

## **Notice of Funding Opportunity**

# **Digital Humanities Advancement Grants**

Funding Opportunity Number: 20250109-HAA Funding Opportunity Type: New

Federal Assistance Listing Number: 45.169

# Application Deadlines: January deadline extended to January 10, 2025 May 22, 2025

Applicants to NEH for awards with expected issuance dates on or after October 1, 2024, should be aware of revisions to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200) effective from that date. All NEH awards issued on or after October 1, 2024, will be subject to the revised regulations. You may incorporate these changes into your applications now. Additional information is available at <a href="https://www.neh.gov/grants/manage/2024-Revisions-to-2-CFR-200">https://www.neh.gov/grants/manage/2024-Revisions-to-2-CFR-200</a>.

Ensure your SAM.gov and Grants.gov registrations and passwords are current. It may take several weeks to register with SAM.gov and Grants.gov. NEH will not grant deadline extensions for lack of registration.

Office of Digital Humanities

Email: odh@neh.gov

OMB control number 3136-0134, expiration date October 31, 2024

# **Executive Summary**

The National Endowment for the Humanities (NEH) Office of Digital Humanities is accepting applications for the Digital Humanities Advancement Grants program. The program supports work that is innovative, experimental, and contributes to the critical infrastructure that underpins scholarly research, teaching, and public programming in the humanities.

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Funding Opportunity Title	Digital Humanities Advancement Grants	
Funding Opportunity Number	20250109-HAA	
Federal Assistance Listing Number	45.169	
Deadlines for Optional Draft	November 13, 2024, 11:59 p.m. Eastern Time	
	March 24, 2025, 11:59 p.m. Eastern Time	
Application Deadlines	January 10, 2025, 11:59 p.m. Eastern Time	
	May 22, 2025, 11:59 p.m. Eastern Time	
Anticipated Award Announcements	August 2025 (for the January deadline)	
	December 2025 (for the May deadline)	
Anticipated FY 2025 Funding	Approximately \$2,200,000	
Estimated Number and Type of Awards	Approximately 10-15 grants per deadline	
Award Amounts	Level I: up to \$75,000	
	Level II: \$75,001 to \$150,000	
	Level III: \$150,001 to \$350,000	
	Level III applicants may request an additional \$100,000	
	in federal matching funds per project (for a total award of	
	up to \$450,000).	
Cost Sharing/Match Required	No, unless you request federal matching funds	
Period of Performance	Level I and II: up to 24 months	
1 criod of 1 criormance	Level III: up to 36 months	
	never in: up to 30 months	
	January deadline: projects must start between	
	September 1, and November 1, 2025.	
	<b>May</b> deadline: projects must start between January 1, and	
	March 1, 2026.	
Eligible Applicants	nonprofit organizations recognized as tax-exempt	
Eligible Applicants	under section 501(c)(3) of the Internal Revenue	
	Code	
	accredited institutions of higher education (public or nonprefit)	
	or nonprofit)	
	state and local governments and their agencies  Colorell acceptaint Nation Appring Table	
	federally recognized Native American Tribal	
	governments	
	See C. Eligibility Information for additional information.	
Program Resource Page	https://www.neh.gov/grants/odh/digital-humanities-	
Trogram Resource Lage	advancement-grants	
Pre-Application Webinar	NEH will post a pre-recorded webinar to the program	
110 11ppiication medital	resource page by October 24, 2024.	
	resource page by October 24, 2024.	

Published	September 4, 2024
Updated	January 6, 2025 to extend deadline by one day

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# A. Program Description

## 1. Purpose

This notice solicits applications for the Digital Humanities Advancement Grants (DHAG) program from the Office of Digital Humanities.

The Digital Humanities Advancement Grant program supports work that is innovative, experimental, and contributes to the critical infrastructure that underpins scholarly research, teaching, and public programming in the humanities. In addition to the program's emphasis on experimentation and innovation, DHAG values extensibility, reuse, replicability, and accessibility. If your project is funded, you must analyze your workflow and publish your results in a white paper that NEH will share widely. This body of work contributes to the digital humanities' research base.

Projects primarily using established tools and methods or seeking to create digital collections are not competitive in this grant program since NEH has other programs that can support digital projects and platforms. Please refer to <a href="D6. Funding Restrictions">D6. Funding Restrictions</a> for a list of unallowable activities and <a href="Related funding opportunities">Related funding opportunities</a> for other NEH programs that support digital humanities projects.

See E1. Review Criteria for the criteria NEH will use to evaluate applications under this notice.

## **Funding levels**

Awards are available at three funding levels to allow you to identify a level appropriate to the scope and maturity of your project. You do not need to have had a Level I award to apply for a Level II project, nor do you need to have had a Level I or II award to apply for a Level III project. NEH will assign your project to the funding level based on the total outright funds requested. Please be mindful of this when indicating your funding level in the narrative section of the application.

#### Level I

- Up to \$75,000 and up to 24 months
- Supports smaller-scale projects or experimental or exploratory stages of larger projects
- Activities might include developing research agendas, piloting new methods, convening
  planning sessions with stakeholders or conducting audience and user experience
  research, designing prototypes, and facilitating convenings to address field-wide
  questions

#### Level II

- \$75,001 to \$150,000 and up to 24 months
- For projects that can demonstrate completion of an initial planning phase but are not yet ready for Level III funding; should include plans for extending work beyond the applicant institution
- Activities might include developing beta prototypes of open-source tools or software; evaluating and refining methods and workflows; conducting workshops or tutorials to disseminate project results

#### Level III

- \$150,001 to \$350,000 and up to 36 months
- For scaling up and expanding mature projects
- Must document completion of a planning or prototyping stage, current user statistics, and dissemination plans beyond the applicant institution
- Activities might include code review and bug fixing; creating training materials and documentation to promote wide use of the grant products; preparing data, software, or websites for future preservation; conducting accessibility compliance review

If you are applying at level III, you may request up to \$100,000 in federal matching funds (for a total award of up to \$450,000) to leverage external funding. See <u>NEH Federal Matching Funds</u> <u>Guidelines</u> and section <u>C2. Cost Sharing</u>.

Applicants at all levels can use grant funds for holding meetings with advisory boards and collaborators, conducting user experience testing and evaluations, data curation, and engaging in project-related outreach.

Funds may not be used for recurring costs such as day-to-day maintenance operations of existing projects or attendance at conferences or professional meetings, unless to disseminate project-related findings.

## **Program Outputs**

Examples of outputs include:

Level I: new consortia or partnerships, user experience testing reports and evaluations, planning documents for next stages, alpha stage experiments, and pilot studies.

Level II: working prototypes of tools, code libraries, or add-ons; beta version of the digital project; new workflows; and training data or models.

Level III: launch of the expanded/enhanced digital project; release of final software, code, or datasets; robust outreach activities beyond the applicant institution; implementation of sustainability plans.

All levels: conference presentations, workshops, tutorials, publications, training materials, and implementation of data management and sharing plans.

Describe your project outputs, and how they align with the overall goals of the DHAG program, in the <u>Final products and dissemination</u> section of your project <u>narrative</u>. If you receive an award, NEH will assess your performance based on the outputs you identify in the proposal. See <u>F3. Reporting</u>.

## 2. Background

NEH offers this funding opportunity under the authority of <u>20 U.S.C. § 956</u>. Awards are subject to <u>2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards</u>, and the <u>General Terms and Conditions for Awards to Organizations</u> (for grants and cooperative agreements issued January 1, 2022 or later).

Under Section 3(a) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, "The term 'humanities' includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism and theory of the arts; those aspects of the social sciences which have humanistic content and employ humanistic methods; and the study and application of the humanities to the human environment with particular attention to reflecting our diverse heritage, traditions, and history and to the relevance of the humanities to the current conditions of national life."

Use the <u>Funded Projects Query Form</u> to find examples of NEH-supported projects.

Learn more about NEH.

### Partnership with Institute of Museum and Library Services

The <u>Institute of Museum and Library Services</u> (IMLS) anticipates providing funding through this program in support of its efforts to advance national information infrastructures in libraries and archives, subject to the availability of funds and agency discretion. These funds may support DHAG projects that further the IMLS mission to advance, support, and empower America's libraries, archives, museums, and related organizations. IMLS funding supports innovative collaborations between library and archives professionals, humanities professionals, information scientists, and relevant public communities that advance the preservation of, access to, and public engagement with, digital collections and services. IMLS encourages DHAG applicants to work in collaboration with, and employ the expertise of, library and archives staff at your institution or across the country to strengthen knowledge networks, empower community learning, foster civic cohesion, advance research, and support the traditionally underserved.

If your organization seeks support for digital humanities projects involving museums, libraries, and/or archives, you are encouraged to apply to the DHAG program, but may also consider applying to either the IMLS <a href="National Leadership Grants for Libraries">National Leadership Grants for Museums</a> program.

Note that while some DHAG projects may be funded in part by IMLS and identified as joint NEH/IMLS projects, NEH will issue all awards and recipients must follow NEH grant management procedures.

#### **NEH Areas of Interest**

NEH is especially interested in supporting projects that advance humanities-related work in the following areas. NEH will give all applications equal consideration in accordance with the program's <u>review criteria</u>.

NEH encourages projects that include Native American organizations and communities as applicants and project partners.

## American Tapestry: Weaving Together Past, Present, and Future

<u>American Tapestry: Weaving Together Past, Present, and Future</u> is a wide-ranging special initiative at NEH that leverages the humanities to tackle some of the most pressing challenges of our time: strengthening our democracy, advancing equity for all, and addressing our changing climate. The initiative encourages humanities projects that elevate the role of civics in schools and public programs, advance knowledge of the country's history and political institutions, and

examine threats to its democratic principles. The initiative also encourages projects that explore the untold stories of historically underrepresented groups and build capacity at cultural and educational institutions to benefit underserved communities. Finally, the initiative welcomes projects that promote research into the historical roots and cultural effects of climate change and support the cultural and educational sectors in building climate resilience. By supporting humanities projects that align with these three themes – strengthening our democracy, advancing equity for all, and addressing our changing climate – the *American Tapestry* initiative seeks to tell our country's history in all its complexity and diversity.

## **United We Stand: Connecting Through Culture**

Hate must have no safe harbor in America — especially when that hate fuels the kind of violence we've seen from Oak Creek to Pittsburgh, from El Paso to Poway, and from Atlanta to Buffalo. When ordinary Americans cannot participate in the basic activities of everyday life — such as shopping at the grocery store or praying at their house of worship — without the fear of being targeted and killed for who they are, our security as well as democracy are at risk. In coordination with the White House "United We Stand" Summit in September 2022, NEH launched a new initiative titled *United We Stand: Connecting Through Culture* that uses the humanities to combat hate-motivated violence and promote civic engagement, social cohesion, and cross-cultural understanding. As a part of this initiative, NEH encourages humanities projects that further our understanding of the nation's racial, ethnic, gender, and religious diversity; examine the sources of hate and intolerance in the United States; and explore progress towards greater inclusiveness.

NEH especially welcomes projects that study antisemitism and/or Islamophobia in the context of the digital age and specifically the spread of online antisemitism and/or Islamophobia.

# NEH's Support for the Federal Indian Boarding School Initiative

As a part of NEH's partnership with the Department of the Interior on the Federal Indian Boarding School Initiative, NEH encourages projects that further public understanding and knowledge of the Federal Indian boarding school system. From 1819 through the 1970s the government of the United States operated a system of schools for Native American, Alaska Native, and Native Hawaiian children premised on a policy of coerced cultural assimilation. Native children were forcibly separated from their families and sent to attend federal Indian boarding schools, where they were frequently subject to harsh treatment and abuse. A number of these students died, and others never returned to their families and communities. Many were also deprived of their cultural inheritance. NEH encourages projects that document and explore the history of the federal Indian boarding schools as well as projects that contribute to Native and Indigenous cultural and language revitalization.

## **B. Federal Award Information**

## 1. Type of Application and Award

NEH seeks new applications in response to this notice.

NEH will provide funding in the form of grants.

## 2. Summary of Funding

#### **Award amounts**

You may request up to \$75,000 for Level I.

You may request between \$75,001 to \$150,000 for Level II.

You may request between \$150,001 to \$350,000 for Level III.

If you are seeking Level III funding, you may also request up to \$100,000 in federal matching funds (for a total award of up to \$450,000) to leverage external funding. For more information about federal matching funds, see <a href="NEH Federal Matching Funds Guidelines">NEH Federal Matching Funds Guidelines</a> and section <a href="C3.">C3.</a> <a href="Matching">Matching</a>.

Totals include the sum of direct and indirect costs.

NEH anticipates awarding approximately \$2,200,000 among an estimated 10-15 recipients.

NEH will not determine the funding available each fiscal year until Congress enacts the final budget. This notice is subject to the availability of appropriated funds and is a contingency action taken to ensure that, should funds become available for this purpose, NEH can process applications and issue awards in a timely manner.

## **Period of performance**

- Level I up to 24 months
- Level II up to 24 months
- Level III up to 36 months

The period of performance is the span of time during which you may incur new obligations to carry out the work under the NEH award. It must start on the first day of the month and end on the last day of the month.

If you apply for the January 2025 deadline, you may request a period of performance start date between September 1, 2025, and November 1, 2025.

If you apply for the May 2025 deadline, you may request a period of performance start date between January 1, 2026, and March 1, 2026.

You may have concurrent DHAG awards; however, you must not include the same project costs in two or more federal awards.

# C. Eligibility Information

# 1. Eligible Applicants

To be eligible to apply, your organization must be established in the United States or its jurisdictions as one of the following:

- a nonprofit organization recognized as tax-exempt under section 501(c)(3) of the Internal Revenue Code
- an <u>accredited institution of higher education</u> (public or nonprofit)
- a state or local government or one of their agencies
- a federally recognized Native American Tribal government

Individuals and other organizations, including foreign and for-profit entities, are ineligible.

If your organization is eligible, you may apply on behalf of a consortium of collaborating organizations. If NEH selects your proposal for funding, you will be programmatically, legally, and fiscally responsible for the award.

The recipient may not function solely as a fiscal agent but should make substantive contributions to the success of the project.

## 2. Cost Sharing

NEH does not require cost sharing in this program unless you request federal matching funds.

Cost sharing refers to project costs that are covered with non-NEH funds. NEH distinguishes between two types of cost sharing: voluntary cost sharing and federal matching funds.

#### **Voluntary cost sharing**

You may contribute voluntary cost share if the total project costs exceed the amount awarded by NEH. Voluntary cost share includes:

- cash contributions made to the project by you or a third party
- vour unrecovered indirect costs
- in-kind contributions (non-cash contributions, such as property or services, that benefit the project and are contributed without charge) by you or a third party

While you may describe voluntary cost share in your <u>budget justification</u>, you should not include it on your Research and Related Budget. The Research and Related Budget should only include funds you are requesting from NEH.

Peer reviewers will not consider any voluntary cost share in their evaluation of applications.

#### Federal matching funds (Level III applications only)

Applicants for Level III funding may request up to \$100,000 in federal matching funds to leverage third party funding. Funds to satisfy a matching requirement must be from sources outside of the applicant institution (a third party) consistent with the <a href="NEH Federal Matching Funds Guidelines">NEH Federal Matching Funds Guidelines</a>. If you request federal matching funds, you must raise third-party non-federal gifts dollar-for-dollar.

Include third-party non-federal gifts on your <u>Research and Related Budget</u> and identify what project activities they will support in the <u>budget</u> justification.

Successful applicants will receive an offer of federal matching funds, subject to specific regulatory and other conditions. NEH will certify the allowability of these gifts and will issue the award only when you have met the conditions of the offer. The full amount of federal matching funds may not be available for release in the first year of a multi-year period of performance.

Typically, NEH distributes federal matching funds on an annual basis over the period of performance.

If NEH selects your project for funding, you must raise and certify all eligible gifts and pledges at least one year before the end of your period of performance. NEH advises applicants who are requesting matching funds to consider a period of performance that allows adequate time to raise and certify funds. If you fail to certify required gifts by the deadline and do not request an extension by the end of the offer period, the matching funds offer may be withdrawn. See <a href="NEH Federal Matching Funds Guidelines">NEH Federal Matching Funds Guidelines</a>.

NEH will not release federal matching funds until you raise and certify eligible gifts. **In-kind** gifts and funds derived from the recipient organization are not eligible sources of certified gifts in this program.

You must maintain auditable records of the source and use of cost share. See 2 CFR § 200.306.

Learn about types of funds NEH offers.

## 3. Other Eligibility Information

An institution may submit multiple applications for separate and distinct projects under this notice, but individuals may not serve as a project director or co-director on more than one DHAG application per deadline. They may serve as personnel (not in a leadership role) on more than one DHAG application per deadline.

Full-time degree candidates may not be project directors or co-directors but may serve in other project roles and be compensated in the budget.

You may revise and resubmit previously rejected applications. Submissions are subject to the application requirements and <u>review criteria</u> of the current competition.

If NEH has previously made an award in support of your project, you may apply for a new or subsequent stage of the project, which NEH will assess using the <u>review criteria</u> of the current competition.

Per <u>2 CFR § 200.403(f)</u>, you must not include the same project costs in more than one application for federal funding or approved federal award budget. If one application to NEH is pending, a second application for the same project costs, even if to a different program, may be ruled ineligible. However, you may submit multiple proposals for **complementary** aspects of the same overall project. If you are submitting complementary proposals to other NEH programs or government agencies, specify when and to whom in the <u>Supplementary Cover Sheet for NEH Grant Programs</u>. NEH may disallow costs or reject applications that include overlapping project costs. An individual's level of effort cannot exceed 100% across multiple active federally funded awards.

NEH does not issue awards to other federal entities. If your project is so closely intertwined with a federal entity that the project takes on characteristics of the federal entity's own authorized activities, it is ineligible. You may use funds from, or sites and materials controlled by, other federal entities in your project, but you may not use them as gifts to release federal matching funds.

NEH does not provide financial assistance to foreign institutions or organizations. If you are an eligible domestic entity, you may apply for collaborative projects involving foreign organizations provided you do not use NEH funds for the purpose of issuing subawards to any foreign organization, as defined in <u>2 CFR §§ 200.1</u> and <u>.331(a)</u>. You may obtain the services of foreign individuals and consultants to carry out programmatic activities on a fee-for-service basis, as specified in <u>2 CFR § 200.459</u>. You may obtain goods and services from foreign vendors, such as in-country transportation services, in accordance with <u>2 CFR § 200.331(b)</u>. If you plan to submit an application involving international collaboration, contact program staff at <u>odh@neh.gov</u>.

Except for the rare cases covered by its <u>late submission policy</u>, NEH will not consider applications submitted after the <u>deadline</u>.

NEH will not consider incomplete, nonresponsive, or ineligible applications for funding.

NEH will not consider applications that exceed mandatory page limits or deviate from formatting instructions. See the <u>Application Components Table</u>.

# **D. Application and Submission Information**

# 1. Application Package

You must apply using Grants.gov Workspace or a Grants.gov system-to-system solution. You can find this funding opportunity in Grants.gov under number 20250109-HAA. There is also a link on the program resource page.

Once you have located the funding opportunity in Grants.gov, you will find the application package under the "Package" tab. It includes a series of required and conditionally required forms. You will upload additional application components using the <u>Attachments Form</u>.

You must complete a multistep registration process prior to submitting your application. See <u>D3. Unique Entity Identifier and System for Award Management</u>.

Contact odh@neh.gov to request a paper copy of this notice.

## 2. Content and Form of Application Submission

Your application will include a <u>narrative</u>, <u>budget</u>, and other <u>forms</u> and <u>attachments</u>. You will complete the forms within Grants.gov Workspace and upload other components into the <u>Attachments Form</u>. See the <u>Application Component Table</u>.

### **Application formatting**

Your application attachments must be PDFs and conform to the following formatting requirements, unless otherwise indicated:

- pages no larger than standard letter (8 ½" x 11")
- at least one-inch margins on all sides for all pages
- a font no smaller than 11 points

In addition, NEH encourages you to format your attachments consistent with the following:

- single-spacing
- a readable font such as Arial, Georgia, Helvetica, or Times New Roman
- any standard citation style (include citations in page counts)

## **Application Component Table**

NEH has assigned each application component one of the following designations:

- Required: You must submit this component.
- Conditionally required: You must submit this component if your proposal meets the specified conditions.
- Recommended: NEH encourages, but does not require, you to submit this component.
- Conditionally required for recipients: You are encouraged but not required to submit this component when you apply. You must submit it if you receive an award if your proposal meets the specified conditions.

NEH will not review applications missing any required documents or relevant conditionally required documents.

Do not include attachments other than those listed in the table in this notice. If you do, NEH will reject your application.

In addition, NEH has established page limits for some application components:

- Mandatory: You must not exceed the page limit.
- Suggested: NEH encourages, but does not require, you to abide by the page limit.

Note page limits and formatting instructions in this notice. **NEH will not review** applications that exceed mandatory page limits or deviate from formatting instructions.

<b>Application Component</b>	File Name	Designation	Page limit		
Attachments					
1: List of personnel	personnel.pdf	Required			
2: Narrative	narrative.pdf	Required	Level I: 4 pages Level II: 6 pages Level III: 8 pages (Mandatory)		
3: Work plan	workplan.pdf	Required	3 pages (Mandatory)		
4: Biographies	biographies.pdf	Required	1 paragraph per team member (Suggested)		
5: Data management and sharing plan	datamanagement.pdf	Required	2 pages (Mandatory)		
6: Sustainability plan	sustainability.pdf	Required for Level III	3 pages (Mandatory)		

7: Appendices	appendices.pdf	Recommended	10 pages (Suggested)
8: Letters of commitment	letters.pdf	Recommended	
<pre>9: Subrecipient budget(s)</pre>	subrecipient.pdf	Conditionally	
		required	
10: Federally negotiated	agreement.pdf	Conditionally	
<u>indirect cost rate agreement</u>		required	
11: Explanation of delinquent	delinquentdebt.pdf	Conditionally	
<u>federal debt</u>		required for	
		recipients	
Grants.gov forms			
SF-424 Application for Federal		Required	
Assistance - Short			
<u>Organizational</u>			
Supplementary Cover Sheet		Required	
<u>for NEH Grant Programs</u>			
<u>Project/Performance Site(s)</u>		Required	
<u>Location Form</u>			
Research and Related Budget		Required	
and <u>Budget Justification</u>			
Attachments Form		Required	
Certification Regarding		Conditionally	
Lobbying		required for	
		recipients	
Standard Form-LLL		Conditionally	
"Disclosure of Lobbying		required for	
Activities"		recipients	

## **Application Components: Attachments**

Each attachment must be a single PDF file. See the <u>Attachments Form</u> instructions for further guidance about file requirements. Read those instructions carefully, as Grants.gov will not accept files that do not meet the requirements.

## Attachment 1: List of personnel (required)

List project personnel carrying out programmatic activities, their titles, and institutional affiliations, if applicable. Clearly identify the project director and any co-directors. Include the names and affiliations of advisory board members, if any.

The project director and any co-directors are responsible for the programmatic aspects and day-to-day management of the proposed project. The names on this list should match the names mentioned in <a href="Mork plan">Attachment 3: Work plan</a>, <a href="Mork plan">Attachment 4: Biographies</a>, and the <a href="Mortvities and project team">Activities and project team</a> section of the narrative. NEH uses this list to ensure that prospective peer reviewers have no conflicts of interest with the proposals they evaluate.

Name the file personnel.pdf.

#### **Attachment 2: Narrative (required)**

Compose a comprehensive description of your proposed project. Your narrative should be succinct, well organized, and free of technical terms and jargon so that peer reviewers from a range of disciplinary backgrounds can understand the proposed project.

Do not include a cover sheet or table of contents. You may include images, charts, diagrams, footnotes, and endnotes if they fit within the mandatory page limit.

- For Level I proposals, the narrative must not exceed **four** (4) pages.
- For Level II proposals, the narrative must not exceed **six** (6) pages.
- For Level III proposals, the narrative must not exceed **eight** (8) pages.

Include all required and relevant details within the narrative. You may embed links to external sources, but NEH does not require peer reviewers to review proposals online or visit links.

Organize your narrative using the following section headings. Each section aligns with one or more <u>review criteria</u> NEH will use to evaluate your proposal.

#### Overview of the project (one paragraph)

State if you are applying for Level I, Level II, or Level III (the level is determined by the total you are requesting not the stage of your project) funding and describe how your project aligns with DHAG program goals and values outlined in <u>A1. Purpose</u>. As a reminder, projects primarily using established tools and methods or seeking to create digital collections are not competitive in this grant program. Provide a concise, non-specialist overview, stating the project's main goal, key activities, intended audiences, and expected outputs. This section can match the "<u>Description of project</u>" field in field 6.b of the SF-424 form you will submit via Grants.gov. The field has a limit of 1000 characters, including spaces.

#### **Enhancing the humanities**

Explain how your project benefits one or more humanities disciplines, addressing specific needs or challenges for target audiences (e.g., scholars, students, practitioners, public audiences). Discuss the anticipated impact of the project's outputs. Identify the technologies, platforms, and standards you plan to use. NEH views the use of open-source software as a key component in the broad distribution of exemplary digital scholarship in the humanities. If not using open-source software, justify your choice and explain how you will ensure broad access to the project's results. See <a href="Providing access to NEH-funded projects">Providing access to NEH-funded projects</a>.

#### **Environmental scan**

Summarize relevant past and current digital humanities work, its relevance to your project, and explain the unique contributions of your project. If you will develop software to solve a particular humanities problem, discuss existing software that addresses similar questions in other content areas outside of your field of expertise, and explain how your solution differs. If existing software products could be adapted for the proposed project, identify them and discuss the pros and cons of taking that approach.

This section should not provide new information about proposed project activities.

### History of the project

Provide a brief history of the project, summarizing preliminary research, planning, and previous related work, including publications. You should also discuss prior financial support and available resources and research facilities. If you are requesting support for part of a larger initiative, clearly delineate the specific part intended for DHAG funding.

If revitalizing, recovering, or sustaining an older project, describe its current maintenance, user community, and impact on the field.

If you are seeking a Level II award, describe results from your initial planning phases or proof-of-concept work here.

If you are seeking a Level III award, document work completed to date, the results of testing and evaluation from earlier stages, and user metrics.

You may include executive summaries of any planning documents, citations, and lists of publications with URLs in <u>Attachment 7: Appendices</u>. Bear in mind that peer reviewers are under no obligation to visit external sites.

#### Activities and project team

Summarize key activities that will take place during the project's period of performance, indicating which personnel are responsible for which activities. This section should align with <a href="Attachment 3: Work plan"><u>Attachment 3: Work plan</u></a> and <a href="Attachment 4: Biographies"><u>Attachment 4: Biographies</u></a>. Describe how the project will support all staff, including students and contingent faculty, through project-based learning, mentoring, immersion in the activities of the project, or other professional development opportunities.

Explain how you will measure success and impact, possibly by including external evaluators or advisory board members. For example, a software development project might schedule user testing at regular intervals using internal staff, while another project focused on digital public humanities might consult regularly with a specific community outside of the applicant's institution. Evaluation should align with goals in the "Enhancing the humanities" section. (For more information on evaluating humanities projects, see the Rhode Island Council on the Humanities Evaluation Toolkit.)

Include draft agendas and participant lists (or criteria for selecting participants) for workshops or conferences. <u>Attachment 7: Appendices</u>.

### Final products and dissemination

Detail expected final products and their alignment with project goals. Outline dissemination plans to reach intended audiences, ensuring tools and results extend beyond the applicant institution. Explain how you will ensure accessibility for individuals with disabilities by identifying the guidelines or standards to which you will adhere with respect to accessibility and universal design, as well as the disabilities you intend to address (for example, cognitive or physical disabilities, impaired sight, or impaired hearing). See <a href="H. Other Information">H. Other Information</a> for resources for designing for accessibility referencing relevant guidelines.

If you are developing new software, NEH encourages you to make its use, reuse, distribution, and modification free. You should make open-source software or source code publicly available in an online repository, such as GitHub, Zenodo, or the Knowledge Commons CORE Repository, and you should thoroughly document it to promote its reuse and implementation, and to ensure the reliability of data and reproducibility of results.

The narrative must not exceed the page limits designated in the application component table. Note: NEH will determine your funding level by the total costs that you request regardless of how you describe it in the application. Name the file narrative.pdf.

#### Attachment 3: Work plan (required)

Outline the major activities described in the <u>narrative</u> (Activities and Project Team section) ensuring these align with the period of performance indicated on the <u>SF-424 Application for Federal Assistance - Short Organizational</u> and the <u>Research and Related Budget</u>.

Include specific tasks, milestones, and responsible staff (including advisory board members). Use a Gantt chart or similar schematic if desired. Identify risks (e.g., staff attrition, software delays, travel restrictions) and risk mitigation strategies. For Level III projects requesting matching funds, specify tasks covered and contingency plans if you are unable to raise matching funds.

The work plan must not exceed three pages. Name the file workplan.pdf.

#### **Attachment 4: Biographies (required)**

Provide one-paragraph biographies for all project personnel, highlighting their technical and/or humanities qualifications for the project. Indicate if a person is a current degree candidate (student). Include a one-paragraph detailed job description for any open positions. If applicable, provide one-paragraph biographies for advisory board members.

**Do not submit CVs or resumes.** Name the file biographies.pdf.

#### Attachment 5: Data management and sharing plan (required)

DHAG projects may generate data such as software code, algorithms, databases, digital tools, conference proceedings, reports, articles, research notes, or websites.

Prepare a plan outlining the types of data your project might generate and plans for collecting, sharing, and managing data during and beyond the project's period of performance. Explain the project's policies on public access, privacy, security, and intellectual property. Describe who will manage data, including resources and facilities. Address data sharing with partners and stakeholders, legal or ethical restrictions, and the lowest level of aggregated data to share. Explain mechanisms for sharing data and making it accessible to others. Explain any data management costs in your budget justification.

The Data Management Sharing Plan must not exceed two pages. Name the file datamanagement.pdf.

## Attachment 6: Sustainability plan (required for Level III applicants)

If you are applying for a Level III award, discuss the long-term technical, personnel, and financial needs of your project and how you will sustain it after the period of performance. Discuss any institutional support available or that has been committed, including staff time or hosting space, and future funding streams. Consult the NEH-funded reports <u>Sustaining Digital Resources: A Briefing Paper for Leaders of Projects with Scholarly Content</u> and <u>The Socio-Technical Sustainability Roadmap</u> for advice on developing your plan.

Do not include a sustainability plan if you are applying for Level I or Level II funding. NEH will remove sustainability plans if included in Level I or Level II applications.

The sustainability plan must not exceed three pages. Name the file sustainability.pdf.

#### **Attachment 7: Appendices (recommended)**

Include relevant materials such as bibliographies or works cited, start-up phase materials such as planning documents, agendas and participant lists for workshops and meetings, use cases or scenarios, wireframes or annotated screen shots, and user experience results from earlier stages of the project. Describe images and documents to help reviewers understand what is depicted and how it relates to the project.

The appendices have a suggested page limit of ten pages. Name the file appendices.pdf.

#### **Attachment 8: Letters of commitment (recommended)**

Include brief letters from personnel outside the applicant institution confirming involvement and responsibilities. Letters of commitment should not discuss the project's value or potential impact.

**Do not include letters of support.** NEH will remove letters submitted by individuals not participating in the project from your application.

Name the file letters.pdf.

#### Attachment 9: Subrecipient budget(s) (conditionally required)

If your project includes subawards, you must provide a separate Research and Related Budget and budget justification for each subrecipient.

Download a <u>fillable PDF of the Research and Related Budget form</u> from the NEH website for each subrecipient. You must open and complete this form in Adobe Reader 8.0 or higher rather than in your web browser.

Prepare a budget and budget justification for each subrecipient using the <u>same instructions</u> in this notice, with a few exceptions:

- Enter the subrecipient's Unique Entity Identifier, organization name, and period of performance start and end date (these fields will not prepopulate).
- For "budget type," check "Subaward/Consortium."

If your subrecipients have a federally negotiated indirect cost rate, you must honor it. If they do not have a federally negotiated indirect cost rate, they may negotiate a rate with you consistent with 2 CFR § 200.414 or use the de minimis rate.

Rather than attaching related documents (budget justification, additional personnel, additional equipment) to the form itself, you will separately convert each document into a PDF and combine all subrecipients' budget forms and related documents into a single document. Do not attach portfolios containing multiple PDFs.

NEH cannot accept security-enhanced PDFs (e.g., XFA files, PDFs that include password-protection, encryption, digital signatures). Remove these features (or "flatten" these files) before merging them into a single PDF and uploading it to the <u>Attachments Form</u>.

Name the file subrecipient.pdf.

# Attachment 10: Federally negotiated indirect cost rate agreement (conditionally required)

If your organization is claiming indirect costs and has a current federally negotiated indirect cost rate agreement, provide a copy of the agreement. If applicable, provide the indirect cost rate agreements for subrecipients claiming indirect costs. If you and your subrecipients are requesting the de minimis rate, you do not need to submit this attachment.

Name the file agreement.pdf.

# Attachment 11: Explanation of delinquent federal debt (conditionally required for recipients)

If your organization is delinquent in the repayment of any federal debt, explain why. Provide evidence that you have entered into a repayment agreement with the Internal Revenue Service, if applicable, and that you are current on all payments due. Examples of relevant debt include delinquent payroll or other taxes, audit disallowances, and benefit overpayments. See <a href="OMB Circular A-129">OMB Circular A-129</a>.

Name the file delinquentdebt.pdf.

## **Application Components: Grants.gov Forms**

# SF-424 Application for Federal Assistance – Short Organizational (Grants.gov form)

This form requests basic information about your institution, the proposed project, and key contacts. Items 1, 2, and 4 will be automatically filled in; leave item 3 blank.

#### 5. Applicant Information

- a-d. Provide your organization's legal name, address, and web address. Select the applicant type that best describes your organization from the drop-down menu.
- e-f. Provide your organization's employer/taxpayer identification number (EIN/TIN), and Unique Entity Identifier assigned by the <u>System for Award Management</u>. If you do not know your identifier, contact your grant administrator or chief financial officer. See <u>D3</u>. <u>Unique Entity Identifier and System for Award Management</u>.
- g. Provide your <u>congressional district</u> with your two-character state abbreviation followed by your three-character district number. For example, if your institution is located in the 5th congressional district of Alabama, enter "AL-005." If your institution is in a state or U.S. jurisdiction that does not have districts, enter "000" in place of the district number. If your institution is outside the U.S., enter "00-000."

#### 6. Project Information

- a. Provide your project's title. It should be brief (no more than 125 characters, including spaces), descriptive of the project, and easily understood by the general public. If NEH funds your project, the agency may retitle your project for clarity in internal and external communications, including the public announcement of awards. Regardless, you may use your preferred title when carrying out the project.
- b. Provide a brief description of your project (no more than 1,000 characters, including spaces). You should write the description for a nonspecialist audience, clearly stating the importance of

the proposed work and its relation to larger issues in the humanities. This description will appear on the cover sheet of your application and will serve as a project abstract during the review process. If funded, this description will also appear in NEH's database of funded projects.

c. State your project's period of performance start and end dates. Your project must start on the first day of the month and end on the last day of the month. See <u>B2</u>. <u>Summary of Funding</u> for allowable periods of performance.

#### 7. Project Director

Provide the project director's name, title, and contact information. The project director is responsible for the programmatic aspects and day-to-day management of the proposed project. You must notify the NEH Office of Grant Management immediately if you need to change project directors.

If the project director is not employed by the applicant organization, you must have a formal written agreement with the project director that specifies an official relationship between the parties even if the relationship does not involve a salary or other form of remuneration.

#### 8. Primary Contact/Grant Administrator

Provide the name, title, and contact information for the official responsible for the administration of the award (e.g., negotiating the budget and ensuring compliance with the terms and conditions of the award).

# As a matter of NEH policy, the project director and primary contact/grant administrator must not be the same person.

The grant administrator (also called the "institutional grant administrator") functions as the representative of the recipient organization. This individual should have authority to act on the organization's behalf in matters related to the administration of the award. The institutional grant administrator must sign or countersign financial reports and prior approval requests such as budget revisions, extensions to the period of performance, and changes in key personnel.

NEH will address official correspondence (for example, the offer letter or Notice of Action) to the institutional grant administrator and copy the project director.

#### 9. Authorized Representative

Provide the name, title, and contact information for the authorized organization representative (AOR) who is submitting the application on behalf of the institution. This person, often called an "authorizing official," is typically someone from the Office of Sponsored Projects, or the institution's president, vice president, executive director, or board chair. The institution's Grants.gov E-Business Point of Contact must designate the AOR. The AOR's signature must match the contact's name. See the Grants.gov Online User Guide.

### **Supplementary Cover Sheet for NEH Grant Programs (Grants.gov form)**

#### 1. Project Director

Select the project director's major field of study from the drop-down menu.

#### 2. Institutional Information

Select your institution type from the drop-down menu.

#### 3. Project Funding

- Under "Outright Funds," enter the amount of outright funds you are requesting.
- Under "Federal Match," enter the amount of federal matching funds you are requesting.
- Under "Cost Sharing," enter the required cost share you will provide to release the federal matching funds (dollar-for-dollar). Do not include voluntary cost share.

Learn more about the types of funding NEH offers.

#### 4. Application Information

Indicate whether you or others will submit complementary proposals to other NEH programs, government agencies, or private entities. If so, specify when and to whom. NEH will not consider this information when evaluating the merits of your proposal. See <u>C3</u>. Other <u>Eligibility Information</u> for restrictions regarding overlapping costs.

For type of application, check "new."

Select the project's primary discipline from the drop-down menu. If applicable, select the project's secondary and tertiary disciplines.

#### **Project/Performance Site Location(s) Form (Grants.gov form)**

Provide the primary location and any other locations where you will conduct project activities during the period of performance.

Enter <u>congressional districts</u> using the two-letter state abbreviation followed by your three-character district number. For example, if your institution is in the 5th congressional district of Alabama, enter "AL-005." If your institution is in a state or U.S. jurisdiction that does not have districts, enter "000" in place of the district number. If your institution is outside the U.S., enter "00-000."

The form has space for 300 sites. If your project includes additional locations, list them in a separate document. Save the document as a PDF named additional locations.pdf and attach it under "Additional Locations."

## Research and Related Budget (Grants.gov form)

You must submit a project budget using the Research and Related Budget form included in the Grants.gov application package and attach a <u>budget justification</u>. Complete the <u>SF-424</u> <u>Application for Federal Assistance - Short Organizational form prior to completing your Research and Related Budget.</u>

Complete a separate detailed budget for each 12-month span of the period of performance. The form will generate a cumulative budget.

The form indicates required fields in yellow. Round all costs to the nearest whole dollar. The inclusion of a cost category on the Research and Related Budget form does not automatically indicate that an expense is allowable in this program. See <u>D6. Funding Restrictions</u> to ensure that proposed costs are allowable.

If you request only outright funds, your budget should include only the funding you are requesting from NEH. If you request federal matching funds, your budget must equal the total

funding requested from NEH (outright and federal matching funds) and the one-to-one required third party cost share for the federal matching funds. Refer to the <u>NEH Federal Matching Funds Guidelines</u> regarding the allowability of gifts for matching purposes. The total federal matching funds and cost share should equal the amounts indicated on the <u>Supplementary Cover Sheet for NEH Grant Programs</u> in the "Federal Matching" and "Cost Sharing" fields. Learn about <u>types of funds NEH offers</u>.

If you provide voluntary cost share, do not include it on the Research and Related Budget form. You may describe it in your <u>budget justification</u>.

All costs, whether supported by NEH funds or required cost sharing contributions (if applicable), must be reasonable, necessary to accomplish project objectives, allowable in terms of <u>2 CFR 200 Subpart E - Cost Principles</u>, auditable, and incurred during the period of performance. Costs are subject to audit, record retention, and other requirements set forth in <u>2 CFR 200 Subpart F - Audit Requirements</u>.

You should include only your own employees under <u>A. Senior/Key Person</u> and <u>B. Other Personnel</u>. Include team members in leadership roles in the project under <u>A. Senior/Key Person</u>. Include other team members under <u>B. Other Personnel</u>. Include costs for non-employees under <u>F3. Consultant Services</u> or <u>F5. Subawards/Consortium/Contractual Costs</u>, as appropriate.

If you charge indirect costs to the project, you must not charge the same costs to the project as direct costs. See H. Indirect Costs.

#### **Introductory Fields**

Your <u>SF-424 Application for Federal Assistance - Short Organizational</u> form should prepopulate introductory fields. If not pre-populated, indicate your organization's Unique Entity Identifier, name, and the period of performance. This should match the information you provide on your SF-424 Application for Federal Assistance - Short Organizational. Your period of performance must start on the first day of the month and end on the last day of the month. For budget type, check "project."

#### A. Senior/Key Person

Include personnel who are employed by the applicant institution in leadership roles on the project. Do not include collaborators at other institutions or consultants, as you will include them in F. Other Direct Costs.

Enter the base salary (annual compensation) for each senior/key person and identify the number of months they will devote to the project. If you do not include base salaries, your award may be delayed.

Many non-academic institutions organize their budgets using calendar months. If your organization does not differentiate between academic and summer months, use only the calendar months column.

If your organization follows an academic calendar, you may differentiate levels of effort by using the academic and summer months columns. You may use both columns if your institutional policy requires separate accounting for academic and summer months. If your institution does not use a nine-month academic year and a three-month summer period, include your institution's definition of these terms in your <u>budget justification</u>.

Enter the requested salary and fringe benefits for each senior/key person. Per <u>2 CFR § 200.431</u>, fringe benefits are allowances and services you provide in addition to salaries and wages. Fringe benefits may include the costs of leave (e.g., vacation, family-related, sick, or military), employee insurance, pensions, and unemployment benefit plans.

Requested salaries and wages must comply with <u>2 CFR §§ 200.430</u> and <u>.466</u> and fringe benefits must comply with <u>2 CFR § 200.431</u>.

The form will calculate the requested salary and fringe benefits for each key person.

The form has space for up to eight people. If you request funds for additional key personnel, list them in a separate document using the same format as the Research and Related Budget. Save the document as a PDF named additional personnel.pdf and attach it under "Additional/Senior Key Persons." If applicable, enter the total funds requested for additional senior/key persons in the "Total Funds requested for all Senior/Key Persons in the attached file" field.

#### **B.** Other Personnel

Include personnel employed by the applicant institution who will play a supporting role on the project. For each project role, identify the number of personnel proposed, the total number of months, total salary, and total fringe benefits requested as described in <u>A. Senior/Key Person</u>. The form will calculate the requested salary and fringe benefits for each group.

#### Post-doctoral associates, graduate students, and undergraduate students

If applicable, include charges for tuition remission and other forms of compensation paid to students as, or in lieu of, salaries and wages. You must report such costs in accordance with 2 CFR § 200.466(b), and must treat them as direct or indirect costs in accordance with the actual work performed. You may charge tuition remission on an average rate basis.

#### Administrative/Clerical

In most circumstances, you should include the salaries of administrative, secretarial, or clerical staff as indirect costs (see <u>H. Indirect Costs</u>). Per <u>2 CFR § 200.413(c)</u>, you may charge salaries for administrative or clerical staff as direct costs only if they meet the following conditions:

- The administrative or clerical services are integral to a project or activity.
- The individuals can be specifically identified with the project or activity.
- You explicitly include these costs in your budget or have prior written approval from NEH
- You do not recover the costs as indirect costs.

If you include administrative or clerical salaries in your budget as direct costs, describe how direct charging meets all four conditions in your <u>budget justification</u>. NEH may request additional information to assess if proposed costs are allowable.

#### **Additional Other Personnel**

List additional project roles, if applicable. The form has space for six named roles. If you have more, combine project roles on the form and explain in your <u>budget justification</u>.

Requested salaries and wages must comply with <u>2 CFR §§ 200.430</u> and <u>.466</u> and fringe benefits must comply with <u>2 CFR § 200.431</u>.

Do not list collaborators at other institutions or consultants here, as you will include them in  $\underline{F}$ . Other Direct Costs.

#### **C. Equipment Description**

Equipment is nonexpendable personal property costing \$5,000 or more per item and having a service life of more than one year (unless your organization has established a lower level). See <u>2</u> <u>CFR §§ 200.313</u> and <u>.439</u>.

You may purchase equipment if analysis demonstrates that it is more economical and practical than leasing. You may charge depreciation in compliance with <u>2 CFR § 200.436</u>. If you lease equipment, include equipment rental/user fees in <u>F. Other Direct Costs</u>.

Per <u>2 CFR § 200.322</u>, you should preference goods, products, and materials produced in the United States purchased or acquired under a federal award. If NEH funds your project, you must include the requirements of this section in all subawards, contracts, and purchase orders for work or products.

List each item of equipment you plan to purchase and its estimated cost, including shipping and maintenance. Include supporting information, such as a price quote, in your <u>budget</u> <u>justification</u>. As a matter of programmatic policy, equipment costs cannot be more than 2/3 of the direct costs.

The form has space for ten items. If you request funds for additional equipment, list them in a separate document. Save the document as a PDF named additional equipment.pdf and attach it under "Additional Equipment." Enter the total funds for the additional equipment in the "Total funds requested for all equipment listed in the attached file" field.

The form will calculate total equipment costs.

#### D. Travel

Enter the total funds you are requesting for both domestic travel (local and long-distance) and foreign travel (including travel to Canada and Mexico). Detail costs for each trip in your <u>budget</u> <u>justification</u>. If you make non-refundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.

Travel costs must comply with <u>2 CFR § 200.475</u> and the <u>General Terms and Conditions for Awards to Organizations</u>. NEH uses the General Services Administration's <u>published per diem rates</u> to assess if proposed travel costs are reasonable.

You may not use NEH funds to attend regularly occurring professional meetings unless the purpose of attending is to disseminate project-related findings.

Include travel costs for participants in E<sub>3</sub> and travel costs for consultants in F<sub>3</sub>.

The form will calculate total travel costs.

#### E. Participant/Trainee Support Costs

Participants are the beneficiaries, not the providers, of a service or training opportunity (such as a workshop, conference, seminar, or symposium) funded by a federal award.

<u>2 CFR § 200.1</u> defines participant support costs as direct costs for expenses such as stipends, travel allowances, subsistence allowances, and registration fees paid to or on behalf of participants (but not employees) in connection with conferences or training projects.

<u>2 CFR § 200.432</u> defines a conference as a meeting, retreat, seminar, symposium, workshop, or event, the primary purpose of which is the dissemination of technical information beyond the non-federal entity, and which is necessary and reasonable for successful performance under the federal award.

Include supporting information in your <u>budget justification</u>. If you or your participants make nonrefundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.

If you claim indirect costs, exclude participant support costs from the MTDC base. The form will calculate total participant support costs.

#### 1. Tuition/Fees/Health Insurance

Leave this field blank.

#### 2. Stipends

Enter the requested funds for participant stipends.

#### 3. Travel

Enter the requested funds for participant travel. In your <u>budget justification</u>, name the travelers (if known) and itemize their travel expenses (e.g., roundtrip airfare, mileage, public transportation fares, parking fees).

#### 4. Subsistence

Enter the requested funds for participant subsistence (e.g., lodging and service charges; meals, including taxes and tips; and incidental expenses).

#### 5. Other

Describe other participant support costs (e.g., local ground transportation to venues, admission fees) and enter the requested funds. Provide additional information in your <u>budget justification</u>.

#### **Number of Participants/Trainees**

Enter the number of participants. This field cannot exceed 999.

#### F. Other Direct Costs

The form will calculate total other direct costs.

#### 1. Materials and Supplies

Enter the requested funds for materials and supplies that cost less than \$5,000 per item, regardless of its useful life, unless your organization has established a different capitalization level. See 2 CFR §§ 200.314 and .453.

#### 2. Publication Costs

Enter the requested funds for publication costs, including the costs of documenting, preparing, publishing, or otherwise making available to others the findings and products of the work conducted under the award. Include supporting information in your <u>budget justification</u>.

#### 3. Consultant Services

Enter the requested funds for consultant services. If your project includes an external advisory committee, include associated costs here.

Consultant fees must comply with <u>2 CFR § 200.459</u>.

#### 4. Automated Data Processing (ADP)/Computer Services

Enter the requested funds for ADP/computer services, including computer-based retrieval of scientific, technical, and education information. Include personal computers and accessories under <u>Materials and Supplies</u>. If a third party will provide these services, include them in <u>Subawards/Consortium/Contractual Costs</u>.

#### 5. Subawards/Consortium/Contractual Costs

Enter the total funds you are requesting (both direct and indirect costs) for activities third parties will perform.

Per <u>2 CFR §§ 200.1</u> and <u>.331(a)</u>, a subaward is an award you issue to a subrecipient to carry out part of your federal award. Subawards do not include payments to contractors (as defined in <u>2 CFR §§ 200.1</u> and <u>.331(b)</u>) or payments to individuals who are beneficiaries of federal programs.

You may provide a subaward through any form of legal agreement, including an agreement you consider a contract. The substance of the relationship between a passthrough entity and a third party is more important than the form of the agreement when determining if the third-party functions as subrecipient or contractor. See <u>2 CFR § 200.331(c)</u>.

NEH may request additional information to assess if proposed costs are reasonable and allowable. See <u>2 CFR §§ 200.331</u> and <u>.332</u>.

If your budget includes subawards, you must submit a separate Research and Related budget and budget justification for each subrecipient. See <u>Attachment 9: Subrecipient Budget(s)</u>.

If a subrecipient claims indirect costs, include its federally negotiated indirect cost rate agreement in <u>Attachment 10: Federally negotiated indirect cost rate agreement</u>. You do not have to include an agreement for any subrecipient that requests the 10% de minimis rate.

See <u>F2</u>. <u>Administrative and National Policy Requirements</u> for additional information on monitoring subrecipients' performance. <u>Learn more about managing subawards</u>.

#### 6. Equipment or Facility Rental/User Fees

Enter the requested funds for rental fees for equipment and facilities.

If you will use your own equipment and facilities, you may charge depreciation in compliance with <u>2 CFR § 200.436</u>. Alternatively, if you will use equipment you purchased with federal funds under another award, you may charge user fees consistent with <u>2 CFR § 200.313(c)(2)</u>. You may not charge both depreciation and user fees.

If you will host a conference, you may rent facilities per <u>2 CFR § 200.432</u>. If you rent facilities under a "less-than-arm's-length" lease, you must comply with <u>2 CFR § 200.465(c)</u>.

Federally funded meetings and conferences must take place in properties that comply with the <u>Hotel and Motel Fire Safety Act of 1990 (Pub. L. 101-391)</u>. Consult the U.S. Fire Administration's <u>National Master List</u> for fire code compliant hotels.

#### 7. Alterations and Renovations

Leave this line blank. Per <u>2 CFR § 200.462</u>, costs you incur for ordinary and normal rearrangement and alteration of facilities are allowable as indirect costs.

#### 8-10. Other

List items you have not included in other previous categories or in the indirect cost pool. "Other" project-specific costs may include fees for promotion, acquisition, rights, evaluation and assessment, and accessibility accommodations (e.g., audio description, sign-language interpretation, closed or open captioning, large-print brochures/labeling). "Miscellaneous" and "contingency" are not acceptable budget categories.

Per <u>2 CFR § 200.432</u>, allowable conference costs include speakers' fees, costs of meals and refreshments, and other incidental items. You must exercise discretion and judgment to ensure that costs are appropriate, necessary, and managed in a manner that minimizes costs to the award.

Food is only allowable when vendors are unavailable during the scheduled program. You may not use federal funds for meals or refreshments at receptions or networking events. If participants receive complimentary meals or refreshments during meetings, conferences, training, or other events while on NEH-supported travel, you must reduce the per diem you charge to the award. Per <u>2 CFR § 200.423</u>, you may not use federal funds for alcoholic beverages.

#### **G. Total Direct Costs**

The form will calculate total direct costs.

#### **H. Indirect Costs**

Indirect costs are costs that your institution incurs for common or joint objectives and that you cannot readily identify with a specific project or activity. Indirect costs include such expenses as the depreciation on buildings, equipment, and capital improvements; operations and maintenance expenses; accounting and legal services; and salaries of executive officers.

You do not have to claim indirect costs, but if you do, calculate the amount you may request by multiplying the applicable indirect cost rate by the distribution base, which is typically the project's modified total direct costs (MTDC).

Per <u>2 CFR § 200.1</u>, MTDC are direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subaward). MTDC exclude equipment, capital expenditures, rental costs, tuition remission, scholarships and fellowships, participant support costs, and the portion of each subaward over \$25,000.

Review your institution's negotiated indirect cost rate(s) to ensure you are using the most appropriate rate for your project. Many institutions of higher education negotiate multiple rates, such as "research," "instruction," and "other sponsored activities." An institution's "research" rate is not the appropriate rate for inclusion in NEH project budgets, except in rare cases, since it is reserved for projects involving scientific research, not scholarly inquiry of the type most often supported by NEH.

Except as provided in <u>2 CFR § 200.414(c)(1)</u>, NEH must use the negotiated rate(s) in effect at the time it issues your award and will not adjust the rate(s) throughout the life of your award. NEH will not adjust your award amount due to changes to your negotiated rates.

If your institution does not have a negotiated rate with the federal government when NEH issues an award (because it is a new recipient or the parties cannot reach agreement on a rate), but has a provisional rate, NEH must use the provisional rate until a final cost is negotiated and approved by the cognizant agency, except as provided in <u>2 CFR § 200.414</u>. If the recipient fails to negotiate an indirect cost rate applicable to the period of performance within the period of performance, NEH may disallow indirect costs.

If your organization does not have a federally negotiated indirect cost rate, you may:

- submit an indirect cost proposal to your cognizant federal agency to negotiate a rate within three months of your award's period of performance start date; or
- charge a de minimis rate of 10% applicable to MTDC (see 2 CFR § 200.414(f))

If you choose one of these options, indicate this under <u>Indirect Cost Type</u>. If you do not choose either of the above options, you may only charge costs that are allocable, allowable, and reasonable to the award.

Include a copy of your federally negotiated indirect cost rate agreement, along with subrecipient agreements, as <u>Attachment 10: Federally negotiated indirect cost rate agreement</u>, if applicable.

Reference NEH's General Guidance on Calculating Indirect Costs for more information.

If NEH is your cognizant agency, reference <u>Guidance for Negotiating an Indirect Cost Rate</u> Agreement with NEH.

#### **Indirect Cost Type**

Enter the type of indirect cost rate (e.g., "other sponsored activities," "all programs," "instruction," "10% de minimis") and base (e.g., "MTDC," "salaries," "salaries & fringe") and whether the activity and rate are on- or off-site. If your budget includes more than one indirect cost rate or base, list them as separate entries (i.e., if your project includes activities that occur both on and off campus). If you do not have a current indirect rate agreement, but intend to negotiate one, write "None-will negotiate" and provide additional detail in your budget justification.

#### **Indirect Cost Rate (%)**

Enter the most recent indirect cost rate(s) established with your cognizant federal agency (or the 10% de minimis rate) as a number without special characters (i.e., 32.5).

#### **Indirect Cost Base (\$)**

Enter the base for each indirect cost type. Describe any exclusions in your <u>budget justification</u>. If applicable, refer to your federally negotiated indirect cost rate agreement to determine how to calculate the indirect cost base.

#### Funds Requested (\$)

Enter the requested funds for each indirect cost type.

#### **Total Indirect Costs**

The form will calculate total indirect costs.

#### **Cognizant Federal Agency**

Enter the name of your cognizant federal agency and a point of contact, if applicable.

#### I. Total Direct and Indirect Costs

The form will calculate total project costs. Total project costs should not exceed \$75,000 for Level 1; \$150,000 for Level 2; \$350,000 for Level 3; or \$550,000 for Level 3 with matching funds.

#### J. Fee

Leave this field blank.

#### K. Total Costs and Fee

The form will calculate this field, which will be the same amount as <u>I. Total Direct and Indirect</u> Costs.

#### L. Budget Justification

You must provide a budget justification to support your project. Specifically describe how each item supports your proposed objectives, detail how you calculated all costs, and provide supporting documentation. Organize your budget justification using the section headings on the Research and Related Budget.

If you provide voluntary cost share, you may describe it in the budget justification to contextualize the project. Do not include voluntary cost share on the Research and Related Budget form.

If you are requesting federal matching funds, identify the expected source(s) of funding. See the NEH Federal Matching Funds Guidelines for information on allowable third-party, non-federal gifts you can use for matching purposes. Your requested federal matching funds and required cost share should correspond with the amounts on the <a href="Supplementary Cover Sheet for NEH Grant Programs">Supplementary Cover Sheet for NEH Grant Programs</a> in the "Federal Matching" and "Cost Sharing" fields, respectively.

Confirm that the amounts in your budget, budget justification, and cover sheet are the same.

Save the document as a PDF named justification.pdf. Attach only one file to the Research and Related Budget form. Do not use your budget justification to expand your narrative.

#### A. Senior/Key Person: Budget Justification

Detail the salary and wages you will pay to each Senior/Key Person. Provide their names and briefly describe their roles in and suitability to the project. Identify the fringe benefit rate and explain the base for each person. If your organization follows an academic calendar, explain any differences in compensation between academic and summer months.

#### **B.** Other Personnel: Budget Justification

List names (if known), roles, months, and requested salary and fringe benefits for other personnel, including post-doctoral associates, graduate students, undergraduate students, and administrative/clerical personnel.

If your budget includes administrative/clerical personnel, describe how they meet the <u>four</u> conditions for inclusion as a direct cost.

#### C. Equipment Description: Budget Justification

Detail the number and unit cost for each item and explain how you determined these figures. Provide vendor quotes or price lists, if applicable. As a matter of programmatic policy, equipment costs cannot be more than 2/3 of the direct costs.

#### D. Travel: Budget Justification

For each trip, provide the name of the key personnel or employee(s) (if known), explain the purpose of the trip, and specify the points of origin and destination. Break out the costs of transportation, lodging, per diem, and any other associated expenses. Explain how you determined these figures. You must justify each trip separately, except for recurring local trips, which you may group together.

For local travel, include the mileage rate, number of miles, reason for travel, and staff members completing the travel. For long-distance travel, calculate per diem amounts for meals and lodging consistent with written institutional policy. You must use the lowest available commercial fares for coach or equivalent accommodations. If you make nonrefundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.

#### E. Participant/Trainee Support Costs: Budget Justification

Describe how you calculated participant stipends, travel, subsistence, and other costs. If possible, detail participant travel costs using the instructions above.

#### F. Other Direct Costs: Budget Justification

#### 1. Materials and Supplies

Indicate general categories (e.g., personal computers, digital cameras, archival supplies). Provide a total for each category. Itemize categories totaling \$1,000 or more and provide vendor quotes or price lists, if applicable.

#### 2. Publication Costs

Indicate print runs and justify costs, including vendor quotes, if applicable.

#### 3. Consultant Services

Identify each consultant, describe the services they will perform, specify the amount of time they will devote to the project across the period of performance, outline travel costs, and provide total costs. If applicable, include consultants' proposals.

4. Automated Data Processing (ADP)/Computer Services
Itemize the cost for each service and include established service rates, if applicable.

#### 5. Subawards/Consortium/Contractual Costs

List the costs of project activities to be undertaken by third parties. Identify each third party by name, describe its role in the project, the activities it will carry out, and the associated costs. For each entry, designate the third party as either a subrecipient (who receives a subaward) or a contractor (who receives a contract).

For each contractor, itemize costs using the same categories as the Research and Related Budget and provide relevant supporting documents.

You will submit a Research and Related budget and budget justification for each subrecipient, which you will include in <a href="Attachment 9: Subrecipient budget(s)">Attachment 9: Subrecipient budget(s)</a>.

6. Equipment or Facility Rental/User Fees Identify and justify each rental fee. Provide relevant supporting documentation.

7. Alterations and Renovations Leave this blank.

#### 8. Other Costs

Itemize, describe, and justify any other direct costs. Include supporting documentation. "Miscellaneous" and "contingency" are not acceptable budget categories.

#### H. Indirect Costs: Budget Justification

If you include indirect costs in your project budget, identify the rate(s), explain the base(s), and describe any exclusions.

## Attachments Form (Grants.gov form)

You will upload your Attachments to Grants.gov using this form. The form accommodates up to fifteen attachments. Attachments must be in Portable Document Format (.pdf). Convert all non-PDF files (i.e., Word, Excel, images) to PDFs. Occasionally, converting a document to PDF may alter its size. Confirm that attachments are within mandatory page limits before you submit the application. Do not attach files that have been password-protected, encrypted, or digitally signed. You must remove all such security features before attaching your files. Failure to do so may result in your application being rejected.

If an attachment contains multiple documents, merge them into a single file, not a PDF portfolio. If you include a PDF portfolio, the file may be rejected by Grants.gov or eGMS.

Consult the <u>Application Components Table</u> to name and sequence your attachments so that NEH can easily identify them. Grants.gov may reject your application if:

- file names exceed 50 characters
- multiple files have the same name
- file names include characters other than the following: A-Z, a-z, o-9, underscore, hyphen, space, period, parentheses, curly braces, square brackets, ampersand, tilde,

exclamation point, comma, semicolon, apostrophe, at sign, number sign, dollar sign, percent sign, plus sign, and equal sign

Grants.gov may accept and validate your application even if you are missing required components or have formatted them incorrectly. You must ensure that you have formatted, attached, and submitted all required components correctly. If you have not, NEH may reject your application as incomplete or nonresponsive.

Learn about <u>Adobe software compatibility with Grants.gov</u> and ensure that you can use your version of Adobe Acrobat Reader to download, complete, and submit your application.

#### **Certification Regarding Lobbying (conditionally required for recipients)**

If you request more than \$100,000, you must submit the Certification Regarding Lobbying before NEH issues your award. Add this form to your application package in Grants.gov and it will autofill based upon information provided on the <u>SF-424 Application for Federal Assistance - Short Organizational</u>.

# Standard Form-LLL, "Disclosure of Lobbying Activities" (conditionally required for recipients)

If you have or will use non-federal funds for lobbying, you must submit Standard Form-LLL, "Disclosure of Lobbying Activities" before NEH issues your award. Add this form to your application package in Grants.gov. See <u>2 CFR § 200.450</u>.

# 3. Unique Entity Identifier and System for Award Management

Before applying, your organization must register with the <u>System for Award Management (SAM)</u> and <u>Grants.gov</u>. <u>Learn more about this multistep process</u>.

NEH encourages organizations with SAM registrations to check the validation of their UEI well in advance of the deadline to ensure that they are accurate, current, and active. If your SAM registration is not active and current at the time of Grants.gov submission, NEH will reject your application.

Allow several weeks to register with SAM and Grants.gov. NEH will not waive the online submission requirement or extend the application deadline to allow additional time for you to complete registration with SAM or Grants.gov.

## Login.gov

If you have not already done so, you must create a <u>Login.gov</u> user account to register and log in to SAM and Grants.gov. Login.gov is a secure sign-in service used by the public to sign in to participating government agencies. <u>Create and link your account now.</u>

## System for Award Management (SAM)

Your organization must register with the <u>System for Award Management (SAM)</u> and maintain an active SAM registration with current information while you have an active federal award or a pending application with a federal agency. See <u>2 CFR § 25.110</u> for exceptions. SAM will assign your organization a <u>Unique Entity Identifier</u>.

When registering or renewing in SAM, the system will prompt you to review and agree to financial assistance certifications and representations, as required by <u>2 CFR § 200.209</u>.

If your SAM registration is not active and current at the time an award is made, NEH may determine that you are not qualified to receive an award and use that determination as a basis for making an award to another applicant.

Check the status of your SAM.gov registration.

Contact the Federal Service Desk if you have questions.

### **Grants.gov**

You must register with <u>Grants.gov</u> using your Login.gov credentials before applying. You must submit your application using <u>Grants.gov Workspace</u> or a Grants.gov system-to-system solution. Workspace is a shared, online environment where team members may simultaneously access and edit forms within a grant application.

After you register and create an <u>Organizational Applicant Profile</u>, Grants.gov will email your E-Business Point of Contact who can assign the appropriate roles to individuals within your organization. This includes the authorized organization representative (AOR), who will give permission to the appropriate individuals to complete and submit applications on behalf of your organization.

If you have previously registered with Grants.gov, confirm that your registration is still active and that your AOR is current.

Contact <u>Grants.gov Applicant Support</u> or consult the <u>Grants.gov Online User Guide</u> and its library of instructional videos if you have questions.

## 4. Submission Dates and Times

## **Inquiries and Drafts**

DHAG often receives applications outside its scope. For feedback on fit, email a brief overview of your project (two pages or less) to <a href="mailto:odh@neh.gov">odh@neh.gov</a>. NEH staff will advise you if your project aligns with DHAG priorities.

If you are sure of your project's fit, you may submit a full draft for program officer feedback. Send a narrative, preliminary work plan, and/or preliminary budget as a single attachment (one file) to <a href="mailto:odh@neh.gov">odh@neh.gov</a>. Include funding level, lead project director, institution name, and project title in the email body. This optional review is not part of the formal selection process.

Inquiries and other questions are accepted at any time. Drafts must be submitted by the following deadlines:

- November 13, 2024, 11:59 p.m. Eastern Time (for the January 2025 deadline)
- March 24, 2025, 11:59 p.m. Eastern Time (for the May 2025 deadline)

## **Applications**

This notice covers the 2025 Digital Humanities Advancement Grants competitions. The deadlines are:

- January 10, 2025, at 11:59 p.m. Eastern Time
- May 22, 2025, at 11:59 p.m. Eastern Time

Applications must be complete, comply with length and formatting requirements, and be validated by Grants.gov under the correct funding opportunity prior to the deadline to be considered under this notice. See the <u>late submission policy</u> for the limited situations when NEH might accept an application submitted after the deadline.

It is your responsibility to confirm that Grants.gov and subsequently NEH have received your application. <u>Check your Grants.gov application status</u>. eGMS, NEH's online grant management system, will send you an automatically generated email upon receipt of your proposal.

When NEH receives your application, the agency will assign it a tracking number beginning with HAA-. A tracking number does not guarantee that your application is free of technical problems (such as missing attachments or failure to convert attachments to PDFs). If your files are not correctly formatted, eGMS will reject your application and notify you by email. eGMS cannot detect errors such as missing components or excess pages.

NEH recommends you submit your application at least 48 hours prior to the deadline so you have time to correct technical errors eGMS identified or you discover. It is your responsibility to correct errors prior to the deadline.

NEH will not comment on the status of your application except regarding matters of eligibility, completeness, and responsiveness.

## 5. Intergovernmental Review

This funding opportunity is not subject to intergovernmental review under <u>Executive Order</u> <u>12372</u>.

# 6. Funding Restrictions

The activities below are more appropriate for other programs at NEH given the DHAG program's focus on experimentation and innovation. Projects focused **primarily** on activities in this list may be judged nonresponsive unless you propose an innovative or experimental method for carrying out the activities.

- Digitizing collections or documents
- Entering data manually
- · Aggregating or linking collections and data
- Creating databases of digitized sources
- Developing open educational resources
- Collecting oral histories
- Generating digital maps with existing geospatial tools
- Creating data visualizations with currently available tools or platforms
- Developing humanities content for digital media
- Designing undergraduate or graduate courses and curricula
- Creating or converting scholarly journals to digital format

You may not use awards made under this notice for the following purposes:

- Purchasing equipment and/or commercial licenses that exceed 2/3 of direct costs
- Paying for recurring costs such as day-to-day maintenance operations of existing projects
- Attending conferences or professional meetings, unless to disseminate project-related findings
- Activities by federal entities or personnel
- Promotion of a particular political, religious, or ideological point of view
- Advocacy of a particular program of social or political action
- Support of specific public policies or legislation
- Lobbying
- Projects that fall outside of the humanities; the creation or performance of art; creative writing, autobiographies, memoirs, and creative nonfiction; policy studies; and social science research that does not address humanistic questions and/or utilize humanistic methods

Applications including unallowable costs or activities may be judged nonresponsive and returned without review.

See <u>2 CFR 200 Subpart E - Cost Principles</u> for other unallowable costs.

# **E. Application Review Information**

#### 1. Review Criteria

Peer reviewers will use the following criteria to review applications under this notice:

- 1. The applicant makes a strong case for the significance and impact of the project to the humanities, supported by participants' relevant humanities expertise. The proposed goals and activities align with the program's focus on innovation, experimentation, and critical infrastructure that builds upon or extends existing methods or tools.
- 2. The applicant clearly describes why the proposed activities are necessary and how intended audiences or users, including individuals with disabilities, will access and benefit from project outputs.
- The project is feasible, as demonstrated by sufficient budget resources, staff qualifications, technical detail, and consideration of risk.
- 4. All team members, including contingent staff, students, and interns, have adequate resources, compensation mechanisms, and professional development or mentorship opportunities to succeed.
- 5. The applicant has a clear plan to manage, preserve, and share data and final products during and after the period of performance, including those created by contractors or consultants.

Peer reviewers will use the following additional criteria for Level III applications:

- 1. The applicant makes a convincing case for scaling up or building upon prior work, including the project's impact and rationale for additional funding.
- 2. The project will engage audiences and users beyond the applicant institution, including providing opportunities for community feedback, outreach, dissemination, and training.
- 3. The sustainability plan, project design, and other application components indicate the project's products and outputs will remain useful to intended audiences beyond the period of performance.

4. For Level III projects requesting federal matching funds: the applicant clearly defines activities that will be supported by matching funds and provides a feasible plan for fundraising.

#### 2. Review and Selection Process

NEH staff review all applications for eligibility, completeness, and responsiveness. The agency then conducts a peer review process for all applications that pass this initial screening.

Peer reviewers are experts in their fields with knowledge and expertise relevant to the activities that the program supports. NEH instructs peer reviewers to evaluate applications according to the <u>review criteria</u> in this notice. Peer reviewers must comply with federal ethics rules governing conflicts of interest.

NEH program officers supplement the peer reviewers' comments to address matters of fact or significant points that the peer reviewers have overlooked. They then make funding recommendations to the <u>National Council on the Humanities</u>. The National Council meets at least twice each year to review applications and advise the NEH Chair. By law, the Chair has the sole authority to make final funding decisions.

Following NEH's public announcement of funded projects, you may request copies of the peer reviewers' evaluations of your proposal by contacting <a href="mailto:odh@neh.gov">odh@neh.gov</a>.

Learn more about the NEH review process.

Apply to be a peer reviewer for NEH.

## 3. Assessment of Risk and Other Pre-Award Activities

Following the Chair's initial selection of applications for support, the NEH Office of Grant Management (OGM) conducts a risk assessment for selected applications. OGM will consider the applicant's past performance, if applicable; analyze the project budget; assess the applicant's management systems; confirm the applicant's continued eligibility; and evaluate compliance with <u>public policy requirements</u>. This assessment guards against the risk that federal financial assistance might be wasted, used fraudulently, or abused.

NEH will consider information about your organization that is in the responsibility/Qualification data bank in SAM.gov (formerly Federal Awardee Performance and Integrity Information System (FAPIIS)). This database records the integrity and performance of entities applying for federal funding. NEH will review the Responsibility/Qualification database when assessing your organization's integrity, business ethics, and record of performance under federal awards, as described in 2 CFR § 200.206. You may comment on information provided by federal awarding agencies about your organization. Per 2 CFR § 200.213, NEH will report determinations that your organization is not qualified to SAM.gov.

OGM may request that you submit additional programmatic or administrative information (such as an updated budget or supporting documentation) or undertake certain activities (such as negotiating an indirect cost rate) in anticipation of an award. Such requests do not guarantee that NEH will make an award.

After completing its risk assessment, NEH will determine whether making an award would be consistent with the agency's risk management policy, whether it must impose any special terms

and conditions, and what funding level is appropriate. NEH may elect not to issue awards to applicants with management or financial instability that affects their ability to comply with the terms and conditions of the award (2 CFR § 200.206).

Award decisions are discretionary and are not appealable to any federal official or board.

## 4. Anticipated Announcement and Award Dates

NEH will notify you of funding decisions by email in August 2025 (for proposals submitted for the January 2025 deadline) or December 2025 (for proposals submitted to the May 2025 deadline). This is not an authorization to begin performance or incur related costs.

## F. Federal Award Administration Information

#### 1. Federal Award Notices

If NEH selects your application for an award, the NEH Office of Grant Management will send award documents to the institutional grant administrator and project director through eGMS Reach beginning in August 2025 (for proposals submitted for the January 2025 deadline) or December 2025 (for proposals submitted to the May 2025 deadline).

Learn more about managing an NEH award.

## 2. Administrative and National Policy Requirements

Each award is subject to <u>2 CFR Part 200 Uniform Administrative Requirements</u>, <u>Cost Principles</u>, <u>and Audit Requirements for Federal Awards</u>, the <u>General Terms and Conditions for Awards to Organizations (for grants and cooperative agreements issued January 1, 2022 or later)</u>, and any specific terms and conditions that NEH places on the award in the Notice of Action.

Applicants to NEH for awards with expected issuance dates on or after October 1, 2024, should be aware of revisions to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200) effective from that date. All NEH awards issued on or after October 1, 2024, will be subject to the revised regulations. **You may incorporate these changes into your applications now.** Additional information is available at <a href="https://www.neh.gov/grants/manage/2024-Revisions-to-2-CFR-200">https://www.neh.gov/grants/manage/2024-Revisions-to-2-CFR-200</a>.

# Debarment, suspension, ineligibility, and voluntary exclusion certification

By applying, you certify that neither your institution nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

You must comply with <u>2 CFR §§ 180.335</u> and <u>.350</u> with respect to providing information regarding all debarment, suspension, and related offenses information, as applicable.

If you cannot attest to the statements in this certification, explain why not in <u>Attachment 11:</u> <u>Explanation of delinquent federal debt</u>.

## **Providing access to NEH-funded products**

NEH strives to make the products of its awards available to the broadest possible audience by providing ready and easy access to its grant products to scholars, educators, students, and the American public. All other considerations being equal, NEH gives preference to projects that provide free access to the public.

You must comply with <u>Section 504 of the Rehabilitation Act of 1973</u>, which prohibits discrimination on the basis of disability in any program or activity receiving federal financial assistance. Consult <u>Design for Accessibility: A Cultural Administrator's Handbook</u>.

## **Copyright information**

Subject to applicable law, you may copyright work that you develop or acquire under an award. In accordance with <u>2 CFR § 200.315(b)</u>, NEH reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use the work for federal purposes, and to authorize others to do so. NEH has typically exercised this right in consultation with recipients to publish excerpts of grant products in *Humanities* magazine or on its website.

## **Protecting Personal Information**

If you collect personal identifiable information (PII) as part of your NEH-funded award, you are responsible for protecting and storing it. You must take all reasonable and appropriate actions to prevent inadvertent disclosure, release, or loss of PII. Consult <a href="Protecting Personal Information">Protecting Personal Information</a> | The National Endowment for the Humanities for more guidance. NEH is not liable if a recipient incurs an inadvertent disclosure, release, loss, or data breach of PII.

## **Consent for Survey Participation**

If your NEH-funded project includes surveys, you may not enroll a participant until you have obtained legally informed consent.

## Acknowledging NEH support

Materials publicizing or products resulting from NEH-funded activities must contain an acknowledgment of NEH support. Consult <u>Acknowledgment and Publicity Requirements for NEH Awards and Publicizing Your Project for guidance.</u>

## **Subrecipient monitoring requirements**

Per <u>2 CFR § 25.300</u>, you may only issue subawards with federal funds to organizations that have obtained and provided their Unique Entity Identifier. Subrecipients are not required to complete registration with the <u>System for Award Management (SAM)</u> to obtain a Unique Entity Identifier. See D3. Unique Entity Identifier and System for Award Management.

You must monitor your subrecipients to ensure that they use their subawards for authorized purposes; comply with federal statutes, legislative requirements, regulations, and the terms and conditions of the subaward; and achieve their performance goals. You must ensure that your subrecipients track, appropriately use, and report program income generated by the subaward. See <u>2 CFR § 200.332</u> for information that you must include in subaward agreements.

Learn more about managing subawards.

### **Program income**

If your NEH-supported activities generate income during the period of performance, you must use it for additional approved project-related activities. See <u>2 CFR § 200.307</u> for income that you generate after the period of performance.

## Coordination of geographic information and related spatial data

If you request funding for the development, acquisition, preservation, or enhancement of geospatial data, products, or services, you must first conduct a due diligence search of the <a href="Data.gov list of datasets">Data.gov list of datasets</a> to determine whether the needed data, products, or services already exist. If not, you must produce the proposed geospatial data, products, or services in compliance with applicable <a href="Federal Geographic Data Committee">Federal Geographic Data Committee</a> guidance.

## Eliminate waste, fraud, and abuse

Help NEH eliminate fraud and improve management by reporting allegations or suspicions of waste, fraud, abuse, mismanagement, research misconduct (fabrication, falsification, plagiarism), or unnecessary government expenditures to the <a href="NEH Office of the Inspector General">NEH Office of the Inspector General</a>.

#### **Termination**

NEH reserves the right to terminate awards consistent with <u>2 CFR § 200.340</u>.

## 3. Reporting

If you receive an award, you must complete required reports in eGMS Reach, the NEH online grant management system, unless otherwise instructed. NEH will provide further information in the Notice of Action.

- 1. **Federal Financial Report(s)**. You must submit the Federal Financial Report (SF-425) annually.
- 2. **Performance Progress Report(s)**. You must submit a performance progress report annually. The "Goals & Progress" section asks for a summary of your goals; a description of what you have achieved; and, if relevant, what tasks remain to complete the project. This section must align with the outcomes and outputs you identify in your application. When you complete the report, or at any time during or after the period of performance, you may also add the project results using the Products and Prizes tab in eGMS Reach.
- 3. **Final Reports**. You must submit a final Federal Financial Report (SF-425) and a final performance report within 120 calendar days after the period of performance ends. The final performance report collects information relevant to progress on program-specific goals; impact of the overall project; the degree to which you achieved the mission, goals, and strategies outlined in the approved application; your objectives and accomplishments; barriers encountered; and your overall experiences during the period of performance.
- 4. **White Paper**. You must submit a white paper within 120 calendar days after the period of performance ends that documents the project, including lessons learned, so that others can benefit. NEH will publish the white paper on its website. See <a href="How to Write a Successful White Paper">How to Write a Successful White Paper</a>.

Learn more about performance reporting requirements and financial reporting requirements.

# **G.** Agency Contacts

If you have questions about the program, contact:

Office of Digital Humanities National Endowment for the Humanities 400 Seventh Street, SW Washington, DC 20506 odh@neh.gov

If you have questions about administrative requirements or allowable costs, contact:

Office of Grant Management National Endowment for the Humanities 400 Seventh Street, SW Washington, DC 20506 202-606-8494 grantmanagement@neh.gov

If you are deaf or hard of hearing, you can contact NEH using telecommunications relay at 7-1-1.

If you have questions about registering or renewing your registration with Login.gov or SAM.gov, contact the Federal Service Desk, Monday – Friday, 8:00 a.m. to 8:00 p.m. Eastern Time, at:

#### Federal Service Desk

U.S. calls: 866-606-8220

International calls: +1 334-206-7828

For assistance in registering with or submitting your application through Grants.gov, contact:

#### **Grants.gov Applicant Support**

U.S. calls: 1-800-518-4726

International calls: +1-606-545-5035

support@grants.gov

Always obtain a case number when calling for support.

## H. Other Information

# Resources for applicants to the Office of Digital Humanities

The Office of Digital Humanities has published an abundance of resources to help applicants navigate grant programs and write a competitive proposals.

## **Related funding opportunities**

NEH funds digital humanities projects across many programs. The <u>Match Your Project with an NEH Grant Program resource</u>, What Grant Program Fits my <u>Digital Project blog post</u>, and <u>Match Your Project to Grant Program tool</u> can help you identify the program that best fits with

your project's goals, audiences, and desired outcomes. If applicable, see also: "<u>Funding Digital Projects at Small, Regional, and Minority-Serving Institutions</u>."

If you seek to create a website, mobile application, game, or virtual environment that significantly contributes to public engagement with the humanities, consider the <u>Digital Projects</u> for the <u>Public</u> program offered by the Division of Public Programs. If you seek to experiment with approaches to developing or implementing innovative approaches or software for creating digital projects for the public, you may apply for a Digital Humanities Advancement Grant.

If you seek to develop digital humanities courses or curriculum-focused digital humanities projects, including podcasts, maps, or digital resources for distance learning that can be used on- or off-line, you should apply for the <a href="Humanities Initiatives">Humanities Initiatives</a> programs offered by the Division of Education Programs. If you seek to design interdisciplinary digital humanities majors or minors, consider the <a href="Humanities Connections">Humanities Connections</a> program, also in the Division of Education Programs.

If you seek to design and deliver national or regional professional development training with goals of learning methodological or conceptual approaches to digital humanities and building community among practitioners with shared interests, you should consider ODH's <u>Institutes for Advanced Topics in Digital Humanities program</u>.

If you seek to examine the impacts of technology and digital culture on society through the lens of the humanities, you may wish to consider ODH's <u>Dangers and Opportunities of Technology</u> program.

If you seek to build or digitize collections, create archives, or develop reference resources like dictionaries and encyclopedias, you should consider the <u>Humanities Collections and Reference Resources</u> (HCRR) program. If you are in the planning stages of such a project, you should especially consider the HCRR Foundations subset of this program. If you want to apply new data models, make improvements to, or otherwise expand the scope of collections or content for existing databases, digital collections, or reference resources, you may also wish to consider the HCRR program. If you seek to use digital means for collecting oral histories, capturing Traditional Knowledge, or historical resources in communities endangered by climate events or impacted by the Covid-19 pandemic, consider the <u>Cultural and Community Resilience</u> program. If you seek to address major challenges in preserving or providing access to humanities collections and resources, you should consider the <u>Research and Development</u> program. These programs are offered by the Division of Preservation and Access.

If you seek funding for individual scholarly interpretive research projects incorporating digital methods, you should consider the <u>Fellowships</u> program. If your project needs digital publication to achieve its aims, you should consider the <u>NEH-Mellon Fellowships for Digital Publication</u> program. Both are offered by the Division of Research Programs.

If you seek funding for a collaborative project that emphasizes interpretive work on humanities research questions, you should consider applying to the <u>Collaborative Research</u> program offered by the Division of Research Programs. This program funds convenings, manuscript preparation for publication, the planning of international collaborations, and the creation of scholarly digital resources or tools using preexisting methodologies, technologies, and infrastructure.

If you seek support to prepare critical digital editions and translations of important humanities texts (in print or digital form), you should consider the <u>Scholarly Editions and Scholarly Translations</u> program in the Division of Research Programs.

If you seek support for developing a large collaborative research center examining artificial intelligence, consider the Humanities Research Centers for <u>Artificial Intelligence</u> in the Division of Research Programs.

You may also find related funding opportunities with your state or jurisdictional humanities council. As mandated by Congress, approximately 40% of the NEH's program budget supports these councils, which play a critical role in supporting the NEH's mission and goals at a local, grassroots level. Contact information for each council can be found here: https://www.neh.gov/about/state-humanities-councils.

## **Privacy policy**

NEH solicits the information in this Notice of Funding Opportunity under the authority of the National Foundation on the Arts and Humanities Act of 1965, as amended, 20 U.S.C. 951, et seq. Disclosure of the information is voluntary. The principal purpose for which NEH will use the information is to process the application, which may include determining eligibility, evaluating the application, selecting recipients, and administering the award program. Panelists and other third parties may assist in the evaluation of applications, in which case NEH will take appropriate security measures with respect to the information provided to such individuals for review. NEH may also use or disclose the information it collects as required by law and for governmental purposes such as statistical research, analysis of trends, Congressional oversight, and the other routine uses set forth in the systems of records notice ("SORN") published by NEH in the Federal Register. NEH ordinarily will not publicly disclose the contents of applications that NEH does not select for funding, except as set forth in the SORN. Failure to provide the information solicited in this Notice may result in rejection of the application.

## Application completion time

The Office of Management and Budget requires federal agencies to supply information on the time needed to complete forms and also to invite comments on the paperwork burden. NEH estimates that on average it takes sixty hours to complete an application. This estimate includes time for reviewing instructions; researching, gathering, and maintaining the information needed; and completing and reviewing the application. Time needed may vary from program to program.

You may send any comments regarding the estimated completion time or any other aspect of this application, including suggestions for reducing the completion time, to the Chief Funding Opportunity Officer at <a href="mailto:grantmanagement@neh.gov">grantmanagement@neh.gov</a>. According to the <a href="mailto:Paperwork Reduction Act of 1995">Paperwork Reduction Act of 1995</a>, no persons are required to respond to a collection of information unless it displays a valid OMB number. The OMB Control Number for this Notice of Funding Opportunity is 3136-0134, expiration date October 31, 2024.