. If you request federal matching funds, your budget must equal the total funding requested from NEH (outright and federal matching funds) and the one-to-one

required third party cost share for the federal matching funds. Refer to the <u>NEH Federal Matching Funds Guidelines</u> regarding the allowability of gifts for matching purposes.

The total federal matching funds and cost share should equal the amounts indicated on the <u>Supplementary Cover Sheet for NEH Grant Programs</u> in the "Federal Matching" and "Cost Sharing" fields. Learn about <u>types of funds NEH offers</u>.

If you provide voluntary cost share, do not include it on the Research and Related Budget form. You may describe it in your budget justification.

All costs, whether supported by NEH funds or required cost sharing contributions (if applicable), must be reasonable, necessary to accomplish project objectives, allowable in terms of <u>2 CFR 200 Subpart E - Cost Principles</u>, auditable, and incurred during the period of performance. Costs are subject to audit, record retention, and other requirements set forth in <u>2 CFR 200 Subpart F - Audit Requirements</u>.

You should include only your own employees under <u>A. Senior/Key Person</u> and <u>B. Other Personnel</u>. Include team members in leadership roles in the project under <u>A. Senior/Key Person</u>. Include other team members under <u>B. Other Personnel</u>. Include costs for non-employees under <u>F3. Consultant Services</u> or <u>F5. Subawards/Consortium/Contractual Costs</u>, as appropriate.

If you charge indirect costs to the project, you must not charge the same costs to the project as direct costs. See H. Indirect Costs.

Introductory Fields

Your <u>SF-424 Application for Federal Assistance - Short Organizational</u> form should prepopulate introductory fields. If not pre-populated, indicate your organization's Unique Entity Identifier, name, and the period of performance. This should match the information you provide on your SF-424 Application for Federal Assistance - Short Organizational. Your period of performance must start on the first day of the month and end on the last day of the month. For budget type, check "project."

A. Senior/Key Person

Include personnel who are employed by the applicant institution in leadership roles on the project. Do not include collaborators at other institutions or consultants, as you will include them in F. Other Direct Costs.

Enter the base salary (annual compensation) for each senior/key person and identify the number of months they will devote to the project. If you do not include base salaries, your award may be delayed.

Many non-academic institutions organize their budgets using calendar months. If your organization does not differentiate between academic and summer months, use only the calendar months column.

If your organization follows an academic calendar, you may differentiate levels of effort by using the academic and summer months columns. You may use both columns if your institutional policy requires separate accounting for academic and summer months. If your institution does not use a nine-month academic year and a three-month summer period, include your institution's definition of these terms in your <u>budget justification</u>.

Enter the requested salary and fringe benefits for each senior/key person. Per <u>2 CFR § 200.431</u>, fringe benefits are allowances and services you provide in addition to salaries and wages. Fringe benefits may include the costs of leave (e.g., vacation, family-related, sick, or military), employee insurance, pensions, and unemployment benefit plans.

Requested salaries and wages must comply with <u>2 CFR §§ 200.430</u> and <u>.466</u> and fringe benefits must comply with <u>2 CFR § 200.431</u>.

The form will calculate the requested salary and fringe benefits for each key person.

The form has space for up to eight people. If you request funds for additional key personnel, list them in a separate document using the same format as the Research and Related Budget. Save the document as a PDF named additional personnel.pdf and attach it under "Additional/Senior Key Persons." If applicable, enter the total funds requested for additional senior/key persons in the "Total Funds requested for all Senior/Key Persons in the attached file" field.

B. Other Personnel

Include personnel employed by the applicant institution who will play a supporting role on the project. For each project role, identify the number of personnel proposed, the total number of months, total salary, and total fringe benefits requested as described in <u>A. Senior/Key Person</u>. The form will calculate the requested salary and fringe benefits for each group.

Post-doctoral associates, graduate students, and undergraduate students

For all post-doctoral associates, graduate students, and undergraduate students, individually list names, roles, associated months, and requested salary and fringe benefits in <u>L. Budget Justification</u>. If applicable, include charges for tuition remission and other forms of compensation paid to students as, or in lieu of, salaries and wages. You must report such costs in accordance with 2 CFR § 200.466(b), and must treat them as direct or indirect costs in accordance with the actual work performed. You may charge tuition remission on an average rate basis.

Administrative/Clerical

In most circumstances, you should include the salaries of administrative, secretarial, or clerical staff as indirect costs (see <u>H. Indirect Costs</u>). Per <u>2 CFR § 200.413(c)</u>, you may charge salaries for administrative or clerical staff as direct costs only if they meet the following conditions:

- The administrative or clerical services are integral to a project or activity.
- The individuals can be specifically identified with the project or activity.
- You explicitly include these costs in your budget or have prior written approval from NEH.
- You do not recover the costs as indirect costs.

If you include administrative or clerical salaries in your budget as direct costs, describe how direct charging meets all four conditions in your <u>budget justification</u>. NEH may request additional information to assess if proposed costs are allowable.

Additional Other Personnel

List additional project roles, if applicable. The form has space for six named roles. If you have more, combine project roles on the form and explain in your <u>budget justification</u>.

Requested salaries and wages must comply with <u>2 CFR §§ 200.430</u> and <u>.466</u> and fringe benefits must comply with <u>2 CFR § 200.431</u>.

Do not list collaborators at other institutions or consultants here, as you will include them in \underline{F} . Other Direct Costs.

C. Equipment Description

Equipment is nonexpendable personal property costing \$5,000 or more per item and having a service life of more than one year (unless your organization has established a lower level). See 2 CFR §§ 200.313 and .439.

You may purchase equipment if analysis demonstrates that it is more economical and practical than leasing. You may charge depreciation in compliance with <u>2 CFR § 200.436</u>. If you lease equipment, include equipment rental/user fees in <u>F. Other Direct Costs</u>.

Per <u>2 CFR § 200.322</u>, you should preference goods, products, and materials produced in the United States purchased or acquired under a federal award. If NEH funds your project, you must include the requirements of this section in all subawards, contracts, and purchase orders for work or products.

List each item of equipment you plan to purchase and its estimated cost, including shipping and maintenance. Include supporting information, such as a price quote, in your <u>budget</u> <u>justification</u>.

The form has space for ten items. If you request funds for additional equipment, list them in a separate document. Save the document as a PDF named additional equipment.pdf and attach it under "Additional Equipment." Enter the total funds for the additional equipment in the "Total funds requested for all equipment listed in the attached file" field.

The form will calculate total equipment costs.

D. Travel

Enter the total funds you are requesting for both domestic travel (local and long-distance) and foreign travel (including travel to Canada and Mexico). Detail costs for each trip in your <u>budget justification</u>. If you make non-refundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.

Travel costs must comply with <u>2 CFR § 200.475</u> and the <u>General Terms and Conditions for Awards to Organizations</u>. NEH uses the General Services Administration's <u>published per diem rates</u> to assess if proposed travel costs are reasonable.

You may not use NEH funds to attend regularly occurring professional meetings.

Include travel costs for participants in **E3** and travel costs for consultants in **F3**.

The form will calculate total travel costs.

E. Participant/Trainee Support Costs

Participants are the beneficiaries, not the providers, of a service or training opportunity (such as a workshop, conference, seminar, or symposium) funded by a federal award.

<u>2 CFR § 200.1</u> defines participant support costs as direct costs for expenses such as stipends, travel allowances, subsistence allowances, and registration fees paid to or on behalf of participants (but not employees) in connection with conferences or training projects.

<u>2 CFR § 200.432</u> defines a conference as a meeting, retreat, seminar, symposium, workshop, or event, the primary purpose of which is the dissemination of technical information beyond the non-federal entity, and which is necessary and reasonable for successful performance under the federal award.

Include supporting information in your <u>budget justification</u>. If you or your participants make nonrefundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.

If you claim indirect costs, exclude participant support costs from the MTDC base. The form will calculate total participant support costs.

1. Tuition/Fees/Health Insurance

Leave this field blank.

2. Stipends

Enter the requested funds for participant stipends.

3. Travel

Enter the requested funds for participant travel. In your <u>budget justification</u>, name the travelers (if known) and itemize their travel expenses (e.g., roundtrip airfare, mileage, public transportation fares, parking fees).

4. Subsistence

Enter the requested funds for participant subsistence (e.g., lodging and service charges; meals, including taxes and tips; and incidental expenses).

5. Other

Describe other participant support costs (e.g., local ground transportation to venues, admission fees) and enter the requested funds. Provide additional information in your <u>budget justification</u>.

Number of Participants/Trainees

Enter the number of participants. This field cannot exceed 999.

F. Other Direct Costs

The form will calculate total other direct costs.

1. Materials and Supplies

Enter the requested funds for materials and supplies that cost less than \$5,000 per item, regardless of its useful life, unless your organization has established a different capitalization level. See 2 CFR §§ 200.314 and .453.

2. Publication Costs

As a matter of programmatic policy, publication costs are not allowed.

3. Consultant Services

Enter the requested funds for consultant services. If your project includes an external advisory committee, include associated costs here.

Consultant fees must comply with 2 CFR § 200.459.

4. Automated Data Processing (ADP)/Computer Services

Enter the requested funds for ADP/computer services, including computer-based retrieval of scientific, technical, and education information. Include personal computers and accessories under <u>Materials and Supplies</u>. If a third party will provide these services, include them in <u>Subawards/Consortium/Contractual Costs</u>.

5. Subawards/Consortium/Contractual Costs

Enter the total funds you are requesting (both direct and indirect costs) for activities third parties will perform.

Per <u>2 CFR §§ 200.1</u> and <u>.331(a)</u>, a subaward is an award you issue to a subrecipient to carry out part of your federal award. Subawards do not include payments to contractors (as defined in <u>2 CFR §§ 200.1</u> and <u>.331(b)</u>) or payments to individuals who are beneficiaries of federal programs.

You may provide a subaward through any form of legal agreement, including an agreement you consider a contract. The substance of the relationship between a passthrough entity and a third party is more important than the form of the agreement when determining if the third-party functions as subrecipient or contractor. See 2 CFR § 200.331(c).

NEH may request additional information to assess if proposed costs are reasonable and allowable. See <u>2 CFR §§ 200.331</u> and <u>.332</u>.

If your budget includes subawards, you must submit a separate Research and Related budget and budget justification for each subrecipient. See <u>Attachment 10: Subrecipient Budget(s)</u>.

If a subrecipient claims indirect costs, include its federally negotiated indirect cost rate agreement in <u>Attachment 11: Federally negotiated indirect cost rate agreement</u>. You do not have to include an agreement for any subrecipient that requests the 10% de minimis rate.

See <u>F2. Administrative and National Policy Requirements</u> for additional information on monitoring subrecipients' performance. Learn more about managing subawards.

6. Equipment or Facility Rental/User Fees

Enter the requested funds for rental fees for equipment and facilities.

If you will use your own equipment and facilities, you may charge depreciation in compliance with <u>2 CFR § 200.436</u>. Alternatively, if you will use equipment you purchased with federal funds under another award, you may charge user fees consistent with <u>2 CFR § 200.313(c)(2)</u>. You may not charge both depreciation and user fees.

If you will host a conference, you may rent facilities per <u>2 CFR § 200.432</u>. If you rent facilities under a "less-than-arm's-length" lease, you must comply with <u>2 CFR § 200.465(c)</u>.

Federally funded meetings and conferences must take place in properties that comply with the <u>Hotel and Motel Fire Safety Act of 1990 (Pub. L. 101-391)</u>. Consult the U.S. Fire Administration's <u>National Master List</u> for fire code compliant hotels.

7. Alterations and Renovations

Leave this line blank. Per <u>2 CFR § 200.462</u>, costs you incur for ordinary and normal rearrangement and alteration of facilities are allowable as indirect costs.

8-10. Other

List items you have not included in other previous categories or in the indirect cost pool. "Other" project-specific costs may include fees for promotion, acquisition, rights, evaluation and assessment, and accessibility accommodations (e.g., audio description, sign-language interpretation, closed or open captioning, large-print brochures/labeling). "Miscellaneous" and "contingency" are not acceptable budget categories.

Per <u>2 CFR § 200.432</u>, allowable conference costs include speakers' fees, costs of meals and refreshments, and other incidental items. You must exercise discretion and judgment to ensure that costs are appropriate, necessary, and managed in a manner that minimizes costs to the award.

Food is allowable under certain conditions. See <u>Allowability of Food Costs on NEH Awards</u> for more information. You may not use federal funds for meals or refreshments at receptions or networking events. If participants receive complimentary meals or refreshments during meetings, conferences, training, or other events while on NEH-supported travel, you must reduce the per diem you charge to the award. Per 2 CFR § 200.432, you may not use federal funds for alcoholic beverages.

G. Total Direct Costs

The form will calculate total direct costs.

H. Indirect Costs

Indirect costs are costs that your institution incurs for common or joint objectives and that you cannot readily identify with a specific project or activity. Indirect costs include such expenses as the depreciation on buildings, equipment, and capital improvements; operations and maintenance expenses; accounting and legal services; and salaries of executive officers.

You do not have to claim indirect costs, but if you do, calculate the amount you may request by multiplying the applicable indirect cost rate by the distribution base, which is typically the project's modified total direct costs (MTDC).

Per <u>2 CFR § 200.1</u>, MTDC are direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subaward). MTDC exclude equipment, capital expenditures, rental costs, tuition remission, scholarships and fellowships, participant support costs, and the portion of each subaward over \$25,000.

Review your institution's negotiated indirect cost rate(s) to ensure you are using the most appropriate rate for your project. Many institutions of higher education negotiate multiple rates, such as "research," "instruction," and "other sponsored activities." An institution's "research" rate is not the appropriate rate for inclusion in NEH project budgets, except in rare cases, since

it is reserved for projects involving scientific research, not scholarly inquiry of the type most often supported by NEH.

Except as provided in <u>2 CFR § 200.414(c)(1)</u>, NEH must use the negotiated rate(s) in effect at the time it issues your award and will not adjust the rate(s) throughout the life of your award. NEH will not adjust your award amount due to changes to your negotiated rates.

If your institution does not have a negotiated rate with the federal government when NEH issues an award (because it is a new recipient or the parties cannot reach agreement on a rate), but has a provisional rate, NEH must use the provisional rate until a final cost is negotiated and approved by the cognizant agency, except as provided in <u>2 CFR § 200.414</u>. If the recipient fails to negotiate an indirect cost rate applicable to the period of performance within the period of performance, NEH may disallow indirect costs.

If your organization does not have a federally negotiated indirect cost rate, you may:

- submit an indirect cost proposal to your cognizant federal agency to negotiate a rate within three months of your award's period of performance start date; or
- charge a de minimis rate of 10% applicable to MTDC (see 2 CFR § 200.414(f))

If you choose one of these options, indicate this under <u>Indirect Cost Type</u>. If you do not choose either of the above options, you may only charge costs that are allocable, allowable, and reasonable to the award.

Include a copy of your federally negotiated indirect cost rate agreement, along with subrecipient agreements, as Attachment 11: Federally negotiated indirect cost rate agreement, if applicable.

Reference NEH's General Guidance on Calculating Indirect Costs for more information.

If NEH is your cognizant agency, reference <u>Guidance for Negotiating an Indirect Cost Rate</u> <u>Agreement with NEH.</u>

Indirect Cost Type

Enter the type of indirect cost rate (e.g., "other sponsored activities," "all programs," "instruction," "10% de minimis") and base (e.g., "MTDC," "salaries," "salaries & fringe") and whether the activity and rate are on- or off-site. If your budget includes more than one indirect cost rate or base, list them as separate entries (i.e., if your project includes activities that occur both on and off campus). If you do not have a current indirect rate agreement, but intend to negotiate one, write "None-will negotiate" and provide additional detail in your budget justification.

Indirect Cost Rate (%)

Enter the most recent indirect cost rate(s) established with your cognizant federal agency (or the 10% de minimis rate) as a number without special characters (i.e., 32.5).

Indirect Cost Base (\$)

Enter the base for each indirect cost type. Describe any exclusions in your <u>budget justification</u>. If applicable, refer to your federally negotiated indirect cost rate agreement to determine how to calculate the indirect cost base.

Funds Requested (\$)

Enter the requested funds for each indirect cost type.

Total Indirect Costs

The form will calculate total indirect costs.

Cognizant Federal Agency

Enter the name of your cognizant federal agency and a point of contact, if applicable.

I. Total Direct and Indirect Costs

The form will calculate total project costs. Total project costs should not exceed \$1,000,000.

J. Fee

Leave this field blank.

K. Total Costs and Fee

The form will calculate this field, which will be the same amount as <u>I. Total Direct and Indirect Costs</u>.

L. Budget Justification

You must provide a budget justification to support your project. Specifically describe how each item supports your proposed objectives, detail how you calculated all costs, and provide supporting documentation. Organize your budget justification using the section headings on the Research and Related Budget.

If you provide voluntary cost share, you may describe it in the budget justification to contextualize the project. Do not include voluntary cost share on the Research and Related Budget form.

If you are requesting federal matching funds, clearly indicate what additional work these funds and the related gift funds will facilitate. Identify the expected source(s) of funding. See the NEH Federal Matching Funds Guidelines for information on allowable third-party, non-federal gifts you can use for matching purposes. Your requested federal matching funds and required cost share should correspond with the amounts on the Supplementary Cover Sheet for NEH Grant Programs in the "Federal Matching" and "Cost Sharing" fields, respectively.

Confirm that the amounts in your budget and budget justification are the same.

Save the document as a PDF named justification.pdf. Attach only one file to the Research and Related Budget form. Do not use your budget justification to expand your narrative.

A. Senior/Key Person: Budget Justification

Detail the salary and wages you will pay to each Senior/Key Person. Provide their names and briefly describe their roles in and suitability to the project. Identify the fringe benefit rate and explain the base for each person. If your organization follows an academic calendar, explain any differences in compensation between academic and summer months.

B. Other Personnel: Budget Justification

List names (if known), roles, months, and requested salary and fringe benefits for other personnel, including post-doctoral associates, graduate students, undergraduate students, and administrative/clerical personnel.

If your budget includes administrative/clerical personnel, describe how they meet the <u>four</u> conditions for inclusion as a direct cost.

C. Equipment Description: Budget Justification

Detail the number and unit cost for each item and explain how you determined these figures. Provide vendor quotes or price lists, if applicable.

D. Travel: Budget Justification

For each trip, provide the name of the key personnel or employee(s) (if known), explain the purpose of the trip, and specify the points of origin and destination. Break out the costs of transportation, lodging, per diem, and any other associated expenses. Explain how you determined these figures. You must justify each trip separately, except for recurring local trips, which you may group together.

For local travel, include the mileage rate, number of miles, reason for travel, and staff members completing the travel. For long-distance travel, calculate per diem amounts for meals and lodging consistent with written institutional policy. You must use the lowest available commercial fares for coach or equivalent accommodations. If you make nonrefundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.

E. Participant/Trainee Support Costs: Budget Justification

Describe how you calculated participant stipends, travel, subsistence, and other costs. If possible, detail participant travel costs using the instructions above.

F. Other Direct Costs: Budget Justification

1. Materials and Supplies

Indicate general categories (e.g., personal computers, digital cameras, archival supplies). Provide a total for each category. Itemize categories totaling \$1,000 or more and provide vendor quotes or price lists, if applicable.

2. Publication Costs

As a matter of programmatic policy, publication costs are not allowed. This includes creating or supporting the production of a journal, as well as subventions to publishers for editing, indexing by employees or contractors of a press, printing or other physical publication costs, and any expenses related to peer review. Digital open access fees, however, are not considered press subventions or publishing costs, and you may request a total of up to \$5,000 toward digital open access fees. Include supporting information in your <u>budget justification</u>.

3. Consultant Services

Identify each consultant, describe the services they will perform, specify the amount of time they will devote to the project across the period of performance, outline travel costs, and provide total costs. If applicable, include consultants' proposals.

4. Automated Data Processing (ADP)/Computer Services

Itemize the cost for each service and include established service rates, if applicable.

5. Subawards/Consortium/Contractual Costs

List the costs of project activities to be undertaken by third parties. Identify each third party by name, describe its role in the project, the activities it will carry out, and the associated costs. For each entry, designate the third party as either a subrecipient (who receives a subaward) or a contractor (who receives a contract).

For each contractor, itemize costs using the same categories as the Research and Related Budget and provide relevant supporting documents.

You will submit a Research and Related budget and budget justification for each subrecipient, which you will include in Attachment 10: Subrecipient budget(s).

6. Equipment or Facility Rental/User Fees

Identify and justify each rental fee. Provide relevant supporting documentation.

7. Alterations and Renovations

Leave this blank.

8. Other Costs

Itemize, describe, and justify any other direct costs. Include supporting documentation. "Miscellaneous" and "contingency" are not acceptable budget categories.

H. Indirect Costs: Budget Justification

If you include indirect costs in your project budget, identify the rate(s), explain the base(s), and describe any exclusions.

Attachments Form (Grants.gov form)

You will upload your <u>Attachments</u> to Grants.gov using this form. The form accommodates up to fifteen attachments. **Attachments must be in Portable Document Format (.pdf).** Convert all non-PDF files (i.e., Word, Excel, images) to PDFs. Occasionally, converting a document to PDF may alter its size. Confirm that attachments are within mandatory page limits before you submit the application. Do not attach files that have been password-protected, encrypted, or digitally signed. You must remove all such security features before attaching your files. Failure to do so may result in your application being rejected.

If an attachment contains multiple documents, merge them into a single file, not a PDF portfolio. If you include a PDF portfolio, the file may be rejected by Grants.gov or eGMS.

Consult the <u>Application Components Table</u> to name and sequence your attachments so that NEH can easily identify them. Grants.gov may reject your application if:

- file names exceed 50 characters
- multiple files have the same name
- file names include characters other than the following: A-Z, a-z, o-9, underscore, hyphen, space, period, parentheses, curly braces, square brackets, ampersand, tilde, exclamation point, comma, semicolon, apostrophe, at sign, number sign, dollar sign, percent sign, plus sign, and equal sign

Grants.gov may accept and validate your application even if you are missing required components or have formatted them incorrectly. You must ensure that you have formatted, attached, and submitted all required components correctly. If you have not, NEH may reject your application as incomplete or nonresponsive.

Learn about <u>Adobe software compatibility with Grants.gov</u> and ensure that you can use your version of Adobe Acrobat Reader to download, complete, and submit your application.

Certification Regarding Lobbying (conditionally required for recipients)

If you request more than \$100,000, you must submit the Certification Regarding Lobbying before NEH issues your award. Add this form to your application package in Grants.gov and it will autofill based upon information provided on the SF-424 Application for Federal Assistance - Short Organizational.

Standard Form-LLL, "Disclosure of Lobbying Activities" (conditionally required for recipients)

If you have or will use non-federal funds for lobbying, you must submit Standard Form-LLL, "Disclosure of Lobbying Activities" before NEH issues your award. Add this form to your application package in Grants.gov. See <u>2 CFR § 200.450</u>.

3. Unique Entity Identifier and System for Award Management

Before applying, your organization must register with the <u>System for Award Management (SAM)</u> and <u>Grants.gov</u>. <u>Learn more about this multistep process</u>.

NEH encourages organizations with SAM registrations to check the validation of their UEI well in advance of the deadline to ensure that they are accurate, current, and active. If your SAM registration is not active and current at the time of Grants.gov submission, NEH will reject your application.

Allow several weeks to register with SAM and Grants.gov. NEH will not waive the online submission requirement or extend the application deadline to allow additional time for you to complete registration with SAM or Grants.gov.

Login.gov

If you have not already done so, you must create a <u>Login.gov</u> user account to register and log in to SAM and Grants.gov. Login.gov is a secure sign-in service used by the public to sign in to participating government agencies. <u>Create and link your account now.</u>

System for Award Management (SAM)

Your organization must register with the <u>System for Award Management (SAM)</u> and maintain an active SAM registration with current information while you have an active federal award or a pending application with a federal agency. See <u>2 CFR § 25.110</u> for exceptions. SAM will assign your organization a <u>Unique Entity Identifier</u>.

When registering or renewing in SAM, the system will prompt you to review and agree to financial assistance certifications and representations, as required by 2 CFR § 200.209.

If your SAM registration is not active and current at the time an award is made, NEH may determine that you are not qualified to receive an award and use that determination as a basis for making an award to another applicant.

Check the status of your SAM.gov registration.

Contact the Federal Service Desk if you have questions.

Grants.gov

You must register with <u>Grants.gov</u> using your Login.gov credentials before applying. You must submit your application using <u>Grants.gov Workspace</u> or a Grants.gov system-to-system solution. Workspace is a shared, online environment where team members may simultaneously access and edit forms within a grant application.

After you register and create an <u>Organizational Applicant Profile</u>, Grants.gov will email your E-Business Point of Contact who can assign the appropriate roles to individuals within your organization. This includes the authorized organization representative (AOR), who will give permission to the appropriate individuals to complete and submit applications on behalf of your organization.

If you have previously registered with Grants.gov, confirm that your registration is still active and that your AOR is current.

Contact <u>Grants.gov Applicant Support</u> or consult the <u>Grants.gov Online User Guide</u> and its <u>library of instructional videos</u> if you have questions.

4. Submission Dates and Times

Drafts

Program officers will review prospectus application materials submitted by October 2, 2024, at 11:59 p.m. Eastern Time. Program officers will not review late prospectuses. Prospectuses must employ the Prospectus Template, found on the Humanities Research Centers on Artificial Intelligence website: https://www.neh.gov/program/humanities-research-centers-artificial-intelligence

This optional review is not part of the formal selection process and has no bearing on the final funding decision. However, previous applicants have found it useful to strengthen their applications. If you choose to submit a draft, send the completed draft template as an attachment to aicenters@neh.gov. Staff will not review full applications.

Applications

The deadline for applications under this notice is December 11, 2024, at 11:59 p.m. Eastern Time.

Applications must be complete, comply with length and formatting requirements, and be validated by Grants.gov under the correct funding opportunity prior to the deadline to be considered under this notice. See the <u>late submission policy</u> for the limited situations when NEH might accept an application submitted after the deadline.

It is your responsibility to confirm that Grants.gov and subsequently NEH have received your application. <u>Check your Grants.gov application status</u>. eGMS, NEH's online grant management system, will send you an automatically generated email upon receipt of your proposal.

When NEH receives your application, the agency will assign it a tracking number beginning with RAI-. A tracking number does not guarantee that your application is free of technical problems (such as missing attachments or failure to convert attachments to PDFs). If your files are not correctly formatted, eGMS will reject your application and notify you by email. eGMS cannot detect errors such as missing components or excess pages.

NEH recommends you submit your application at least 48 hours prior to the deadline so you have time to correct technical errors eGMS identified or you discover. It is your responsibility to correct errors prior to the deadline.

NEH will not comment on the status of your application except regarding matters of eligibility, completeness, and responsiveness.

5. Intergovernmental Review

This funding opportunity is not subject to intergovernmental review under <u>Executive Order</u> 12372.

6. Funding Restrictions

You may not use awards made under this notice for the following purposes:

- developing or enhancing an existing AI research center
- projects primarily focused on teaching, curriculum development, or student outcomes, without a significant research component (see instead <u>Humanities Initiatives</u> or <u>Humanities Connections</u>)
- projects in which more than 1/3 of direct costs are for equipment, materials, and supplies (such as to outfit a digital humanities lab or makerspace)
- construction or renovation costs
- work undertaken in pursuit of an academic degree
- costs associated with attending or holding regularly occurring meetings of professional or scholarly organizations, societies, or institutions
- publishing costs and subventions to publishers, including creating or supporting the publication of a journal
- meals or refreshments at receptions or networking events
- planning or production of exhibitions, documentary films, or podcasts
- development of grant and/or contract proposals
- costs for activities performed by federal entities or personnel
- promotion of a particular political, religious, or ideological point of view
- advocacy of a particular program of social or political action
- support of specific public policies or legislation
- lobbying
- projects that fall outside of the humanities; the creation or performance of art; creative writing, autobiographies, memoirs, and creative nonfiction; policy studies; and social science research that does not address humanistic questions and/or utilize humanistic methods

Applications including unallowable costs or activities may be judged nonresponsive and returned without review.

See <u>2 CFR 200 Subpart E - Cost Principles</u> for other unallowable costs.

E. Application Review Information

1. Review Criteria

Peer reviewers will use the following criteria to review applications under this notice. Each review criterion aligns with specific sections of the <u>narrative</u> and the other application components. See <u>D2</u>. <u>Content and Form of Application Submission</u> for additional information.

1. Significance (aligns with narrative sections <u>Project overview</u> and <u>Thematic focus and significance</u>)

The intellectual significance of the Center's vision, mission statement, and chosen thematic focus, including its value to humanities scholars, general audiences, or both; the relevance of the Center's proposed focus to the goals of the Humanities Research Centers on Artificial Intelligence program; the Center's potential to stimulate new research and contribute to larger questions about the ethical, legal, and societal implications of artificial intelligence.

2. Activities and execution (aligns with narrative section **Proposed** activities)

The likelihood that a Center will be formally established and sustained; the appropriateness and feasibility of the activities proposed by the Center to target the chosen thematic focus; the quality of the Center's methodological conception; the clarity of expression in the application; where relevant, the soundness and appropriateness of digital and technical methods.

3. Participants (aligns with narrative sections <u>Proposed activities</u> and <u>Collaboration and institutional context</u> and with <u>Attachments 1: Project team</u> and <u>4: Résumés</u>)

The qualifications, expertise, and levels of commitment of the project director and collaborating or participating scholars; the appropriateness of the team members for the achievement of the Center's goals; the diversity of viewpoints included.

4. Work plan and completion (aligns with narrative section <u>List of proposed deliverables</u> and <u>Attachment 3: Work plan</u>)

The clarity and feasibility of the work plan; the likelihood that the Center will achieve its goals within the stated time frame; and the reasonableness of the budget in relation to the proposed activities and work plan. For collaborating scholars previously funded during an earlier phase, productivity in relation to previous goals.

5. Dissemination plans and long-term vision (aligns with narrative sections Thematic focus and significance and Future plans)

As applicable, the plans to disseminate the knowledge produced by the Center to both scholarly and public audiences and any future plans to grow or expand the activities of the Center after the grant's period of performance.

2. Review and Selection Process

NEH staff review all applications for eligibility, completeness, and responsiveness. The agency then conducts a peer review process for all applications that pass this initial screening.

Peer reviewers are experts in their fields with knowledge and expertise relevant to the activities that the program supports. NEH instructs peer reviewers to evaluate applications according to the <u>review criteria</u> in this notice. Peer reviewers must comply with federal ethics rules governing conflicts of interest.

NEH program officers supplement the peer reviewers' comments to address matters of fact or significant points that the peer reviewers have overlooked. They then make funding recommendations to the <u>National Council on the Humanities</u>. The National Council meets at least twice each year to review applications and advise the NEH Chair. By law, the Chair has the sole authority to make final funding decisions.

Following NEH's public announcement of funded projects, you may request copies of the peer reviewers' evaluations of your proposal by contacting aicenters@neh.gov.

<u>Learn more about the NEH review process</u>. Apply to be a peer reviewer for NEH.

3. Assessment of Risk and Other Pre-Award Activities

Following the Chair's initial selection of applications for support, the NEH Office of Grant Management (OGM) conducts a risk assessment for selected applications. OGM will consider the applicant's past performance, if applicable; analyze the project budget; assess the applicant's management systems; confirm the applicant's continued eligibility; and evaluate compliance with <u>public policy requirements</u>. This assessment guards against the risk that federal financial assistance might be wasted, used fraudulently, or abused.

NEH will consider information about your organization that is in the Responsibility/Qualification data bank in SAM.gov (formerly <u>Federal Awardee Performance and Integrity Information System (FAPIIS)</u>). This database records the integrity and performance of entities applying for federal funding. NEH will review the Responsibility/Qualification database when assessing your organization's integrity, business ethics, and record of performance under federal awards, as described in <u>2 CFR § 200.206</u>. You may comment on information provided by federal awarding agencies about your organization. Per <u>2 CFR § 200.213</u>, NEH will report determinations that your organization is not qualified to SAM.gov.

OGM may request that you submit additional programmatic or administrative information (such as an updated budget or supporting documentation) or undertake certain activities (such as negotiating an indirect cost rate) in anticipation of an award. Such requests do not guarantee that NEH will make an award.

After completing its risk assessment, NEH will determine whether making an award would be consistent with the agency's risk management policy, whether it must impose any special terms and conditions, and what funding level is appropriate. NEH may elect not to issue awards to applicants with management or financial instability that affects their ability to comply with the terms and conditions of the award (2 CFR § 200.206).

Award decisions are discretionary and are not appealable to any federal official or board.

4. Anticipated Announcement and Award Dates

NEH will notify you of funding decisions by email in August 2025. This is not an authorization to begin performance or incur related costs.

F. Federal Award Administration Information

1. Federal Award Notices

If NEH selects your application for an award, the NEH Office of Grant Management will send award documents to the institutional grant administrator and project director through eGMS Reach beginning in September 2025.

Learn more about managing an NEH award.

2. Administrative and National Policy Requirements

Each award is subject to <u>2 CFR Part 200 Uniform Administrative Requirements</u>, <u>Cost Principles</u>, <u>and Audit Requirements for Federal Awards</u>, the <u>General Terms and Conditions for Awards to Organizations (for grants and cooperative agreements issued January 1, 2022 or later)</u>, and any specific terms and conditions that NEH places on the award in the Notice of Action.

Applicants to NEH for awards with expected issuance dates on or after October 1, 2024, should be aware of revisions to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200) effective from that date. All NEH awards issued on or after October 1, 2024, will be subject to the revised regulations. **You may incorporate these changes into your applications now.** Additional information is available at https://www.neh.gov/grants/manage/2024-Revisions-to-2-CFR-200.

Debarment, suspension, ineligibility, and voluntary exclusion certification

By applying, you certify that neither your institution nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

You must comply with <u>2 CFR §§ 180.335</u> and <u>.350</u> with respect to providing information regarding all debarment, suspension, and related offenses information, as applicable.

If you cannot attest to the statements in this certification, explain why not in <u>Attachment 12:</u> Explanation of delinquent federal debt.

Providing access to NEH-funded products

NEH strives to make the products of its awards available to the broadest possible audience by providing ready and easy access to its grant products to scholars, educators, students, and the American public. All other considerations being equal, NEH gives preference to projects that provide free access to the public.

You must comply with <u>Section 504 of the Rehabilitation Act of 1973</u>, which prohibits discrimination on the basis of disability in any program or activity receiving federal financial assistance. Consult <u>Design for Accessibility: A Cultural Administrator's Handbook</u>.

Copyright information

Subject to applicable law, you may copyright work that you develop or acquire under an award. In accordance with <u>2 CFR § 200.315(b)</u>, NEH reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use the work for federal purposes, and to authorize others to do so. NEH has typically exercised this right in consultation with recipients to publish excerpts of grant products in *Humanities* magazine or on its website.

Protecting Personal Information

If you collect personal identifiable information (PII) as part of your NEH-funded award, you are responsible for protecting and storing it. You must take all reasonable and appropriate actions to prevent inadvertent disclosure, release, or loss of PII. Consult Protecting Personal Information | The National Endowment for the Humanities for more guidance. NEH is not liable if a recipient incurs an inadvertent disclosure, release, loss, or data breach of PII.

Consent for Survey Participation

If your NEH-funded project includes surveys, you may not enroll a participant until you have obtained legally informed consent.

Acknowledging NEH support

Materials publicizing or products resulting from NEH-funded activities must contain an acknowledgment of NEH support. Consult <u>Acknowledgment and Publicity Requirements for NEH Awards</u> and <u>Publicizing Your Project</u> for guidance.

Subrecipient monitoring requirements

Per <u>2 CFR § 25.300</u>, you may only issue subawards with federal funds to organizations that have obtained and provided their Unique Entity Identifier. Subrecipients are not required to complete registration with the <u>System for Award Management (SAM)</u> to obtain a Unique Entity Identifier. See <u>D3</u>. <u>Unique Entity Identifier and System for Award Management</u>.

You must monitor your subrecipients to ensure that they use their subawards for authorized purposes; comply with federal statutes, legislative requirements, regulations, and the terms and conditions of the subaward; and achieve their performance goals. You must ensure that your subrecipients track, appropriately use, and report program income generated by the subaward. See <u>2 CFR § 200.332</u> for information that you must include in subaward agreements.

Learn more about managing subawards.

Program income

If your NEH-supported activities generate income during the period of performance, you must use it for additional approved project-related activities. See <u>2 CFR § 200.307</u> for income that you generate after the period of performance.

NEH Research Misconduct Policy

In accordance with the <u>Federal Policy on Research Misconduct</u>, NEH has established procedures for handling allegations of research misconduct applicable to both internal and external research programs. Review the <u>NEH Research Misconduct Policy</u>.

Coordination of geographic information and related spatial data

If you request funding for the development, acquisition, preservation, or enhancement of geospatial data, products, or services, you must first conduct a due diligence search of the Data.gov list of datasets to determine whether the needed data, products, or services already exist. If not, you must produce the proposed geospatial data, products, or services in compliance with applicable Federal Geographic Data Committee guidance.

Eliminate waste, fraud, and abuse

Help NEH eliminate fraud and improve management by reporting allegations or suspicions of waste, fraud, abuse, mismanagement, research misconduct (fabrication, falsification, plagiarism), or unnecessary government expenditures to the <u>NEH Office of the Inspector General</u>.

Termination

NEH reserves the right to terminate awards consistent with <u>2 CFR § 200.340</u>.

3. Reporting

If you receive an award, you must complete required reports in eGMS Reach, the NEH online grant management system, unless otherwise instructed. NEH will provide further information in the Notice of Action.

- Federal Financial Report(s). You must submit the Federal Financial Report (SF-425) annually.
- 2. **Performance Progress Report(s)**. You must submit a performance progress report annually. The "Goals & Progress" section asks for a summary of your goals; a description of what you have achieved; and, if relevant, what tasks remain to complete the project. This section must align with the outcomes and outputs you identify in your application. When you complete the report, or at any time during or after the period of performance, you may also add the project results using the Products and Prizes tab in eGMS Reach.
- 3. **Final Reports**. You must submit a final Federal Financial Report (SF-425) and a final performance report within 120 calendar days after the period of performance ends. The final performance report collects information relevant to progress on program-specific goals; impact of the overall project; the degree to which you achieved the mission, goals, and strategies outlined in the approved application; your objectives and accomplishments; barriers encountered; and your overall experiences during the period of performance.
- 4. **Tangible Personal Property Report**. If applicable, you must submit the Tangible Personal Property Report (SF-428) and any related forms within 120 calendar days after the period of performance ends. You must report all equipment with an acquisition cost of \$5,000 or more per unit purchased with NEH funds.

NEH encourages you to update the "Products and Media" tab in eGMS Reach with publications, including digital publications and datasets, as well as prizes, press, and other publicity resulting from NEH support, and to send copies of any books resulting from research supported by NEH awards to the Division of Research Programs at the address under <u>G. Agency Contacts</u>.

Learn more about performance reporting requirements and financial reporting requirements.

G. Agency Contacts

If you have questions about the program, contact:

Division of Research National Endowment for the Humanities 400 Seventh Street, SW Washington, DC 20506 202-606-8200 AICenters@neh.gov

If you have questions about administrative requirements or allowable costs, contact:

Office of Grant Management National Endowment for the Humanities 400 Seventh Street, SW Washington, DC 20506 202-606-8494 grantmanagement@neh.gov

If you are deaf or hard of hearing, you can contact NEH using telecommunications relay at 7-1-1.

If you have questions about registering or renewing your registration with Login.gov or SAM.gov, contact the Federal Service Desk, Monday – Friday, 8:00 a.m. to 8:00 p.m. Eastern Time, at:

<u>Federal Service Desk</u> U.S. calls: 866-606-8220

International calls: +1 334-206-7828

For assistance in registering with or submitting your application through Grants.gov, contact:

Grants.gov Applicant Support
U.S. calls: 1-800-518-4726
International calls: +1-606-545-5035

support@grants.gov

Always obtain a case number when calling for support.

H. Other Information

Related funding opportunities

NEH funds digital humanities projects in many programs across the agency. Review this guide to <u>Navigating DH at the NEH</u>, or use this tool to <u>match your project with an NEH funding opportunity</u>. If applicable, see also: "<u>Funding Digital Projects at Small, Regional, and Minority-Serving Institutions</u>."

Applicants pursuing **complex**, **multi-year projects** in the humanities are encouraged to consider funding opportunities for subsets of their projects that match specific NEH programs,

rather than submitting applications for the entire agenda that may include activities or costs prohibited under a single NEH program.

Scholars working together to plan or create a digital scholarly product or a book manuscript for publication should consider the <u>Collaborative Research</u> program. Scholars and researchers working **without collaborators** should consider applying for one of the <u>individual grant</u> <u>programs</u> offered by the Division of Research Programs.

Applicants seeking to build or **digitize collections**, **create archives**, **or develop reference resources** like dictionaries and encyclopedias should consider the <u>Humanities Collections and Reference Resources</u> program. Applicants seeking to address major challenges in preserving or providing access to humanities collections and resources should consider the <u>Research and Development</u> program. Both of these programs are offered by the <u>Division of Preservation and Access</u>.

If you seek to develop courses or curriculum-focused projects, you should apply for the <u>Humanities Initiatives</u> programs offered by the Division of Education Programs. If you seek to design interdisciplinary majors or minors, consider the <u>Humanities Connections</u> program, also in the Division of Education Programs.

You may also find related funding opportunities with your state or jurisdictional humanities council. As mandated by Congress, approximately 40% of the NEH's program budget supports these councils, which play a critical role in supporting the NEH's mission and goals at a local, grassroots level. Contact information for each council can be found here: https://www.neh.gov/about/state-humanities-councils.

Privacy policy

NEH solicits the information in this Notice of Funding Opportunity under the authority of the National Foundation on the Arts and Humanities Act of 1965, as amended, 20 U.S.C. 951, et seq. Disclosure of the information is voluntary. The principal purpose for which NEH will use the information is to process the application, which may include determining eligibility, evaluating the application, selecting recipients, and administering the award program. Panelists and other third parties may assist in the evaluation of applications, in which case NEH will take appropriate security measures with respect to the information provided to such individuals for review. NEH may also use or disclose the information it collects as required by law and for governmental purposes such as statistical research, analysis of trends, Congressional oversight, and the other routine uses set forth in the systems of records notice ("SORN") published by NEH in the Federal Register. NEH ordinarily will not publicly disclose the contents of applications that NEH does not select for funding, except as set forth in the SORN. Failure to provide the information solicited in this Notice may result in rejection of the application.

Application completion time

The Office of Management and Budget requires federal agencies to supply information on the time needed to complete forms and also to invite comments on the paperwork burden. NEH estimates that on average it takes sixty hours to complete an application. This estimate includes time for reviewing instructions; researching, gathering, and maintaining the information needed; and completing and reviewing the application. Time needed may vary from program to program.

You may send any comments regarding the estimated completion time or any other aspect of this application, including suggestions for reducing the completion time, to the Chief Funding

Opportunity Officer at grantmanagement@neh.gov. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB number. The OMB Control Number for this Notice of Funding Opportunity is 3136-0134, expiration date October 31, 2024.