



NATIONAL ENDOWMENT FOR THE

Humanities

**Appropriations Request
For Fiscal Year 2020**

**Submitted to Congress
March 2019**

NATIONAL ENDOWMENT FOR THE HUMANITIES

Fiscal Year 2020 Appropriation Request

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NATIONAL ENDOWMENT FOR THE HUMANITIES

Fiscal Year 2020 Congressional Budget Request Summary

Overview

The President's FY 2020 Budget proposes to terminate the National Endowment for the Humanities (NEH). To support this endeavor, the FY 2020 budget provides almost \$38 million to begin an orderly shutdown of the agency. The table below breaks out the request by major funding category.

Budget Category	President's Budget Request
Personnel	16,328,580
Rent	3,214,000
Contracts and other expenses	1,158,508
Grant amendments	17,189,720
TOTAL	37,890,808

Assumptions and Estimated Costs for Agency Shutdown Beginning in FY 2020

The NEH will fulfill its Federal responsibilities for grants and matching offers awarded prior to FY 2020. While no new grants or matching offers will be made beginning in FY 2020, NEH will require funding to support a reduced staffing level and administrative costs needed to effectively shut down operations.

Estimates provided assume enactment of federal legislation that initiates termination of NEH operations on October 1, 2019.

In estimating the costs of closing the agency, NEH has made the following assumptions:

- Beginning on October 1, 2019, NEH will undertake an orderly shutdown of the agency's core operations, which will involve activities such as the following:
 - Prospective applicants will be notified of the termination of the agency's grant programs, as will the public.
 - Current grantees will be informed that a small number of NEH staff will remain on board to monitor existing grants, process matching amendments, and make payments on still-active grants.
 - Vendors will be notified that their contracts will be terminated as of the time the vendors' services are no longer needed.
 - Other federal agencies will be informed that then-current interagency agreements will be terminated as necessary.

- NEH's private-sector partners will be informed that cooperative ventures will be terminated as they relate to activities that were to have been undertaken/commencing in FY 2020.
- NEH staff will be informed that a reduction in force will be initiated to terminate the employment of approximately 100 such staff members within the first six months of the fiscal year, with another 35 being asked to stay through the remainder of FY 2020 to undertake the work described above.
- NEH will not invite or accept any new grant applications, nor make any new grants, in FY 2020.
- No grants that remain active as of October 1, 2019, will be terminated prior to their scheduled termination date. For the 1,000+ grants that will be in this category as of October 1, the Federal government, through NEH, has obligated more than \$240,000,000 in funds, with the vast majority of these funds yet to be paid out to the grantees. All current grants will remain active until their already-established termination dates—many of which extend beyond FY 2020—and NEH (or its successor) will honor all payment requests against those obligations.
- NEH will honor its commitment to current grantees who have a matching offer. In FY 2020, any grantee who certifies gifts in accordance with their matching offer will receive Federal matching funds from NEH, up to the total amount NEH has offered as of September 30, 2019.
- As noted above, on or shortly after October 1, 2019, NEH will initiate action to terminate the employment of approximately 100 of its current staff of 135 employees. On the advice of the Office of Personnel Management, the agency is estimating that the reduction in force (RIF) will take six months to accomplish, including the required sixty-day advance notification to each affected employee. Of the remaining staff, some will be responsible for conducting the necessary oversight activities for the 1,000+ active grants whose termination date extends beyond October 1, 2019. Others will provide support services for these employees, while also initiating an orderly shutdown of NEH's systems, publications, contractual arrangements, and interagency agreements. Yet others will be responsible for conducting the personnel-related work associated with the significant reduction in force that will need to occur to reduce the agency's FTEs to 35, and then to service the HR needs of the staff members who will continue on for the remainder of FY20. The agency's two FTEs in the Office of the Inspector General also will continue to be a part of the management of the shutdown through the end of the fiscal year.
- For discontinued employees, all appropriate compensation will be provided, including but not limited to severance pay, lump-sum payments for unused annual leave, and performance bonuses generated by eligible employees' closeout performance appraisals.
- More than 1,000 grants will continue to be active beyond FY 2020. As the fiscal year draws to a close, additional plans will need to be made to monitor these still-active grants in budget out years.

NEH Budget Request by Object Classification
(\$ in thousands)

OBJECT CLASSES		FY 2018 Final	FY 2019 Estimate	FY 2020 Request
11.1	PERSONNEL COMPENSATION	14,168	14,675	5,423
12.1	BENEFITS	4,693	4,755	1,551
13.1	UNEMPLOYMENT COMPENSATION	<u>33</u>	<u>23</u>	<u>9,355</u>
	TOTAL-PERSONNEL COMPENSATION	18,894	19,453	16,329
21.0	TRAVEL AND TRANSPORTATION	283	300	5
23.1	GSA RENT	3,088	3,138	3,214
23.3	COMMUNICATIONS AND UTILITIES	336	358	200
24.0	PRINTING	127	128	0
25.1	OTHER CONTRACTUAL SERVICES	2,688	2,778	890
25.9	PANELIST CONTRACTS	528	515	0
26.0	SUPPLIES	136	139	18
31.0	EQUIPMENT	593	430	45
41.1	GRANTS	116,996	122,242	17,190
	TOTAL	\$143,669	\$149,381	\$37,891

Cost Estimates

NEH estimates that \$37,890,808 in funding will be needed in FY 2020 to accomplish an orderly shutdown of the agency. The cost estimates provided below assume an appropriation of this funding will be made available to the agency by October 1, 2019.

Personnel

The funds requested for personnel expenses will cover payroll costs for approximately 140 staff members for the first three months of the fiscal year (i.e., until the RIF can be completed); separation-related costs for the 100 staff members whose employment will be terminated; and pay and benefits for a residual staff of approximately 40 who will stay aboard to accomplish the tasks described in the preceding section of this budget request.

Rent

The agency will continue to rent space in its current headquarters at the Constitution Center in Washington, DC. Under its existing occupancy agreement with the General Services Administration, NEH will continue to occupy its current space for the first three months of the fiscal year. When the RIF is completed at mid-year, the residual staff will be consolidated into smaller space within the area covered by the existing occupancy agreement. The costs to deaccession NEH's surplus furniture, fixtures, and equipment will be borne by GSA.

Contracts and other expenses

NEH will discontinue most of the agency's annual maintenance and support contracts, as well as all communications and publication contracts. However, some contracts will be necessary to continue, often at a reduced level, in support of such activities as network/IT support, building security, administrative staff support, and financial services. NEH will also need to continue its current contract with the Oracle Corporation to provide the accounting and financial services needed to process payments on grants that remain active after September 30, 2019. Funds are also needed to cover the cost of the transfer of official agency records to the National Archives and Records Administration or other appropriate repositories.

Grant amendments

Each year NEH is appropriated funding for its matching grants programs. There are two types of such grants: Challenge Grants, which provide support to *institutions* for their humanities programs and operations, and matching grants, which are offered in support of a wide variety of humanities *projects* across many of NEH's grant programs. For both types of matching grants, the process of making an award begins with a formal "offer" of matching money. Such offers are conditioned on the applicants' ability to obtain gifts from the private sector. Once applicants have certified that they have raised such private support, NEH awards Federal matching funds as promised in its initial "offer" letter.

As of October 1, 2019, NEH estimates that \$17.190 million in matching commitments will remain open, based on the cumulative total of all outstanding matching offers at that time. NEH requests an appropriation of this amount of matching funds to honor all such prior commitments.

NEH Programmatic and Performance Highlights

Since its establishment in 1965, NEH has provided leadership by supporting projects and programs in all areas of the humanities—history, philosophy, literature and languages, archaeology, political theory, comparative religion, and other related subject areas—and helped to make humanities knowledge and learning widely available in the United States. Each year, humanities projects supported by NEH fulfill the agency’s mandate by providing training for thousands of school, college, and university teachers; reaching tens of millions of Americans with high quality television and radio documentaries, museum exhibitions, and reading and discussion programs in museums and libraries across the nation; and by supporting, in partnership with the Library of Congress, efforts in every state to digitize and make accessible hundreds of thousands of pages of historic U.S. newspapers. NEH also supports scholarly research that has resulted in the publication of thousands of books, many of which have won prestigious awards for intellectual distinction, including 18 Pulitzer Prizes and 20 Bancroft Prizes.

The Endowment’s work has been complemented and extended by the programs and projects of the NEH-affiliated humanities councils in all 50 states and six U.S. territories. With their strong networks of cultural and educational institutions within their states, the councils are able to reach millions of citizens in diverse and remote settings with programming that meets the particular needs of each local community. Through the state humanities councils, NEH funding annually makes possible tens of thousands of reading and discussion programs, literacy programs, speakers’ bureau presentations, conferences, media programs, and a variety of technology, preservation, and state and regional history projects. Every council has a distinctive programmatic mix that is tailored to the needs and interests of the citizens of that state.

Some of NEH’s notable recent accomplishments include:

- In FY 2018, the Endowment awarded more than \$116 million to almost 800 humanities projects in every state of the nation and U.S. territorial jurisdictions. These projects are advancing knowledge and understanding in the humanities and bringing this knowledge to millions of Americans in all types of public access.
- In early FY 2018, the Endowment created a new grant program to create capacity and build infrastructure at museums, libraries, historical centers, and other cultural institutions – through the NEH Infrastructure and Capacity-Building Challenge Grants. These grants will provide matching funds for capital expenditures such as construction projects, the purchase of equipment, sharing of humanities collections, documentation of lost or imperiled cultural heritage, sustaining digital scholarly infrastructure, and the preservation and conservation of humanities collections. These grants will help meet the needs of smaller institutions by providing types of funding that

are often the most difficult to obtain, with a view to improving and growing America's cultural infrastructure. The first round of these infrastructure grants was incredibly successful, allowing for more than \$13 million in NEH funds to be awarded for these worthwhile partners.

- Fiscal year 2018 marked another year of the Endowment's special initiative, *Standing Together: The Humanities and the Experience of War*. Over this four-year period, NEH has awarded more than \$8 million for humanities projects that serve veterans and/or chronicle their experiences. NEH grants support veterans as they transition to civilian life, provide the public with insights into veterans' experiences, assist veterans and their families as they process the traumas of war, and honor veterans' service by recording their stories for posterity. Several such projects merit particular mention:
 - The Warrior Scholar Project is a program that offers an "academic boot camp" for vets entering or returning to college. Begun at Yale University, with NEH support it has now expanded to 12 campuses across the country—including Texas A&M University, the University of Oklahoma, and the University of Arizona—and has helped more than 500 enlisted veterans enroll and succeed in college.
 - The Endowment also created a new program specifically concerned with veterans and active service members called "Dialogues on the Experience of War." This program provides funding of up to \$100,000 for projects that prepare discussion leaders and conduct discussion sessions on significant issues related to war and military service.
 - The Endowment provided major funding to acclaimed documentary filmmaker Ken Burns for his monumental ten-part series on "The Vietnam War," which aired in the fall of 2017 on PBS to widespread public acclaim.
 - The Endowment also provided support for more than 30 public screenings and discussion panels nationwide focused on the documentary film, "Debt of Honor: Disabled Veterans in American History."
- A new "Humanities Initiatives at Community Colleges" program was launched to support projects focused on a core topic or set of themes in humanities education at community colleges. NEH made a number of notable awards, including, for example, a grant to Sitting Bull College in North Dakota to record and preserve interviews with native speakers of the Lakota/Dakota language for use in language classes at this tribal college.
- A new NEH program, "Common Heritage," is supporting local community events in cities and towns devoted to digitizing cultural heritage materials such as photographic materials, maps, films, and letters, and then making these historical materials available for exhibition, study, discussion, and preservation. NEH received applications from nearly every state and has made more than 100 awards over the past four years.

- NEH’s new “Humanities Open Book” program, in partnership with the Andrew W. Mellon Foundation, is designed to make out-of-print humanities books available electronically, and free of charge, to the American people.

A representative sampling of the Endowment’s many other programmatic highlights and achievements include:

- Preserving and increasing access to cultural heritage resources. The agency’s Preservation and Access grant programs focus on projects that preserve and reformat historically significant books and periodicals; preserve and provide access to important archival materials and library special collections; and create humanities research tools and reference works such as dictionaries, bibliographies, and encyclopedias.

NEH also collaborates with the National Science Foundation to provide national recognition and support for projects to document, record, and archive endangered languages worldwide that are on the verge of extinction, including hundreds of American Indian languages. As part of this widely acclaimed initiative, since FY 2005 NEH has supported 135 projects, including many on endangered American Indian languages, and awarded approximately \$13 million.

For more than a decade, the Endowment also has worked in partnership with the Library of Congress to digitize and post online millions of pages of historic U.S. newspapers. To date, NEH-supported projects in 46 states have produced more than thirteen million pages, which have been drawn from 2,200 discrete U.S. newspaper titles, including papers printed in Danish, Finnish, French, German, Italian, Polish, and Spanish. The Library of Congress’s “Chronicling America” website receives nearly four million visits annually on these vibrant web pages to ensure the ongoing availability of these important historical materials from students, teachers, researchers, and other citizens interested in learning more about the nation’s history.

NEH has also worked with other agencies and with non-governmental organizations to help document and call attention to endangered artifacts and other cultural heritage resources abroad, including ancient religious texts in conflict zones.

- Strengthening teaching and learning in the humanities in elementary and secondary schools and institutions of higher education. NEH supports summer institutes, seminars, and workshops that provide teachers with opportunities to replenish and deepen their knowledge of the humanities through intensive study of books, archives, and visits to local and national landmarks. The Endowment supports teacher and faculty development projects that are based on rigorous humanities scholarship and directed by distinguished scholars and master teachers. In FY 2018, for example, NEH-supported projects reached more than 700 school and college teachers nationwide. The ultimate beneficiaries of these summer programs are the hundreds of thousands of American students who annually are taught by teachers who have enhanced their knowledge of the subjects they teach.

In FY 2017, the Division of Education Programs established the Humanities Connections grant, designed to expand the role of the humanities in undergraduate education at two- and four-year institutions. Grants support innovative curricular approaches that foster productive partnerships among humanities faculty and their counterparts in the social and natural sciences and in professional programs (such as business, engineering, health sciences, law, computer science, and other technology-driven fields). In its two year tenure, this grant program has already funded forty-one projects at such institutions as Worcester Polytechnic Institute (MA), Fontbonne University (MO), Berea College (KY), Medaille College (NY), and Oakton Community College (IL).

- Providing opportunities for Americans to engage in lifelong learning in the humanities. The Endowment supports public humanities projects that enable millions of Americans to engage deeply with significant works, ideas, and events through the lens of the humanities. These programs make use of a variety of formats including television and radio documentaries, museum exhibitions, and interpretation at historic sites, websites, and other digital media.

Notable recent programs included a special project, *Created Equal: America's Civil Rights Struggle*, which encouraged public conversations about the changing meanings of freedom in American life. Launched in 2013 to coincide with the 150th anniversary of the Emancipation Proclamation, *Created Equal* provided a packaged set of NEH-funded films on Civil Rights history to 473 communities across the nation. A follow-on project, *Changing America: The Emancipation Proclamation, 1863 and The March on Washington, 1963*, opened in February 2014 in Peoria, Illinois, and Yanceyville, North Carolina. The exhibition is now traveling to 50 museum and public library venues across the nation.

- Supporting research and scholarship that expand our knowledge and understanding in the humanities. Over five decades, the Endowment has been a major source of support for advanced humanities research and scholarship in the United States. Annually, NEH makes hundreds of awards to individual scholars, as well as grants to institutions and teams of scholars engaged in collaborative projects, to explore subjects that enrich our knowledge of the people, ideas, and events that make up the record of civilization.

The Endowment is the major source of funding for authoritative editions of the papers of the country's most important historical figures, including civil rights leader Martin Luther King, Jr.; political and military leader George C. Marshall; Hull House founder and humanitarian Jane Addams; scientist Albert Einstein; inventor Thomas Alva Edison; and such notable statesmen as George Washington, John Jay, Thomas Jefferson, Benjamin Franklin, Andrew Jackson, and Abraham Lincoln. NEH also supports editions of the writings of quintessential American authors such as Mark Twain, Emily Dickinson, Ernest Hemingway, and Willa Cather. In addition, NEH funds have been instrumental in support of such important collections on the history of the nation's founding as a *Documentary History of the Ratification of the Constitution and the Bill of Rights* and a *Documentary History of the First Federal Congress (1789-1791)*.

- Providing national leadership in spurring innovation and best practices in the use of the digital humanities. In the humanities, as in the sciences, digital technology has changed

the way scholars perform their work. While the Endowment supports digital projects in all of its major grant programs, it is through its Office of Digital Humanities that NEH fosters the development of a wide variety of innovative digital humanities projects and forward-looking solutions.

The establishment of NEH's Office of Digital Humanities more than a decade ago has helped to spur enormous growth in the field, with many universities creating new jobs and hiring new faculty, librarians, and technologists to work on digital humanities projects. In addition, the agency's Digging into Data Challenge program has supported cutting-edge collaborative research from top U.S. scholars and scientists working with international colleagues. As a result of NEH's leadership and recent collaboration with the European-led Trans-Atlantic Platform for the Humanities and Social Sciences, 11 nations now participate in the program. Working with our international peer research agencies allows NEH to leverage its funds: In this fiscal year alone, NEH's \$750,000 in grant funds will leverage approximately \$9,000,000 in additional international funds for digital humanities projects.

- Strengthening the institutional base of the humanities and leveraging third-party contributions to humanities projects. Encouraging private-sector support for cultural activities is an important goal of the agency. Over five decades, NEH helped leverage nearly \$2.5 billion in contributions from businesses, foundations, and individuals to NEH-supported humanities projects and programs throughout the nation.



NATIONAL ENDOWMENT FOR THE

Humanities

MISSION STATEMENT

The National Endowment for the Humanities serves and strengthens our nation by supporting high quality projects and programs in the humanities and by making the humanities available to all Americans.

NATIONAL ENDOWMENT FOR THE HUMANITIES

FY 2019- 2020 PERFORMANCE PLAN

This document presents the performance plan for fiscal years (FY) 2019 and 2020 for the National Endowment for the Humanities (NEH). The plan sets forth indicators of the Endowment's progress in attaining the goals and objectives of the agency's strategic plan.

This plan is presented in the context of the Administration's budget request for FY 2020, which seeks to eliminate the Endowment. The budget seeks only enough funds to support an orderly termination of NEH's operations and grant obligations. This budget request notwithstanding, the Endowment is expected to meet the statutory requirements of the Government Performance and Results Modernization Act, which calls for the preparation and publication of updated strategic and performance plans "not later than the first Monday in February of any year in which the term of the President commences..."

For planning purposes only, the performance plan presented in the following pages (as well as the plan's associated strategic plan) assumes that NEH's operations will continue at a level commensurate with recent Congressional appropriations. Under these same assumptions, NEH is currently updating its strategic plan, which will lead to further revisions to our annual performance plan. Consequently, readers of the agency's performance plan should be mindful that we will be updating and revising the corresponding performance indicators in the near future.

II. Table A	NATIONAL ENDOWMENT FOR THE HUMANITIES FY 2020 PERFORMANCE PLAN	
PERFORMANCE GOALS AND INDICATORS	FY 2020 PLANNED PERFORMANCE LEVELS	
A: Facilitate basic research and original scholarship in the humanities.		
1) Provide support for fellowships and stipends that enable scholars—both those affiliated with educational institutions and those working independently—to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.	Support would be provided for approximately 250 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.	
2) Support collaborative research projects on significant subjects in the humanities.	Support would be provided for 30-35 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 27 previously awarded grants would receive ongoing support through NEH matching funds.	
3) Encourage international scholarly collaboration in the humanities.	Awards for 26 humanities fellowship programs at independent research institutions would support the work of 75 humanities scholars who are making significant contributions to scholarship in the humanities.	
4) Encourage the use of digital technologies in scholarly research and the dissemination of research findings.	Applicants would be encouraged to harness the vast potential of advanced digital technology in the conduct and dissemination of their research.	
5) Work in partnership with the National Science Foundation to support projects to record, document, and archive endangered languages worldwide, with a special emphasis on endangered Native American languages.	Five projects would be supported through the Endowment’s multi-year funding partnership with the National Science Foundation to provide awards to scholars engaged in recording and archiving key languages before they become extinct.	
6) Support humanities scholarship and related course development by faculty at Historically Black Colleges and Universities, Hispanic-Serving	Support would enable 12-15 individual scholars who teach at historically black colleges and universities, at Hispanic-serving institutions, and at tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.	

Institutions, and Tribal Colleges and Universities.	
PERFORMANCE GOALS AND INDICATORS	FY 2020 PLANNED PERFORMANCE LEVELS
B: Strengthen teaching and learning in the humanities in elementary and secondary schools and institutions of higher education.	
1) Strengthen teaching and learning in the humanities in elementary and secondary schools and institutions of higher education.	<p>Support for 40-45 NEH summer seminars and institutes would enable up to 500 college teachers and up to 600 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2019 would reach approximately 80,000 students annually; school teacher participants would reach approximately 75,000 annually.</p> <p>Support for 20-25 “Landmarks of American History and Culture” workshops to take place in the summer of 2019 would enable approximately 1,450 school teachers to revitalize their knowledge and teaching of American history, particularly as it relates to the relationship between specific sites and the episodes in history, the writers, and/or the artists associated with that location. These teachers would annually reach approximately 215,000 students.</p>
2) Strengthen efforts to enhance the availability and quality of humanities teaching and learning in the nation’s community colleges, especially the study of diverse cultures and historical perspectives.	<p>Supporting Humanities Initiatives at Community Colleges projects to strengthen community colleges’ commitment to educating students on a variety of educational and career paths; this program funds curricular and faculty development projects that help strengthen humanities programs and/or incorporate humanistic approaches in fields that are outside of the humanities. Approximately 10 awards would be made.</p> <p>Supporting Humanities Connections projects are enabling faculty at community colleges, colleges, and universities to enhance undergraduate humanities education, to forge links between the humanities and other fields, and to engage undergraduate students in expanded experiential education opportunities. This program funds curricular enhancement projects that help strengthen connections among humanities programs and fields outside the humanities. Approximately 20 awards would be made.</p>
3) Support efforts of faculty at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities to deepen their knowledge in the humanities and strengthen their humanities offerings.	Humanities Initiatives at Presidentially Designated Institutions would provide approximately 10 grants to support faculty professional development activities for improvement in humanities instruction, as well as other capacity building activities at these institutions.

PERFORMANCE GOALS AND INDICATORS	FY 2020 PLANNED PERFORMANCE LEVELS
4) Develop and support NEH's EDSITEment web portal as a means of enriching online teaching and learning resources available to teachers, students, and parents.	Special encouragement would be provided for projects that will produce materials for inclusion on EDSITEment, the Endowment's nationally recognized website for K-12 teachers seeking rich humanities resources on the Internet.
C: Preserve and increase access to cultural heritage resources that constitute the cultural and intellectual patrimony of the American people and that are important to research, education, and public understanding of the humanities.	
1) Support the preservation of and expanded access to historically significant collections in libraries, archives, historical societies, and other cultural repositories.	Approximately 30 projects would preserve and/or provide access to 5,000 hours of recorded sound and video collections; 4,000 linear feet of archival documents; and 3 million manuscripts, broadsides, oversize volumes, and other non-print materials.
2) Work in partnership with other institutions, such as the Library of Congress, to digitize and make more accessible historic U.S. newspapers, including newspapers printed in languages other than English.	Cooperative agreements supported through the National Digital Newspaper Program would digitize hundreds of thousands of microfilm pages of historic newspapers.
3) Support the creation of research tools and reference works of major importance to the humanities.	Grants would be made to 10-12 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities.
4) Work in partnership with the National Science Foundation to support projects to record, document, and archive endangered languages worldwide, with a special emphasis on endangered Native American languages.	Three to five projects would support the creation of tools—such as bilingual dictionaries, grammars, and text collections—that document languages threatened with extinction.
5) Support research that leads to new digital tools, technologies, national standards, best practices, and other methodologies for the preservation of collections and cultural resources.	Eight to 10 projects would support the creation of new digital tools, technologies, national standards, best practices, and other methodologies for the preservation of collections and cultural resources.

PERFORMANCE GOALS AND INDICATORS	FY 2020 PLANNED PERFORMANCE LEVELS
6) Support the training of staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to humanities collections.	Eight awards would be made for regional and national education programs that are providing training for approximately 450,000 people in U.S. museums, libraries, archives, and historical organizations.
7) Provide support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	Projects supported would assist in preserving collections at more than 75 institutions in at least 30 states. Approximately 35 percent of the awards would go to first-time NEH grantees.
D: Provide opportunities for American citizens of all ages and wherever located to engage in lifelong learning in the humanities.	
1) Support efforts by museums and historical organizations to produce interpretive exhibitions and educational materials that convey significant humanities themes and topics.	At least 40 grants would support exhibitions, web-based programs, and other public education programs would employ various delivery mechanisms at museums and historical organizations across the country.
2) Support substantive documentary films, radio programs, and online media presentations that advance public understanding of the humanities and promote citizen engagement in consideration of humanities issues and themes.	Approximately twenty grants for television/radio projects would draw a cumulative audience of approximately 25 million people.
3) Support high quality interpretative panel exhibitions and public programs that interpret the humanities at selected libraries, museums, and cultural organization across the nation through small grants.	At least 33 grants would be made to libraries, museums and cultural organizations that receive smaller versions of NEH-funded exhibitions through the NEH on the Road cooperative agreement to be used for additional public programming.
4) Support humanities projects that make creative use of new technologies to enhance the quality and reach of public humanities programming.	Approximately 16 digital projects would produce online and mobile games and virtual environments, innovative interpretive websites, mobile applications, virtual tours, and other digital formats to engage citizens in thoughtful reflection on culture, identity, and history.

PERFORMANCE GOALS AND INDICATORS	FY 2020 PLANNED PERFORMANCE LEVELS
E: Maintain and strengthen partnerships with the state humanities councils.	
1) Support state council efforts to develop locally initiated humanities programs for the people in each state.	Support for the programs and operations of 56 state humanities councils would make possible high quality locally initiated humanities programs throughout the nation, including approximately 4,000 reading and discussion programs, 600 exhibitions, 1,100 literacy programs, 1,100 speakers bureau presentations, 800 teacher institutes and workshops, 1,400 conferences and symposia, 400 Chautauqua events, 3,300 media program events, 400 technology projects, 200 preservation projects and 1,400 local history projects.
2) Encourage high quality council-conducted humanities programs in the various states.	Support for the programs and operations of 56 state humanities councils would make possible high quality locally initiated humanities programs throughout the nation, including 12,000 reading and discussion programs, 1,900 exhibitions, 3,400 literacy programs, 3,400 speakers bureau presentations, 2,400 teacher institutes and workshops, 4,200 conferences and symposia, 1,200 Chautauqua events, 9,800 media program events, 1,300 technology projects, 600 preservation projects and 4,000 local history projects.
3) Encourage state humanities councils in their efforts to create and support humanities-rich websites and digital projects.	Support for the programs and operations of 56 state humanities councils would make possible 1,650 high technology projects.
4) Support state humanities councils in ongoing collaborations with colleges and universities, museums, libraries, historical societies, and other institutions.	5,600 collaborations with colleges and universities, museums, libraries, historical societies, and other institutions would be conducted.
5) Recognize and encourage council activities that promote civil discussion, particularly of issues that divide Americans.	5,650 programs to promote civil discussion would be conducted.
F: Provide a focal point for development of the digital humanities.	
1) Provide national leadership in spurring innovation and best practices in the digital humanities.	Approximately 20 Digital Start-Up projects and Digital Humanities Implementation Grants would set the pace for innovation within humanities research and education. These projects would receive extensive media coverage and have a national and international impact on how new scholarship is conducted.

PERFORMANCE GOALS AND INDICATORS	FY 2020 PLANNED PERFORMANCE LEVELS
2) Encourage and support innovative digital projects and programs that will enhance the way humanities research is conducted and the way the humanities are studied, taught, and presented in the United States.	Four to 5 national summer institutes training American scholars on digital methods for humanities research would be supported. These methods, including geospatial analysis, data mining, sound analysis, information retrieval and visualization, and others, would lead to new research across humanities domains.
G: Strengthen the institutional base of the humanities through financial incentives provided by matching challenge grants.	
1) Encourage and support efforts of educational and cultural organizations to secure nonfederal sources of funding in support of humanities projects and programs.	By FY 2024, NEH Challenge Grants awarded in FY 2020 would leverage at least an equivalent amount of nonfederal donations to recipient institutions in support of their humanities activities.
2) Support universities in preparing for and bringing about wide-ranging changes in humanities doctoral programs to enable students to translate what they learn in doctoral programs into a multitude of careers.	Support would be provided in 1:1 matching grants in support of varied activities designed to encourage doctoral students in multiple career trajectories.
H: Stimulate third-party support for humanities projects and programs.	
1) Return to the NEH’s past focus on supporting America’s cultural and historical infrastructure.	In order to improve and grow America’s cultural infrastructure, NEH will provide matching grants for capital expenditures such as construction projects, the purchase of equipment, sharing of humanities collections, documentation of lost or imperiled cultural heritage, sustaining digital scholarly infrastructure, and the preservation and conservation of humanities collections. These grants help meet the needs of smaller institutions by providing types of funding that are often the most difficult to obtain.
2) Encourage and support efforts of educational and cultural organizations to secure nonfederal sources of funding in support of humanities projects and programs.	Fund-raising by recipients of an NEH matching award will generate more than \$2.2 million in third-party support for humanities projects.

<p>3) Leverage the private sector contributions of the nation's businesses, foundations, and philanthropic-minded individuals on behalf of humanities projects and programs.</p>	<p>NEH partnerships with the private-sector will generate \$1.5 million for exemplary activities in the humanities.</p>
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II. Table A	
PERFORMANCE GOALS AND INDICATORS	FY 2019 PLANNED PERFORMANCE LEVELS
A: Facilitate basic research and original scholarship in the humanities.	
1) Provide support for fellowships and stipends that enable scholars—both those affiliated with educational institutions and those working independently—to devote a concentrated period of time to research and writing on significant subjects in all fields of the humanities.	Support will be provided for approximately 200 individual scholars to make significant progress on important humanities research projects through fellowships and stipends.
2) Support collaborative research projects on significant subjects in the humanities.	Support will be provided for 27 important long-term collaborative projects in the humanities such as scholarly editions, translations, archaeological excavations and analyses and other complex, large-scale undertakings. In addition, 28 previously awarded grants will receive ongoing support through NEH matching funds.
3) Encourage international scholarly collaboration in the humanities.	Awards for 22 humanities fellowship programs at independent research institutions will support the work of 65 humanities scholars who are making significant contributions to scholarship in the humanities.
4) Encourage the use of digital technologies in scholarly research and the dissemination of research findings.	Applicants will be encouraged to harness the vast potential of advanced digital technology in the conduct and dissemination of their research.
5) Work in partnership with the National Science Foundation to support projects to record, document, and archive endangered languages worldwide, with a special emphasis on endangered Native American languages.	Ten projects would be supported through the Endowment’s multi-year funding partnership with the National Science Foundation to provide awards to scholars engaged in recording and archiving key languages before they become extinct.
6) Support humanities scholarship and related course development by faculty at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities.	Support will enable 8 individual scholars who teach at historically black colleges and universities, at Hispanic-serving institutions, and at tribal colleges and universities to make significant progress on important scholarly projects in the humanities through faculty research awards.

PERFORMANCE GOALS AND INDICATORS	FY 2019 PLANNED PERFORMANCE LEVELS
B: Strengthen teaching and learning in the humanities in elementary and secondary schools and institutions of higher education.	
1) Strengthen teaching and learning in the humanities in elementary and secondary schools and institutions of higher education.	Support for approximately 50 NEH summer seminars and institutes will enable more than 500 college teachers and nearly 600 school teachers to revitalize their knowledge and teaching of the humanities. College teachers participating in seminars and institutes during the summer of 2017 will reach approximately 90,000 students annually; school teacher participants will reach approximately 72,000 annually.
2) Strengthen efforts to enhance the availability and quality of humanities teaching and learning in the nation's community colleges, especially the study of diverse cultures and historical perspectives.	<p>Supporting Humanities Initiatives at Community Colleges projects to strengthen community colleges' commitment to educating students on a variety of educational and career paths; this program funds curricular and faculty development projects that help strengthen humanities programs and/or incorporate humanistic approaches in fields that are outside of the humanities. Approximately 10 awards would be made.</p> <p>Supporting Humanities Connections projects are enabling faculty at community colleges, colleges, and universities to enhance undergraduate humanities education, to forge links between the humanities and other fields, and to engage undergraduate students in expanded experiential education opportunities. This program funds curricular enhancement projects that help strengthen connections among humanities programs and fields outside the humanities. Approximately 20 awards would be made.</p>
3) Support efforts of faculty at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities to deepen their knowledge in the humanities and strengthen their humanities offerings.	Humanities Initiatives at Presidentially Designated Institutions will provide approximately 10 grants to support faculty professional development activities for improvement in humanities instruction, as well as other capacity building activities at these institutions.
4) Develop and support NEH's EDSITEment web portal as a means of enriching online teaching and learning resources available to teachers, students, and parents.	Special encouragement will be provided for projects that will produce materials for inclusion on EDSITEment, the Endowment's nationally recognized website for K-12 teachers seeking rich humanities resources on the Internet.

PERFORMANCE GOALS AND INDICATORS	FY 2019 PLANNED PERFORMANCE LEVELS
C: Preserve and increase access to cultural heritage resources that constitute the cultural and intellectual patrimony of the American people and that are important to research, education, and public understanding of the humanities.	
1) Support the preservation of and expanded access to historically significant collections in libraries, archives, historical societies, and other cultural repositories.	Approximately 30 projects will preserve and/or provide access to 8,000 hours of recorded sound and video collections; 2,000 linear feet of archival documents; and 2.25 million manuscripts, broadsides, oversized volumes, and other non-print materials.
2) Work in partnership with other institutions, such as the Library of Congress, to digitize and make more accessible historic U.S. newspapers, including newspapers printed in languages other than English.	Cooperative agreements supported through the National Digital Newspaper Program will digitize 1,750,000 microfilm pages of historic newspapers.
3) Support the creation of research tools and reference works of major importance to the humanities.	Grants will be made to 10 projects to begin or continue work on the preparation of dictionaries, atlases, encyclopedias, and textbases central to knowledge and understanding of the humanities.
4) Work in partnership with the National Science Foundation to support projects to record, document, and archive endangered languages worldwide, with a special emphasis on endangered Native American languages.	Two to 5 projects will support the creation of tools—such as bilingual dictionaries, grammars, and text collections—that document languages threatened with extinction.
5) Support research that leads to new digital tools, technologies, national standards, best practices, and other methodologies for the preservation of collections and cultural resources.	Nine projects will support the creation of new digital tools, technologies, national standards, best practices, and other methodologies for the preservation of collections and cultural resources.
6) Support the training of staff from the nation's cultural repositories in the appropriate procedures for preserving and enhancing access to humanities collections.	Six awards will be made for regional and national education programs that are providing training for 300,000 people in U.S. museums, libraries, archives, and historical organizations.

PERFORMANCE GOALS AND INDICATORS	FY 2019 PLANNED PERFORMANCE LEVELS
7) Provide support for basic preservation activities to small and mid-sized libraries, archives, museums, and historical organizations.	Projects supported will assist in preserving collections at 80 institutions in 35 states. Approximately 35 percent of the awards will go to first-time NEH grantees.
D: Provide opportunities for American citizens of all ages and wherever located to engage in lifelong learning in the humanities.	
1) Support efforts by museums and historical organizations to produce interpretive exhibitions and educational materials that convey significant humanities themes and topics.	Fifty grants will support exhibitions, web-based programs, and other public education programs would employ various delivery mechanisms at museums and historical organizations across the country.
2) Support substantive documentary films, radio programs, and online media presentations that advance public understanding of the humanities and promote citizen engagement in consideration of humanities issues and themes.	Sixteen to 20 grants for television/radio projects will draw a cumulative audience of approximately 65 million people.
3) Support high quality interpretative panel exhibitions and public programs that interpret the humanities at selected libraries, museums, and cultural organization across the nation through small grants.	Thirty to 35 grants will be made to libraries, museums and cultural organizations that receive smaller versions of NEH-funded exhibitions through the NEH on the Road cooperative agreement to be used for additional public programming.
4) Support humanities projects that make creative use of new technologies to enhance the quality and reach of public humanities programming.	Approximately 10 digital projects will produce online and mobile games and virtual environments, innovative interpretive websites, mobile applications, virtual tours, and other digital formats to engage citizens in thoughtful reflection on culture, identity, and history.

PERFORMANCE GOALS AND INDICATORS	FY 2019 PLANNED PERFORMANCE LEVELS
E: Maintain and strengthen partnerships with the state humanities councils.	
1) Support state council efforts to develop locally initiated humanities programs for the people in each state.	Support for the programs and operations of 56 state humanities councils will make possible high quality locally initiated humanities programs throughout the nation, including 4,000 reading and discussion programs, 600 exhibitions, 1,100 literacy programs, 1,000 speakers bureau presentations, 1400 teacher institutes and workshops, 1,300 conferences and symposia, 400 Chautauqua events, 3,300 media program events, 2,000 technology projects, 100 preservation projects and 1,100 local history projects.
2) Encourage high quality council-conducted humanities programs in the various states.	Support for the programs and operations of 56 state humanities councils will make possible high quality locally initiated humanities programs throughout the nation, including 11,000 reading and discussion programs, 1,900 exhibitions, 3,300 literacy programs, 3,000 speakers bureau presentations, 2,000 teacher institutes and workshops, 3,300 conferences and symposia, 1,300 Chautauqua events, 6,500 media program events, 2,500 technology projects, 360 preservation projects and 2,800 local history projects.
3) Encourage state humanities councils in their efforts to create and support humanities-rich websites and digital projects.	Support for the programs and operations of 56 state humanities councils will make possible 2,300 digital technology projects.
4) Support state humanities councils in ongoing collaborations with colleges and universities, museums, libraries, historical societies, and other institutions.	6,500 collaborations with colleges and universities, museums, libraries, historical societies, and other institutions will be conducted.
5) Recognize and encourage council activities that promote civil discussion, particularly of issues that divide Americans.	7,000 programs to promote civil discussion will be conducted.

PERFORMANCE GOALS AND INDICATORS	FY 2019 PLANNED PERFORMANCE LEVELS
F: Provide a focal point for development of the digital humanities.	
1) Provide national leadership in spurring innovation and best practices in the digital humanities.	Approximately 25 Digital Start-Up and Digital Humanities Implementation projects will set the pace for innovation within humanities research and education. These projects will receive extensive media coverage and have a national and international impact on how new scholarship is conducted.
2) Encourage and support innovative digital projects and programs that will enhance the way humanities research is conducted and the way the humanities are studied, taught, and presented in the United States.	Approximately 5 national summer institutes training American scholars on digital methods for humanities research and 5 Digging Into Data Challenge projects will be supported. These methods, including geospatial analysis, data mining, sound analysis, information retrieval and visualization, and others, would lead to new research across humanities domains.
G: Strengthen the institutional base of the humanities through financial incentives provided by matching challenge grants.	
1) Encourage and support efforts of educational and cultural organizations to secure nonfederal sources of funding in support of humanities projects and programs.	By FY 2023, NEH Challenge Grants awarded in FY 2019 would leverage at least an equivalent amount of nonfederal donations to recipient institutions in support of their humanities activities.
2) Support universities in preparing for and bringing about wide-ranging changes in humanities doctoral programs to enable students to translate what they learn in doctoral programs into a multitude of careers.	Support would be provided in 1:1 matching grants in support of varied activities designed to encourage doctoral students in multiple career trajectories.

PERFORMANCE GOALS AND INDICATORS	FY 2019 PLANNED PERFORMANCE LEVELS
H: Stimulate third-party support for humanities projects and programs.	
1) Encourage and support efforts of educational and cultural organizations to secure nonfederal sources of funding in support of humanities projects and programs.	Fund-raising by recipients of an NEH matching award would generate more than \$2.4 million in third-party support for humanities projects.
2) Leverage the private sector contributions of the nation's businesses, foundations, and philanthropic-minded individuals on behalf of humanities projects and programs.	NEH partnerships with the private-sector would generate \$1.5 million for exemplary activities in the humanities.

I. MEANS OF VERIFYING AND VALIDATING PERFORMANCE MEASURES

The Endowment will use a variety of means to collect and verify information that measures our progress in attaining our performance goals:

- **Interim and final reports of grantees** will provide the most comprehensive source of information about the outcomes of NEH-supported work. From these reports the Endowment will regularly compile data about the results of activities undertaken in connection with each of our performance goals.
- To systematize the information collected from grantee reports, NEH continues to develop an **outcomes database** for its grant programs. This database is capturing information about the short- and long-term results of funded projects. At present, it links information about 6,459 humanities research projects in the Endowment's grant information database to bibliographic information about 4,072 published books that these projects produced between 1980 and 2017. As well, current and past grantees can now input data about such additional project outcomes journal articles, websites, documentary films, museum exhibitions, conferences, workshops, computer software, new buildings or equipment, and academic prizes, or about media coverage, such as a book review, newspaper article, or radio interview.
- The Endowment will conduct **site visits** as a means of documenting the progress and accomplishments of selected grantees.
- **Evaluations** of selected projects and programs will be conducted to determine whether program goals are being met.
- Information on **awards, prizes, and other forms of recognition**, such as favorable press articles, will be collected so that the agency can gain a better sense of the impact and quality of our funded projects.